Toward Developing an Academic Discipline

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• Founded in 1926
• Campuses in Daytona Beach, FL; Prescott, AZ, and Worldwide – more than 150 locations
• 70+ bachelors, master’s, and doctoral degrees in aviation, aerospace, engineering, business, and science
• Largest aviation and aerospace university in the world
• Major research center
What makes a Discipline?

- “a particular area of study, esp. a subject studied at a college or university” (Cambridge Online Dictionaries)
- Definable body of knowledge
- Scholars who contribute to that body of knowledge
- Teachers who teach in the field
- Community who identify with the field
- Refereed journal(s)
- Discipline offers a doctorate as the terminal degree
A Dean’s Vision

• Central Missouri State University Department Chair, Power and Transportation
• Multi-university Ph.D. program Technology Management through Indiana State University
• Needs analysis survey showed high interest in aviation specialization
• Accepted new position, that of Dean of College of Aviation at Embry-Riddle Aeronautical University
• Vision for aviation Ph.D. was established
Justification for Degree

- Foundational reasons to develop the Ph.D.
- Aviation Research
- Student/Market Demand
- Maturation of the Discipline
Aviation is a $2.2 trillion industry worldwide. Research is needed throughout the industry, including:

- Flight deck automation
- NextGen
- Aging aircraft
- Human factors
- Airport technology
- Safety management
- Propulsion systems
- Unmanned aerial systems
- Many others

Skilled aviation researchers are in high-demand.
Level of Demand

- Analysis was begun in September 2007
- 10,356 surveys provided to students and alumni
- 2,303 surveys were completed
- 1,903 indicated Strongly Agree or Somewhat Agree
  - “I would be interested in enrolling in ERAU’s Ph.D. in Aviation program”
- 78% responded positively to the question
  - “I am more likely to enroll in ERAU’s Ph.D. in Aviation if it is online.”
- 68% of those responding were 32 years of age or older
- 67% were employed in the aviation/aerospace industry
Maturing Discipline

- 2008 survey of several scholarship databases
- Prior to 1970 trivial number of aviation-related articles
- Early 2000’s more than 350,000 aviation articles appeared in publications
- Aviation-related doctoral dissertations has grown exponentially since 1930’s
Challenges

• Initial proposal for degree was not favored by the university
  • First Ph.D. for the University
    • Level III (Master’s Degree) to Level V (Ph.D. Degree)
    • Encouraged to pursue a Professional Doctorate
  • First Ph.D. in aviation in the United States
  • Offered online involving all three ERAU campus
Institutional Approval

- Fall of 2007, dean briefed the new provost to request the authority to start the formal process to develop the degree.
- Academic degree approval process
- ERAU President and provost committed full support
- Board of Trustees approved March and June 2008
SACS Approval

- Substantive change application
  - Level III to Level V

- “The addition of courses or programs of study at a degree or credential level different from that which is included in the institution’s current accreditation or reaffirmation.”

- Details of report
  - General institutional Data
  - Current and Projected Enrollment
  - The Rationale and Requirements for the New Program
  - Faculty Resources and Qualifications
  - Financial Resources
  - Library Facilities
  - Physical Facilities
Application was submitted on April 24, 2008
January 2009 - SACS denied the application
“Address faculty qualification issues”
“...ensure faculty have terminal degrees that relate to the courses they are teaching...”
- Chicken and egg problem: How? ERAU is creating a new discipline.
Faculty were selected who possess a doctorate in another field with academic and professional backgrounds that match the subject matter being taught.

Solution: created a process to map course learning objectives to faculty degrees, scholarship, experience, etc.

Application was resubmitted in April 2009 and approved July 2009.
• Mission – To produce outstanding scholars for careers in research and teaching in the aviation field.

• Highly competitive admissions process – admit 15-18 students each year

• Master’s degree, GRE, recommendation letters, research statement, etc.

• One week residency program each year

• 12 courses – all delivered online
  • 4 core (safety, law, history, economics)
  • 4 stats and research methodology
  • 4 specialization (safety and HF, operations, intradisciplinary)

• 2-day qualifying exam

• 18 credit hour dissertation
• As of 2014, enrollment is 65
• Mid-career professionals; mean age is 42
• Nine students have defended and graduated, 14 more are candidates in the dissertation phase
Number Applications vs Admits
Poster Session During 2014 Residency
Doctoral Faculty

Tim Brady
Ph.D., Higher Education, Saint Louis Univ.

Bruce Conway
Ph.D., Engineering Management, Old Dominion Univ.

Haydee Cuevas
Ph.D., Human Factors, Univ. of Central Florida

Dave Esser
Ph.D., Org and Mgmt Leadership, Capella Univ.

Mark Friend
Ed.D., Safety Management, West Virginia Univ.

Steven Hampton
Ed.D., Higher Education, Nova Southeastern Univ.

Irwin Price
Ph.D., Economics, Boston Univ.

Frank Richey
D.B.A., Airline Strategic Mngt, Nova Southeastern Univ.

John Sabel
J.D., Duquesne Univ.

Alan Stolzer
Ph.D., Quality Engineering, Indiana State Univ.

Dothang Truong
Ph.D., Manufacturing Management, Toledo Univ.

Scott Shappell
Ph.D., Neuroscience, Univ. of Texas
# Validation of New Technology Using Legacy Metrics: Examination of SURF-IA Alerting for Runway Incursion Incidents

<table>
<thead>
<tr>
<th><strong>Purpose</strong></th>
<th>The purpose of this study will be to determine the validity of using runway incursion statistics derived from the FAA/ICAO RISC model as a metric for determining the benefit of SURF-IA flight-deck equipage for mitigating runway incursions.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Hypothesis</strong></td>
<td>The null hypothesis ($H_0$) will be that there is no difference in the runway incursions classified as <em>serious</em> (Category A or Category B) using the RISC model and the runway incursions alerting as serious (Warnings or Cautions) on the CDTI using the SURF-IA model.</td>
</tr>
<tr>
<td><strong>Research Methodology</strong></td>
<td>Statistical Analysis of Archival Data Using Expert Raters</td>
</tr>
<tr>
<td><strong>Advisor</strong></td>
<td>Alan Stolzer, Ph.D.</td>
</tr>
</tbody>
</table>
Collaborative Audio Transcription and Repair as a Method for Novice Pilots to Learn Approach Briefing Crew Resource Management (CRM) Skills

<table>
<thead>
<tr>
<th>Purpose</th>
<th>The purpose of this study is to examine whether the proposed Collaborative Transcription and Repair Based Training (CTRBT) method is an effective way for novice pilots to learn CRM skills. The study will gauge effectiveness in three dimensions: the ability of students to perform the CTRBT method, the reactions of students to the CTRBT method, and evidence of CRM learning related to the CTRBT method.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research Methodology</td>
<td>Quasi-Experimental</td>
</tr>
<tr>
<td>Advisor</td>
<td>Dave Esser, Ph.D.</td>
</tr>
</tbody>
</table>
**Identification of Causal Paths and Prediction of Runway Incursion Risk Using Bayesian Belief Networks**

| Purpose | The purpose of this study is to evaluate the feasibility and effectiveness of Bayesian belief network models, supported by structured expert elicitation, as a tool to examine causal factors and dynamic causal paths to RI events (regardless of Federal Aviation Regulations under which an aircraft is operated) in the U.S. |
| Research Questions | The present research addresses two questions, the first of which informs the latter. First, what are the interacting causal factors that lead to RIs in the U.S.? Second, can runway incursions in the U.S. and their dynamic causal factors and interactions be modeled through the use of a Bayesian belief network supported by expert-elicited data? |
| Research Methodology | BBN model development; expert elicitation |
| Advisor | Dothang Truong, Ph.D. |
### Analysis of General Aviation Aircraft Accident Data by Aircraft Certification Basis

| Purpose | The purpose of this study was to analyze the frequency of general aviation airplane accidents and accident rates on the basis of aircraft certification to determine whether or not differences in certification rules had an influence on accidents. |
| Hypotheses | Eight hypotheses such as: There is no significant difference in the frequency of accidents among airplanes certified in Part 23, CAR 3, LSA, or E-AB categories with regard to LOC accidents from 2004 to 2011. |
| Research Methodology | Mixed methods using Chi-Square, ANCOVA, and text mining analysis of archival data |
| Advisor | Tim Brady, Ph.D. |
# The Effects of Ethical Leadership and Organizational Safety Culture on Safety Outcomes

<table>
<thead>
<tr>
<th>Purpose</th>
<th>The purpose of this study is to develop and evaluate a model linking ethical leadership and an ethical workplace climate with measurable safety outcomes expressed as occupational injuries.</th>
</tr>
</thead>
</table>
| Research Questions | • Can an effective model be developed linking ethical leadership and an ethical workplace climate with occupational injuries?  
• If so what is the strength of that model?  
• How is the model moderated or mediated by measures of organizational safety? |
| Research Methodology | Structural equation modeling – path analysis. Data gathered via a survey. |
| Advisor | Tim Brady, Ph.D. |
**An Analysis of Airport Surface Deviations using the Human Factors Analysis and Classification System (HFACS)**

<table>
<thead>
<tr>
<th>Purpose</th>
<th>To analyze NTSB reports using the HFACS framework to develop an understanding of the human error causal factors associated airport surface deviations (ASD).</th>
</tr>
</thead>
</table>
| Research Questions | • What are the human error causal factors, their characteristics, and their interrelationships discovered from applying the HFACS framework to ASD reports?  
• Are the discovered ASD causal factors and their impact dependent upon the type of operation, i.e., Part 121, 135, or 91? |
<p>| Research Methodology | HFACS analysis of archival data |
| Advisor | Scott Shappell, Ph.D. |</p>
<table>
<thead>
<tr>
<th>Purpose</th>
<th>The purpose of this study is to measure the effectiveness and impact of operational, managerial, financial, and portfolio restructuring strategies on post-bankruptcy performance of air carriers emerging from Chapter 11.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research Questions</td>
<td>• What is the relationship between [strategy] restructuring on post-bankruptcy performance during the post-bankruptcy period? [strategy = operational, managerial, financial, portfolio]</td>
</tr>
<tr>
<td>Research Methodology</td>
<td>Multilevel exploratory factor analysis and multilevel modeling using archival data.</td>
</tr>
<tr>
<td>Advisor</td>
<td>Alan Stolzer, Ph.D.</td>
</tr>
</tbody>
</table>
# National Culture: Understanding the Impact of Cross-culture on Airline Pilots’ Safety Performance in the Middle-East and North Africa (MENA) Region

<table>
<thead>
<tr>
<th>Purpose</th>
<th>The purpose of this study is to determine if cross-cultural flight deck crew composition is related to increased error levels.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research Questions</td>
<td>• To what extent can we predict an unsafe performance event based on the national combination of pilots?</td>
</tr>
<tr>
<td>Research Methodology</td>
<td>Multi-dimensional chi-square tests, discriminant analysis and multinomial logistic regression, Spearman’s $r$ correlation tests on archival data.</td>
</tr>
<tr>
<td>Advisor</td>
<td>Tim Brady, Ph.D.</td>
</tr>
</tbody>
</table>
# Economic Interrelationships and Impacts of the Aviation/Aerospace Industry in the State of Florida Using Input/Output Analysis

## Purpose
The purpose of this quantitative, descriptive study is to develop current, comprehensive estimates of the magnitude and importance of the aviation and aerospace industry to all other Florida industries.

## Research Questions
- What is the composition of the aviation and aerospace industry in the FL and county economy in terms of employment and economic output?
- What are the interdependencies of the industry’s economic relationships with other industries in FL and the county?
- What would be the impact on the regional economy of FL and the county if a university research park was created?

## Research Methodology
Input-Output analysis using archival data

## Advisor
Tim Brady, Ph.D.
Very Active Student Scholarship

Other forms of scholarship accomplished by our students:

- 25+ refereed articles
- Numerous non-refereed articles
- 7 students have participated in FAA-funded faculty research and produced three technical reports
- 1 student co-authored book on business aviation management
- 1 student awarded Student Researcher of the Year award by FAA Center of Excellence
- 8 aviation law lessons published by the Center for Computer-Assisted Legal Instruction
- Numerous presentations and proceedings

Prediction of Runway Incursion Risk Using Bayesian Belief Networks

Graduate Research Award Program on Public-Sector Aviation Issues, FAA, ACRP, TRB/National Academies, 2012-2013. $10,000
Unique opportunity to define the academic color that defined the new discipline (e.g., orange for engineering, drab for business, purple for law)
  * Could have been royal blue for philosophy, but we desired something unique to the field

Selected silver due to the significance of the Wright Flyer to aviation
December 2013 Graduates
December 2014 Graduates
Medallion Ceremony
Questions?