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## Redefining Creep: A Comprehensive Analysis of Aviation Accident Survivability

Michael Knott

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**THESIS: REDEFINING CREEP  
A COMPREHENSIVE ANALYSIS OF AVIATION ACCIDENT  
SURVIVABILITY**

PREPARED BY

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A Master's Thesis Submitted to the College of Aviation Safety  
in Partial Fulfillment of the Requirements of the Degree of Master  
of Aviation Safety

REDEFINING CREEP:  
A COMPREHENSIVE ANALYSIS OF AVIATION ACCIDENT SURVIVABILITY

PREPARED BY

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This Master's Thesis was prepared under the direction of the candidate's Thesis Committee  
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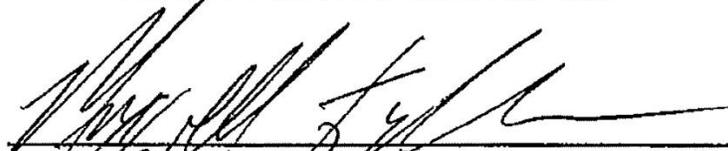
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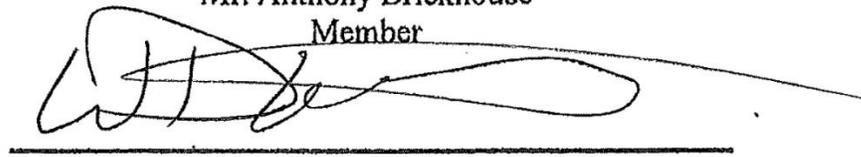
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## **ABSTRACT**

Given the sheer amount of flights that occur on a daily basis around the world, aviation accidents are going to occur. The principles ensuring that an accident is as safe as possible are considered aircraft survivability or crashworthiness which is analyzed using the acronym CREEP; **C**ontainer, **R**estraint, **E**nvironment, **E**nergy Absorption, and **P**ost-Crash Factors. CREEP is used by investigators to analyze survivability after a crash, but has significant short falls. By only focusing on a crash, CREEP misses several survivability concepts applicable to aviation such as aircraft equipped with ejection seats, inflight environmental factors, and high energy projectile strikes. To develop a more robust and comprehensive definition of CREEP, a mixed methods approach was conducted through a literature review, case study research, and conducting interviews. The literature review was done to establish a baseline for CREEP and demonstrate its focus on a crash. Case studies were evaluated and interviews were conducted to evaluate escape systems and other deficiencies identified with CREEP. Several case studies involved fatal injuries although no aircraft crash occurred. Interviews were conducted with escape system subject matter experts to identify the survivability of escape systems such as parachutes and ejection seats. Through case study and interview research, a new definition of CREEP was established; **C**ontainer, **R**estraint, **E**nvironment, **E**nergy absorption/**E**scape, and **P**ost-event factors. By using the new definition of CREEP, investigators don't have to just focus on accidents that involve a crash. The new acronym is more comprehensive and covers a much wider range of aviation systems.

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## LIST OF ABBREVIATIONS

<b>Abbreviation</b>	<b>Definition</b>
µg	micrograms
AFFF	Aqueous Film Forming Foam
AFIP	Air Force Institute of Pathology
AGL	Above Ground Level
ALSS	Aviation Life Support Systems
ARFF	Airport Rescue and Fire Fighting
ASL	Above Sea Level
ATC	Air Traffic Control
AvCIR	Aviation Crash Injury Research
CAB	Civil Aeronautics Board
CCOC	Combustion Chamber Outer Case
CE	Catastrophic Event
CIR	Crash Injury Research
CMCE	Crew Module Catastrophic Event
CPR	Cardiopulmonary Resuscitation
CREEP	Container, Restraint, Environment, Energy Absorption, Post-Crash Factors
CREEP	Container, Restraint, Environment, Energy Absorption/Escape, Post-Event Factors
CVR	Cockpit Voice Recorder
DoD	Department of Defense
ECG	electrocardiogram
ft.	Feet
ft/sec	Feet per second
ft/sec <sup>2</sup>	Feet per second squared
GPS	Global Position System
KE	Kinetic Energy
kgs	Kilograms
m/s	meters per second
M-ATV	MRAP All-Terrain Vehicles
min	minutes
ml	milliliters
mph	Miles Per Hour
MRAP	Mine-Resistant Ambush-Protected
NASA	National Aeronautics and Space Administration
NTSB	National Transportation Safety Board
°F	Degrees Fahrenheit
PLF	Parachute Landing Fall
ppm	Parts per million
RPM	Revolutions Per Minute
SME	Subject Matter Expert
U.S.	United States
U.S. C.F.R.	United States Code of Federal Regulations

## 1.0 Introduction

Since the first flight taken by the Wright Brothers in Kitty Hawk, NC, aviation has become an integral part of our society. Humans have only been flying in heavier than air, powered aircraft for a little more than a century. But today humans can fly faster than the speed of sound and at altitudes that exceed earth's atmosphere. We can put people into orbit around the earth, travel throughout space, and transport people and packages to anywhere in the world in a matter of hours. Aviation has fundamentally changed the logistics of how our society functions.

Flying is one of the safest ways modes of transportation. However, although they are rare, aviation accidents capture the attention of the general public, world leaders, and law makers alike. Accident investigations make headlines around the world. Preventing the accident from occurring in the first place is the most effective way to reduce the destruction and costs associated with an accident. However, considering the sheer quantity of flights that occur daily throughout the world in commercial, military, and general aviation, accidents are going to occur. While the prevention of accidents is the primary concern for many in the aviation safety field, accident survivability is also a critical area of focus. Given the assumption that accidents are going to happen, the next best thing that can be done after prevention is to ensure that the event is as safe as possible. This field of study is considered accident survivability or crashworthiness.

Current crashworthiness standards focus on various systems that aim to increase the safety of the aircraft crash. Accident survivability is synonymous with the word crashworthiness, and is analyzed by the acronym CREEP which stands for **C**ontainer, **R**estraint, **E**nvironment, **E**nergy Absorption, and **P**ost-Crash Factors (Davis, 2008). When evaluating a crash, investigators analyze each component of CREEP to rate the accident as survivable, non-survivable, or

partially survivable. A survivable crash is one in which each facet of CREEP is within human tolerances. A non-survivable accident is where one or more components of CREEP cause a life threatening injury for all occupants of the aircraft. A partially survivable accident is one in which some components of CREEP exceed human tolerance in part or some of the aircraft, but are within human tolerances for the remaining parts.

When evaluating all potential aviation accidents, the current survivability standard has significant short falls and does not address many survivability considerations that could be experienced during an accident. United States Code of Federal Regulations (U.S. CFR) define an aircraft accident as “an occurrence associated with the operation of an aircraft which takes place between the time any person boards the aircraft with the intention of flight and all such persons have disembarked, and in which any person suffers death or serious injury, or which the aircraft receives substantial damage” (Definitions, 2010). Lap-held infants and unrestrained cargo are not directly addressed by CREEP, although they may contribute to accident survivability. While CREEP focuses on crashworthiness, there is much more to aviation survivability than what happens during a crash.

By only focusing on a vehicle’s impact with terrain, many types of aviation accidents and survivability concepts are not captured. Uncontained engine failures and fires have the potential to cause serious injury or death to the occupants of an aircraft, while not necessarily causing the aircraft to crash. Crashworthiness does not address the survivability of occupants that egress the aircraft prior to impact with terrain such as aircraft equipped with ejection seats, escape capsules, or occupants that can bail out using parachutes.

Survivability may be impacted by the complex aerospace physiological issues that affect people operating in low pressure environments such as requiring supplemental oxygen during

flights above 12,500 feet (ft.) or using pressure suits during flights above 40,000 ft. (Jenkins, 2012). By only focusing on an aircraft's impact with terrain, CREEP fails to address the complex survivability factors that resulted in the deaths of the Space Shuttle Columbia STS-107 crew, which broke up on re-entry from low earth orbit.

Due to the limited scope of current survivability principles, CREEP should be expanded and redefined to include all accidents, not just those involving an aircraft's impact with terrain. Rather than focusing specifically on the crash and crashworthiness, CREEP can be redefined to become a comprehensive aviation survivability concept that covers all phases of modern aviation and aerospace applications. This will allow investigators and those participating in accident investigations and other aviation safety fields to use CREEP as a universal concept for accident survivability.

## **2.0 Methods**

To develop a more robust and comprehensive definition of CREEP, a mixed methods research approach will be used. It is important to note that the purpose of this research is to redefine the standard for aircraft accident survivability. As a result, the data collected is not intended to test a hypothesis; it is intended to substantiate an expanded definition of the current survivability standard. This will primarily be done by using three different methodologies; a literature review, evaluating case studies, and conducting interviews.

A comprehensive literature review was conducted on defining CREEP to establish a baseline. Shortcomings of CREEP were identified and the literature review demonstrated CREEP's focus on a crash. However, not all survivability issues have to deal with what happens during or after a crash. For some aviation accidents, a crash doesn't occur at all. To emphasize that point, specific case studies and special considerations were studied.

After defining CREEP, some accidents were found to show significant deficiencies in the survivability acronym. These accidents were evaluated as case studies. In total, seven case studies were identified to include:

- Delta Airlines Flight 1288
- Southwest Airlines Flight 1380
- Trans-Canada Airlines Flight 304
- British Airtours Flight 28M
- The Red Bull Stratos Project
- The Space Shuttle Columbia STS-107 accident
- National Airlines Flight 102
- United Airlines Flight 232

The first four case studies focus on penetration or breach of the fuselage of an aircraft under conditions outside of an aircraft's impact with terrain. In all four cases the aircraft was either penetrated and/or breached due to propulsion failures. While all the cases can be defined as aviation accidents, none of them crashed. Although all four accidents resulted in fatalities and substantial damage to the aircraft, in two of the accidents, the aircraft never became airborne. These accidents were studied in detail and the survivability factors not currently captured by CREEP are outlined.

The Red Bull Stratos project outlined the complex aerospace physiological issues associated with survivability in high altitude, low pressure environments. The project was also studied to outline some of the survivability considerations that face parachutists. Another case study that was evaluated was the Space Shuttle Columbia STS-107 accident. In the case of the Columbia, the crew of the aircraft received fatal injuries long before the aircraft impacted the

surface of the earth. The Columbia accident and the survival factors were studied and the survival factors outlined. The last two case studies involve aircraft that ultimately did crash but the focus of these case studies was on unrestrained passengers and improperly restrained cargo. Neither of those considerations are currently addressed by CREEP.

In addition to the case studies an additional consideration will be made; escape systems. Escape systems include parachutes, ejection seats, and escape modules. A literature review and interviews were conducted to study bailout and ejection seat survival factors. In total, four Subject Matter Experts (SMEs) were consulted on the subjects of ejection seat and parachute performance. The SMEs are all current employees of the Department of Defense (DoD) and have over 110 years combined experience working with parachutes and ejection seats. Their experiences varied from lead research and development engineer, product specialists, in-service support engineers, and mishap investigators.

Once the data was compiled from the case study research, literature review, and SME interviews, the new definition of CREEP was developed based on the identified shortcomings of the current definition. The result of this research is a more robust, comprehensive approach to survivability. The new definition of CREEP will address many various aviation applications and it will no longer focus on crashworthiness.

## **3.0 Literature Review**

### **3.1 History and Background**

Aircraft accident survivability and crashworthiness are synonymous terms. Crashworthiness is defined as “the ability and technology of an aircraft and its internal systems and components to protect occupants from injury in the event of a crash” (Davis, 2008). While the current standard

for analyzing survivability is CREEP, the history of crashworthiness can be traced back to a pioneer of aviation survival research; Hugh DeHaven.

DeHaven was a pilot, engineer, and he is considered by many to be the “father of aviation crashworthiness” (Hurley, 2002). Hugh DeHaven volunteered with the Canadian Royal Flying Corps after being rejected by the U.S. Army Air Corps during World War I. In 1917, during a training mission, DeHaven was involved in a midair collision with another aircraft which resulted in a crash. DeHaven was the only survivor from the two aircraft and sustained serious injuries which included fractured limbs, a ruptured liver, gall bladder, and pancreas (Gangloff, 2003) (Hurley, 2002).

During his recovery, DeHaven spent a considerable amount of time analyzing his crash and resulting condition. He concluded that his safety belt was responsible for his injuries. Following his crash, DeHaven was removed from an aviation billet for the remainder of his military career and he concluded his commitment with the Canadian Royal Flying Corps as a clerk. In his new position, one of his responsibilities was to collect the remains of deceased aircrew involved in accidents. His responsibilities as a clerk solidified his interest in crashworthiness and accident survivability (Gangloff, 2003).

Due to his exposure, DeHaven became interested in the injuries sustained during crashes and noted common injury patterns from the crashes he studied. He devoted his career to making aircraft and automobile crashes as safe as possible. DeHaven secured funding for research through the National Research Council and the Office of Naval Research where he established the Crash Injury Research (CIR) program, which grew into the Aviation Crash Injury Research (AvCIR) program in 1950. At Cornell University Medical College, DeHaven studied crashes at AvCIR and conducted crashworthiness research (Hurley, 2002).

Using the knowledge he gained throughout his 30 years studying accidents, in 1956 DeHaven published the “Four Principles of Packaging for Accident Survival”. Those principles are:

1. “The package should not open up and spill its contents and should not collapse under expected conditions of force and thereby expose objects inside it to damage.”
2. “The packaging structures which shield the inner container must not be made of brittle or frail materials; they should resist force by yielding and absorbing energy applied to the outer container so as to cushion and distribute impact forces and thereby protect the inner container.”
3. “Articles contained in the package should be held and immobilized inside the outer structure by what packaging engineers call interior packaging. This interior packaging is an extremely important part of the overall design, for it prevents movement and resultant damage from impact against the inside of the package itself.”
4. “The means for holding an object inside a shipping container must transmit the forces applied to the container to the strongest parts of the contained objects.”  
(DeHaven, 1952)

DeHaven’s principles were some of the first concepts used in the safety engineering discipline for optimizing crash survival. His four principles were instrumental in designing the first truly crashworthy aircraft, the AG-1 (Hurley, 2002). The concepts laid out by DeHaven would lay the foundation for current crashworthy and aviation survivability standards. All four of DeHaven’s principles are captured to some degree in the modern method used to assess crashworthiness, the acronym CREEP.

### 3.2 CREEP

CREEP stands for **C**ontainer, **R**estraint, **E**nvironment, **E**nergy Absorption, and **P**ost-Crash Factors. When compared to DeHaven’s four principles, container captures the first of his

principles, energy absorption relates to his second principle, and restraint correlates to his third and fourth principles. Under current standards, when analyzing accident survivability, each component of CREEP is broken down and independently analyzed. For an accident to be survivable, all aspects of CREEP must be within human tolerances. If any of the components of CREEP are outside of human tolerances, the accident is either partially or completely non-survivable. To begin a crashworthy assessment, the accident is analyzed starting with the first letter in CREEP, “C”, or container.

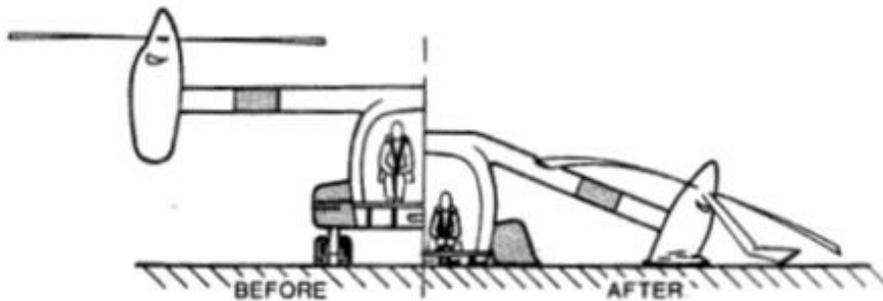
### **3.2.1 Container**

The concept of container is a derivation of DeHaven’s first principle and deals with the occupiable space that surrounds the occupants of the aircraft. The aircraft structure “should possess sufficient strength to prevent intrusion of structure into occupied spaces during a survivable crash, thus maintaining a protective shell around all occupants” throughout the accident (Shanahan, 2004). This protective shell is often referred to as survivable space or survivable volume. If the aircraft’s occupied space breaks apart, crushes, or allows penetration, survivable space for the occupants will not be maintained and the risk of serious injury or death significantly increases.

The design of an aircraft is critically important with respect to container. Overall aircraft structural design, engine configuration, wing configuration, and location of high mass items play a significant role in survivability. On early aircraft, the engines were mounted in the pusher configuration which placed propellers and engines behind the pilots which sat in open flight decks on the front of the aircraft. During crashes the engines would break from their mounts and displace forward, exposing the pilots to a greater risk of injury. By simply using a tractor engine configuration, where the engine is mounted in front of the pilot, the high mass of the engine no

longer presents the same risk of injury. If a pusher engine is absolutely necessary, design consideration must be made to ensure that there is enough structural integrity of the engine mounts to prevent it from displacing forward into the occupied space of the aircraft during a crash (DeHaven, 1952).

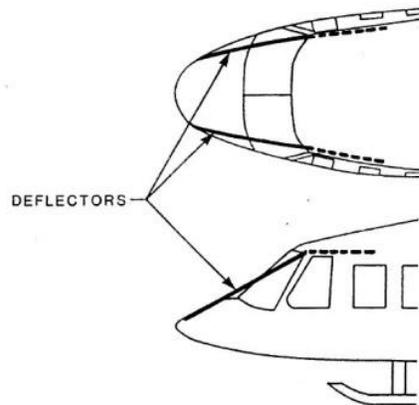
Regarding wing configuration, a high wing airplane can place items such as wing box structure, fuel cells, and engines above the cabin of the aircraft. If the wing structure isn't designed to break away, the high mass items contained on the wings could potentially crush the cabin, reducing the survivable space available in a crash. If a low or mid-wing configuration isn't feasible for an aircraft design, consideration must be taken into hardening the fuselage and designing the wing structure so that it breaks away reducing the risk of penetrating the cabin during a crash. This concept is illustrated in figure 1. Note the deformation of the wing at the wing root and the lack of damage to the fuselage of the cabin (Simula, 1989).



**Figure 1: Example Controlled Wing Failure** (Simula, 1989)

On utility and heavy lift rotary wing aircraft, high mass items such as the engines, gear box, and rotor systems are typically mounted high on the vehicle. Engines may be designed to break away and separate from the aircraft. The cabin structure may be hardened so that the high mass items don't penetrate the survivable space of the aircraft. Also unique to rotary wing aircraft is the consideration for blade strike prevention. In an accident, it is possible for the rotor system to displace from its normal plane of rotation and strike aircraft structure. Special

considerations should be made to prevent the rotor blades from penetrating occupiable spaces. If a strike cannot be prevented, deflection systems can be used to prevent aircraft penetration. Figure 2 shows an example of a blade deflection system installed on the nose of a helicopter just in front of the flight deck (Simula, 1989).



**Figure 2: Example Blade Strike Deflection System** (Simula, 1989)

The last consideration for container is the structural design of the front of the aircraft to prevent plowing or scooping of debris. When accidents involve high longitudinal velocities, plowing “decreases stopping distances and results in higher decelerative loads” (Shanahan, 2004). The nose of the aircraft should be shaped properly and be rigid enough to prevent the aircraft from digging into the impact surface. Otherwise accelerations that exceed human tolerances may be experienced.

### **3.2.2 Restraint**

The second survivability component in the acronym CREEP is restraint, which is a combination of DeHaven’s third and fourth principles. Restraints are those systems used to limit occupant flail or excursion around the survivable space of the aircraft throughout a crash event. They are critical at mitigating the energy of the occupant throughout an accident. The restraint system begins with the components that interact directly with the human and are typically

textiles that include lap belts, shoulder belts, or full body harnesses. These belts are attached to seats which are then mounted to aircraft structure. The system of belts, belt to seat interface, seat, seat to aircraft interface, and aircraft structure establish a total restraint system that is considered the “occupants tie-down chain” (Lee, 2006). Failure of the occupant tie-down chain to properly restrain an occupant significantly increases the risk of blunt force trauma due to excess occupant flail and subsequent contact with aircraft structure.

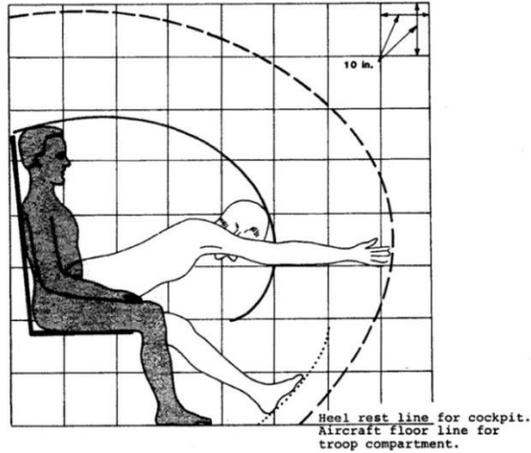
Blunt force trauma was the primary cause of the fatal injuries experienced in civilian helicopter accidents between 1993 and 1999. They accounted for 88% of the deaths in the 74 fatal accidents studied. Of the blunt force trauma injuries experienced, 62% of those injuries were to the head and 61% were to the thoracic region of the body (Taneja & Wiegmann, 2003). Restraint systems are the most effective ways to limit occupant flail and contact injuries during an accident. To minimize occupant flail, the restraint system should be designed appropriately for the location where it is being implemented.

Restraint systems are classified based on how many points of attachment they have with the belt to seat interface. For example, a two-point restraint system is typically just a simple lap belt with one attachment point on each side of the occupant’s pelvis. Two-point restraints are commonly used on passenger commercial aircraft seats. A three-point restraint is commonly used in modern automobiles, includes a lap belt, and a single shoulder strap. Restraint systems can vary from two up to five or more points. Modern military aircraft use five-point restraints in crew seats and the racing industry has utilized up to seven points in their restraint systems. Table 1 summarizes commonly used restraint systems in the aviation industry along with a brief description of their application (Simula, 1989).

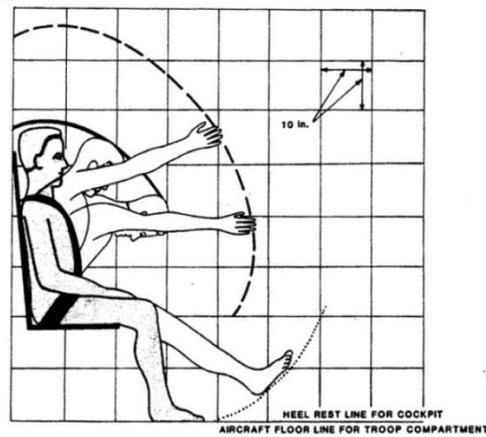
<b>Table 1: Restraint System Types and Application</b>		
<b>Restraint Type</b>	<b>Description</b>	<b>Application</b>
two-point	Lap Belt	Airline Passenger Seats
three-point	Lap Belt, Single Shoulder Belt	Automobile Seats, General Aviation Aircraft Seats
four-point	Lap Belt, Two Shoulder Belts	Flight Attendant Seats, Pilot Seats, Automotive Racing Seats
five-point	Lap Belt, Two Shoulder Belts, Tie-Down Strap	Military Rotary Wing Pilot Seats

The more points a restraint system has, the less the occupant will flail and displace throughout a crash. A two-point restraint is the least effective at minimizing occupant flail. With just a simple lap belt, an occupant's head and upper torso are free to displace. As previously stated, a majority of blunt force trauma injuries experienced during helicopter crashes are to the head and thoracic region of the body. Due to the use of two-point restraints and the lack of proper restraint, head injuries are the most commonly experienced serious or fatal injury observed during general aviation accidents (Davis, 2008). The use of at least a three-point restraint can significantly decrease occupant flail.

Restraining the upper torso can significantly reduce the displacement of the chest and head. Figures 3 and 4 show the flail envelope of a sample occupant using a two-point and a four-point restraint system after being exposed to a longitudinal acceleration (Simula, 1989). By using a four-point restraint, head displacement is reduced by roughly 50%. The unrestrained upper torso experiences almost twice the head displacement when compared to a restrained torso.



**Figure 3: Flail Envelope using a 2-Point Restraint (Simula, 1989)**



**Figure 4: Flail Envelope using a 4-Point Restraint (Simula, 1989)**

If any objects are placed the flail envelope of the occupant during a crash such as a control stick, yoke, or instrument panels, blunt force trauma injuries are likely. A two-point restraint system will not prevent contact with aircraft controls or the instrument panel if used on pilot or co-pilot seats of an aircraft. As seen in figure 3, if anything is within the occupant's reach while sitting upright, it would also be within the head flail envelope if using a two-point restraint. Using two-point restraints at crew positions significantly increases the risk of blunt force trauma injuries being experienced to the head or torso region of the body.

Another important consideration for restraint is how the tie-down chain transmits loads throughout the system. This is emphasized by DeHaven's fourth principle. The seat and support

structure must be designed with enough strength to react the expected crash loads imparted by the occupant. Failure of the seat or support structure will cause a break in the tie-down chain and as a result, the occupant will become a projectile as they will be free to move about the aircraft. The belts of the restraint itself must be designed to react loads appropriately including how they integrate with the occupants themselves.

The restraints that interface with the human must be designed appropriately and should apply crash loads properly to the body to prevent serious injury. The restraints used on crashworthy seats are rated to transmit loads measured in the thousands of pounds. For example, the shoulder straps on some pilot seats are rated to over 5,000 pounds (Simula, 1989). The skeletal system is the only biomechanical structure in the human body equipped to react the high loads experienced in a crash.

It is critical that lap belts be routed over the iliac crest of the pelvis and shoulder belts be routed over the clavicle. Soft tissue of the human body tends to rip, tear, and rupture under the dynamic loading associated with a crash. Failure of the restraint belts to impart loads into the skeletal system of the occupant can result in serious or potentially fatal injuries (Hurley, 2002). The injuries experienced by Hugh DeHaven during his crash were likely associated with his lap belt migrating over his abdomen at some point during the crash. The loads imparted by his lap belt lacerated and ruptured the soft tissue organs in his abdominal cavity.

### **3.2.3 Environment**

After evaluating container and restraint, the first “E” in CREEP, environment must be analyzed. Environment “refers to the space that any portion of his body may occupy during dynamic crash conditions” (Shanahan, 2004). It is important to safe the objects in the immediate surrounding environment of occupants during a crash. This includes objects such as instrument

consoles, yokes, cyclic controls, passenger tray tables, or any other object the occupant may strike while restrained during an accident. The delethalization of the occupant's local surroundings and proper restraint is critical to ensuring that a survivable environment exists. Designers should keep hard, rigid objects as far away from occupants as possible. If it is not possible to keep object out of the flail envelope, considerations should be made to add padding or design the object to be frangible or break away (Shanahan, 2004).

Flail analysis should be conducted to minimize rigid aircraft structures within an occupants flail envelope. The prevalence of head injuries during crashes emphasizes the need for a systematic approach to survivability. While not necessarily a part of the aircraft design, supplemental systems such as helmets can significantly reduce the risk of head injury and increase survivability during an accident.

In addition to proper design the occupant's surroundings, it is also important to ensure that an occupant's immediate surroundings are able to support life. Clean, oxygenated air is necessary to ensure the survivability of occupants throughout the crash event. "Pyrolyzation products from fires involving electrical insulation and the polyurethane sound-attenuating or decorative panels can produce inflight incapacitation which reduces survival chances" (United States, 1991, 24-3). Risk of injury due to smoke exposure is a generally a function of smoke composition, concentration, and duration of exposure. More specifics on smoke exposure can be found in section 3.2.5. The effects of smoke exposure can be mitigated by having supplemental breathing devices available in case an inflight fire breaks out in the aircraft.

### **3.2.4 Energy Absorption**

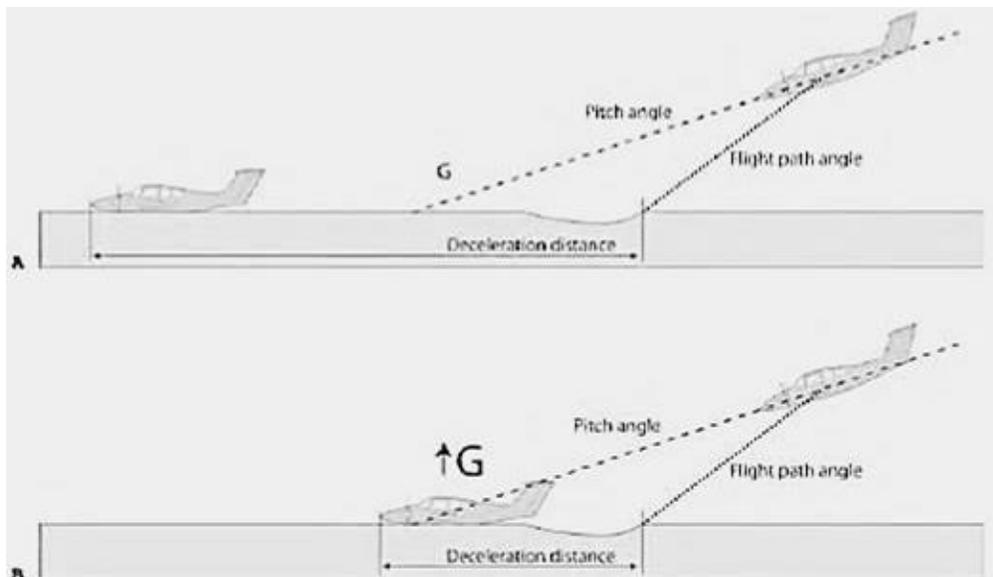
The second "E" in CREEP represents energy absorption which refers to the process of dissipating energy in a safe manner throughout a crash. The concept is derivative of DeHaven's

second principle. Kinetic energy (KE) represents a significant source of energy at an aircraft's initial impact. As seen in equation 1, KE is proportional to the square of velocity so relatively small increases in the aircraft velocity result in large increases in KE (Hurley, 2002).

$$KE = \frac{1}{2} m * \vec{V}^2 \quad (1)$$

Where:  $KE$  = Kinetic Energy  
 $m$  = Mass  
 $V$  = Velocity

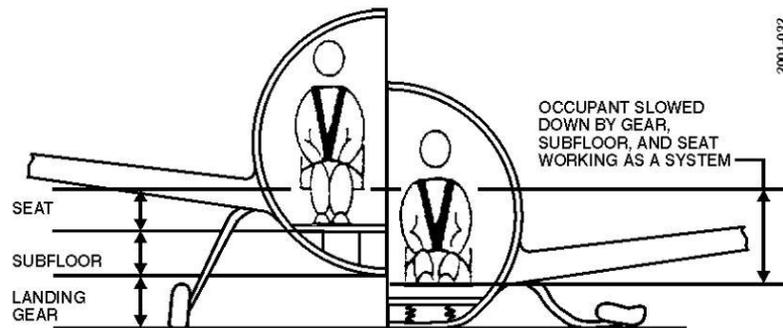
Velocity is a vector meaning that it has both a magnitude and direction. A velocity vector can be broken down into its components of longitudinal, lateral, and vertical values. The energy associated with the aircraft's horizontal and lateral velocities are typically dissipated throughout the aircraft slide out. For most conditions, the longer the slide out given uniform terrain conditions, the lower the accelerations the occupants will experience. This concept can be seen illustrated in figure 5. The accelerations experienced in example A would be significantly lower than the accelerations experienced in example B given the same impact velocity.



**Figure 5: Example Slide Out (Davis, 2008)**

The vertical energy however, is not so easy to dissipate as a long slide out isn't possible. There isn't as much space between the bottom of the aircraft and the ground. For vertical energy, a systematic, comprehensive approach must be taken. Vertical energy absorption is typically accomplished via the local terrain and aircraft energy absorbing systems. The terrain the aircraft impacts has the potential to absorb energy. If gouge marks as seen in figure 5 are present at the initial impact site, the depth of the gouge represents additional displacement and as a result, energy absorption. Soft surfaces such as sand, soil, or snow will absorb more energy than harder surfaces such as concrete or rocky terrain. In addition to terrain, energy absorption can also occur from aircraft deformations and energy absorbing systems.

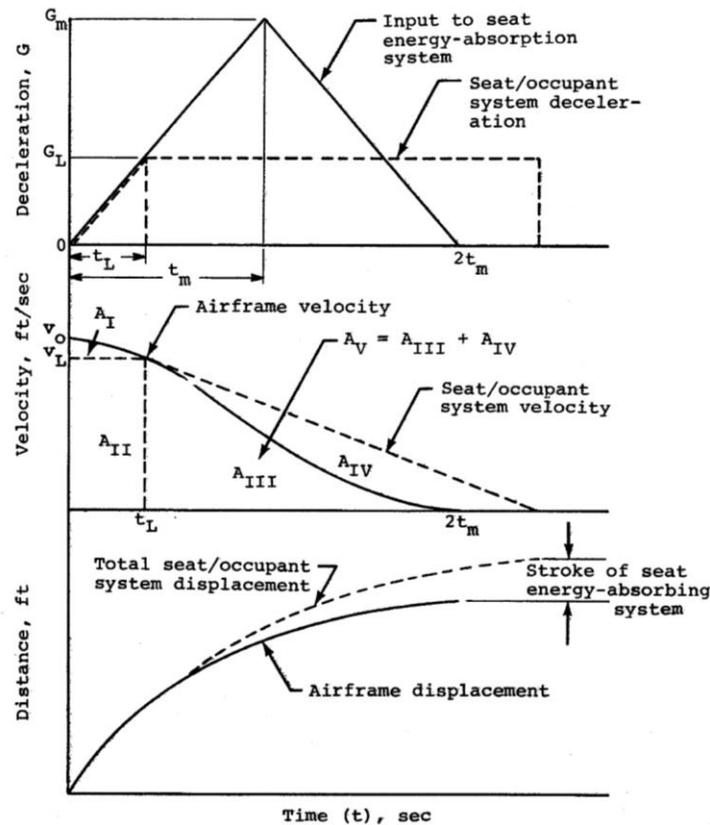
Energy absorbing systems include landing gear, aircraft structure, and crashworthy seats (Shanahan, 2004). Crashworthy structures absorb energy in a crash through very controlled, predictable, and repeatable deformations. This deformation, just like the crumple zones in modern cars, reduces the loads experienced by the occupants of the aircraft by spreading out the crash over a longer distance and time. The displacement that occurs during a crash due to energy absorption is illustrated in figure 6.



**Figure 6: Example Energy Absorbing Systems (Simula, 1998)**

Energy absorbing aircraft structures slow the rate of descent by increasing the distance and time in which the crash occurs. While figure 6 shows the types of displacements that can

occur in an aircraft, figure 7 shows the effect of energy absorption. Figure 7 illustrates the vertical position, velocity, and acceleration experienced by an occupant in a crash. The bottom of the figure shows the distance traveled or displacement (ft.) while the middle shows the velocity (ft/sec) and the top shows the resulting acceleration (ft./sec<sup>2</sup>). The solid line represents the aircraft structure as if there were no energy absorption taking place while the dashed line represents crashworthy structures being used. By using energy absorbing structures, the peak accelerations ( $G_L$ ) are significantly reduced compared to aircraft accelerations ( $G_M$ ) without using energy absorbing technology (Simula, 1989).



**Figure 7: Energy Absorption Effects (Simula, 1998)**

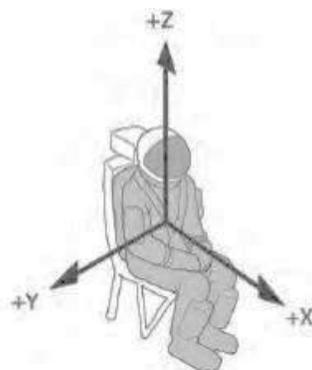
Minimizing the accelerations experienced by the occupants of the aircraft reduces the forces they experience. Based on Isaac Newton's second law, force is directly proportional to acceleration. For a given mass, the resultant force is equal to the mass times the acceleration.

Acceleration and force are vector quantities having both a direction and magnitude. Newton's second law is represented by equation 2 (Hurley, 2002).

$$\vec{F} = m * \vec{A} \quad (2)$$

Where:  $F$  = Force  
 $m$  = Mass  
 $A$  = Acceleration

Human tolerances to whole body impacts vary based on several factors such as the direction to which they are applied, as well as the age, sex, and general state of health of the individual. Generally for most people, human tolerances to accelerations are the highest in the longitudinal (X) direction which is considered eye balls in or out. They are the lowest is in the lateral (Y) direction which is considered eye balls left or right. Some of the most common acceleration based injuries in aviation are in the vertical direction (Z) or eye balls up or down. When exposed to vertical accelerations, the spinal column is typically the limiting factor for injuries. Vertebral fractures of the lower thoracic and lumbar region of the spinal column are common when exposed to accelerations over 20 Gs (Davis, 2008). Intervertebral disks are also at risk of herniation or rupture as the spine is compressed during vertical accelerations. Figure 8 shows the coordinate system used for accelerative human tolerances (Davis, 2008).



**Figure 8: Human Coordinate System** (Lee, 2006)

Another consideration to be taken into account when discussing human tolerance to accelerations, are the biological variabilities that exist between individuals. In general, a person's ability to react the loads associated with a crash is directly a function of their biomechanical ability to react the loads. The musculoskeletal system is the body's primary biomechanical mechanism to carry and transmit loads. The strength of an individual's musculoskeletal system is a function of factors such as age, sex, and general state of health. Typically, a young adult will have the highest tolerance to impacts. As bone density decreases with age, the ability to react loads decreases. With regard to sex, factors such as mass distribution, bone density, and muscle mass can influence tolerance to impacts. Men typically have higher muscle mass and bone densities compared to women and as a result can withstand higher accelerations. General state of health plays a critical role in an individual's tolerance to crash loads. Factors such as chronic medical conditions and poor physical conditioning may significantly degrade an individual's ability to react crash loads (Shanahan, 2004). A summary of typical whole body acceleration limits can be seen in table 2 (Motley, 2005). The values in table 2 represent typical acceleration tolerances of a healthy young adult. Table 3 summarizes some common accelerative and impact injuries that occur during crashes with the corresponding accelerations at which they occur (Lee, 2006).

<b>Table 2: Whole Body Acceleration Limits (Motley, 2005, p 245)</b>		
<b>Position</b>	<b>Limit (G)</b>	<b>Duration (Sec)</b>
Eyeballs Out (+Gx)	45	0.1
	25	0.2
Eyeballs In (-Gx)	83	0.04
Eyeballs Down (-Gz)	20	0.1
Eyeballs Up (+Gz)	15	0.1
Eyeballs Left or Right (Gy,-Gy)	9	0.1

<b>Injury</b>	<b>Acceleration (Gs)</b>
Pulmonary Contusion	25 G
Vertebral Body Compression	20-30 G
Fracture Dislocation of C-1 on C-2	20-40 G
Aorta Intimal Tear	50G
Aorta Transection	80-100G
Pelvic Fracture	100-200G
Vertebral Body Transection	200-300 G
Total Body Fragmentation	350+ G
Concussion	60 G over 0.02 sec 100 G over 0.005 sec 180 G over 0.002 sec

### 3.2.5 Post-Crash Factors

The last component of CREEP is post-crash factors which includes anything that can impact survivability after the crash is over. This includes considerations such as a post-crash fire, water impact, exposure to the elements, availability of medical care, and prompt rescue operations (Lee, 2006) (Shanahan, 2004). One of the most important factors impacting survivability after a crash is the presence of a post-crash fire (United States, 1991).

As a result of impact forces during a crash, fuels can vaporize and aircraft damage can compromise the fuel storage systems which allow the fuel to come in contact with various ignition sources. While crashworthy fuel systems have drastically reduced the occurrence of post-crash fires on military rotorcraft, these systems are rarely used on commercial or general aviation fixed wing aircraft (United States, 1991). On large aircraft, passengers can have as little as 50 seconds to escape before fire engulfs the cabin. In cases of severe fire, they may have as little as 7 seconds before incapacitation (Lee, 2006).

A post-crash fire presents several hazards to the occupants to include heat injury and toxic gas exposure. The most common types of heat injuries experienced in aircraft fires are to

the integumentary and respiratory systems. At temperatures above 111 °F, the rate of cellular destruction in the skin increases which can cause injury (Simula, 1989). Skin burns can be broken down into four categories, 1<sup>st</sup> degree, 2<sup>nd</sup> degree, 3<sup>rd</sup> degree, and 4<sup>th</sup> degree burns. 1<sup>st</sup> degree burns are the most superficial while 4<sup>th</sup> degree burns are the most severe. 4<sup>th</sup> degree burns likely result in amputation and can possibly lead to death. Table 4 summarizes burn classifications and the extent of damage (Tintinalli, 2010).

<b>Table 4: Skin Burn Injury Severity (Tintinalli, 2010)</b>	
<b>Type</b>	<b>Layers Involved or Damaged</b>
1 <sup>st</sup> Degree	Epidermis Layer
2 <sup>nd</sup> Degree	Epidermis, Dermis Layer
3 <sup>rd</sup> Degree	Epidermis, Dermis, and Hypodermis Layer
4 <sup>th</sup> Degree	Epidermis, Dermis, Hypodermis, Subcutaneous Layer, and Bone

Respiratory injuries are also common in aviation accidents due to post-crash fire which are caused by the inhalation of hot gasses. While the mechanisms of alveoli damage due to the inhalation of hot gasses is well understood, the research covering the human tolerances to respiratory system thermal damage is not very comprehensive. Live subject testing is not possible due to the ethical considerations required to conduct such research. While respiratory injury due to heat is possibly incapacitating for occupants involved in a post-crash fire, “there are not enough data available to establish and escape limit threshold” (Simula, 1989).

In addition to the heat related injuries associated with a fire, the prevalence of toxic gasses also present a major hazard. Gasses that are present in a burning aircraft include carbon dioxide, carbon monoxide, sulphur dioxide, nitrogen dioxide, hydrogen chloride, and hydrogen cyanide (Simula, 1989). The risk of injury due to exposure to toxic gasses is a function of gas concentration and duration of exposure. Table 5 summarizes some of the concentrations and exposure limits to various hazardous gasses experienced in an aircraft fire.

**Table 5: Tolerance to Combustion Gasses (Simula, 1998, p. 27)**

<b>Gas</b>	<b>Time of Exposure (min)</b>	<b>Hazardous Concentration Levels (ppm)</b>
Carbon Dioxide	Less than 30	50,000
	30	40,000
	60-120	35,000
Carbon Monoxide	Less than 30	3,000
	30	1,600
	60-120	800
Sulphur Dioxide	Less than 30	400
	30	150
	60-120	50
Nitrogen Dioxide	Less than 30	240
	30	100
	60-120	50
Hydrogen Chloride	Less than 30	1,000
	30	1,000
	60-120	40
Hydrogen Cyanide	Less than 30	200
	30	100
	60-120	50

To minimize the effect of a post-crash fire, emergency egress must be accomplished immediately. The ability for everyone to identify, move to, open, and use emergency egress hatches are critical to reduce the risk of injury due to a post-crash fire or water egress. They must be easy to identify in a degraded visual environment. Emergency lighting which directs occupants toward egress locations can be extremely important for quick egress from a smoke filled, dark, or underwater aircraft cabin. Emergency egress location must be easy to access in an aircraft that is upside down and sinking in water and they must be hardened to ensure that they are still operational after a crash.

Naval and offshore oil operations heavily use helicopters to fly over water at much higher rates when compared to other industries. Helicopters, by design, have a very high center of gravity due to the rotors, engines, and gear boxes being located high on the aircraft. Due to this

high center of mass, the aircraft are susceptible to roll over (Shanahan, 2004). Egress is of significant interest, as most helicopters will flip and sink shortly after impact with the water.

Factors such as crashworthy energy absorbing seats can potentially affect egress from a sinking aircraft. When tested using a Modular Egress Training Simulator (METS) egress times increased when using stroking seats (Taber, 2013). Training has the potential to significantly increase survivability. When conducting egress training, it is important that participants be trained and familiar with overcoming the adverse reactions with energy absorbing seats, possible environmental conditions, and the varying positions of the helicopter during an emergency water egress event.

Once egress from the aircraft has been accomplished, there are several post-crash factors to consider including exposure to the elements. When an aircraft ditches or crashes on water, it is important that occupants of the aircraft have flotation systems available. This can be accomplished by using individual flotation systems or rafts that can accommodate larger groups of people. After the aircraft impacts water, it should be expected that the occupants of the aircraft are to remain afloat until rescue operations can be accomplished as it cannot be assumed that everyone on the aircraft is proficient at swimming or treading water. Therefore it is critical that every occupant of the aircraft have at least one type of flotation system at their disposal.

Another consideration for exposure to the elements is the weather conditions at the time of the crash. Weather is very different than the CREEP consideration environment. Environment with respect to CREEP refers to the local surrounding of the occupant inside the aircraft throughout a crash. Weather is a post-crash factor and refers to the weather extremes that have the potential to cause various injuries such as hyperthermia and hypothermia. Hyperthermia includes injuries such as heat exhaustion or heat stroke and is associated with high

ambient temperatures. Heat injuries are commonly a function of exposure time, temperature, and relative humidity. A common measure for risk of heat injury is the heat index. Figure 9 shows the relative risk of injury as a function of temperature and relative humidity (Heat Index). High ambient temperatures with high relative humidity increase the risk of heat-related injuries.

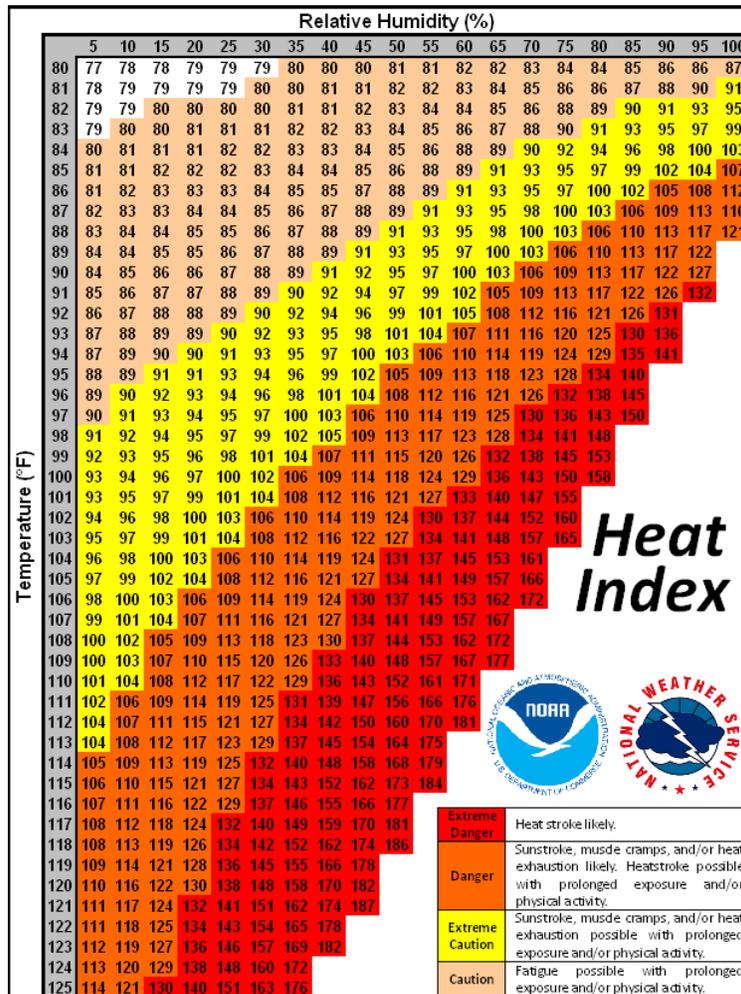
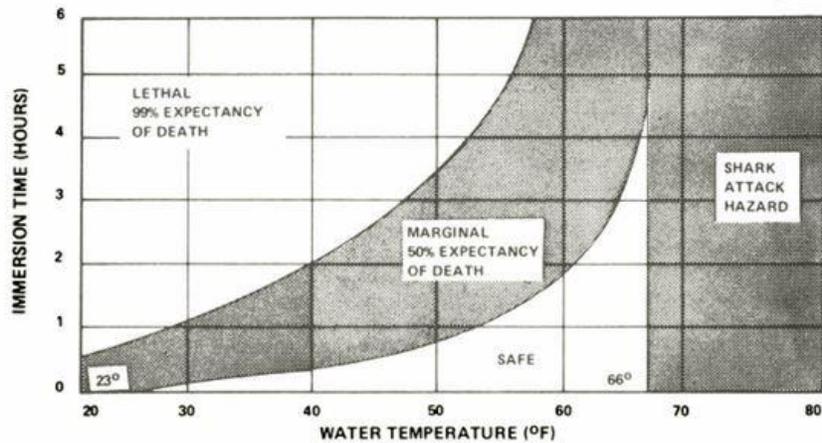


Figure 9: Heat Index (Heat Index)

On the other side of the weather extreme, hypothermia and frostbite are common weather injuries associated with low ambient temperatures. When temperatures are below freezing, frostbite and hyperthermia can be experienced. The risk of hypothermia significantly increases when low ambient temperatures are combined with water exposure. When exposed to both cold water and low ambient temperatures, survival can be measured in minutes. As seen in Figure 10,

the risk of death when exposed to cold water is a function of water temperature and duration of exposure (United States, 1986).



**Figure 10: Cold Water Immersion Chart** (United States, 1986)

When exposed to cold water and low ambient temperatures, it is important that flotation systems get the aircraft occupants out of the water as soon as possible. Oftentimes, personal flotation systems will only help personnel stay afloat while rafts will get people out of the water. If operations are known to be conducted over cold water, the use of anti-exposure suits can significantly increase the chances for survival if the aircraft were to crash.

Another consideration to make for exposure to the elements is wildlife interaction. As it can be seen in figure 10, at temperatures above about 66 degrees Fahrenheit (°F), a shark attack hazard is present. Sharks are the most common predators experienced when stranded at sea. But when an accident occurs on land, predators such as bears, lions, tigers, wolves, and coyotes should be considered. During an accident, the various injuries experienced by the aircraft occupants may attract predators to the crash site regardless of whether the accident occurs at sea or on land. In addition to predators, venomous and poisonous wildlife have the potential to cause serious injury or death after a crash. When considering exposure to the elements, a prompt

emergency rescue and immediate access to medical attention can significantly increase the probability of survivability.

Prompt access to medical care can significantly reduce the risk of critical injuries resulting in fatal injuries. Immediately after egress has occurred, and it is safe to do so, it is important for the medical condition of everyone involved in the crash to be evaluated and first aid be rendered if possible. If first aid isn't possible on scene, it is necessary that the victims of the crash be recovered and medical attention provided immediately.

A fast rescue operation can improve survivability in many ways. The quicker the rescue, the faster advanced medical care can be given, and the risk of injury due to exposure to the elements is reduced. For example, on January 15, 2009, US Airways flight 1549 ditched into the Hudson River shortly after takeoff from LaGuardia Airport. The water temperature was about 36 °F and the ambient temperature was 21 °F. Hypothermia was a serious hazard to the occupants of the aircraft. However, due to the location of where the aircraft ditched, commuter ferries were on scene recovering occupants of the aircraft in less than 10 minutes (NTSB, 2009). Due to the immediate response and recovery efforts, there were no fatalities from US Airways Flight 1549. Had the aircraft ditched in the Atlantic Ocean where recovery wasn't measured in minutes but rather hours, it is likely that many would have perished due to hypothermia.

## **4.0 Results**

With CREEP defined, its focus on the crash is very clear. As mentioned in section 2.0, there have been several aviation accidents that have occurred throughout history which are not currently covered by CREEP. While the list of mishaps chosen does not represent an exhaustive list, each case study emphasizes a deficiency with CREEP. Each case study summarizes the mishap and reviews the survivability aspects.

## 4.1 Case Studies

### 4.1.1 Delta Airlines Flight 1288

#### 4.1.1.1 Background

On July 6, 1996, a McDonnell Douglas MD-88 experienced an engine failure during takeoff from Pensacola Regional Airport, Florida. The flight was conducted under Part 121 scheduled, air carrier operations and was operated as Delta Airlines flight 1288. There were a total of 144 people onboard the aircraft of which two received fatal injuries, two received serious injuries, and three received minor injuries.

During the aircraft's takeoff roll, the number 1 engine experienced an uncontained failure which resulted in significant damage to the fuselage. Without getting airborne, the crew aborted takeoff and stopped roughly 1400 ft. down the runway. After the aircraft came to rest, the flight crew initiated an emergency evacuation. The accident aircraft can be seen in figure 11; note the damage to the fuselage.



**Figure 11: Damage to MD-88 Fuselage (NTSB, 1997)**

The source of the engine failure was determined to be a fatigue failure of the compressor fan hub which was caused by a manufacturing defect. A drilling process during manufacture created an altered microstructure within the part which went undetected. The altered

microstructure produced a stress concentration which would ultimately form a fatigue crack. The inspection techniques employed by the engine manufacturer and Delta did not detect the fatigue crack. On the accident flight, the fatigue crack propagated ultimately causing failure of the entire assembly. The failed compressor hub assembly and other engine components escaped the engine case and penetrated the fuselage of the aircraft resulting in an uncontained engine failure (NTSB, 1997).

#### **4.1.1.2 Survivability Considerations**

Of the 144 people onboard the aircraft, two passengers were killed in the accident. Emergency evacuation was initiated immediately after the aircraft came to rest in which some passengers egressed using the emergency slides. Once the aircraft was determined to be safe and there was no risk of fire, emergency egress was stopped to reduce the risk of further injury to the passengers and crew. Roughly 20 to 30 minutes after the accident, air stairs were brought to the aircraft and the remaining passengers and crew disembarked without any further injuries.

Most of the damage to the fuselage occurred in the proximity of row 37 on the port side of the aircraft. There were roughly four to five separate areas where engine components penetrated the fuselage. Parts of the fan assembly exited the cabin, and a piece of the engine spinner lodged into the ceiling. The damage to the interior of the aircraft can be seen in figures 12 and 13.



**Figure 12: Damage to MD-88 Fuselage at Row 37 (NTSB, 1997)**



**Figure 13: Damage to MD-88 Fuselage at Row 37 (NTSB, 1997)**

Medical, pathological, and the seat location information for the two fatal passengers were not provided in the National Transportation Safety Board (NTSB) survivability report. The report only stated that “another passenger who was a physician began treating the unconscious passenger who had sustained a severe head injury” (NTSB, 1997, p.4). While not explicitly stated, the fatal injuries experienced by the passengers were likely due to the engine components penetrating the aircraft. Based on the damage to the aircraft structure seen in figures 12 and 13, the passengers seated in proximity to row 37 were exposed to very high energy projectiles immediately following the engine failure. Being struck with any of the engine components as they tore through the aircraft could have resulted in serious or fatal injuries (NTSB, 1997).

#### **4.1.2 Southwest Airlines Flight 1380**

##### **4.1.2.1 Background**

On April 17, 2018, roughly 30 minutes after takeoff, a Boeing 737-7H4 experienced an engine failure while climbing through 32,000 ft. after departing LaGuardia Airport in New York. The flight was conducted under Part 121 scheduled air carrier operations and was operated as Southwest Airlines Flight 1380. There were a total of 149 people onboard the aircraft to include five crew and 144 passengers. Of the 149 people, one passenger received fatal injuries and eight received minor injuries.

While climbing to cruising altitude during initial climb out, the number one engine experienced a failure which caused pieces of the engine inlet and fan cowling to separate the aircraft. Part of the engine cowling struck the fuselage causing one of the cabin windows to fail and depart the aircraft. After separation of the cabin window, rapid decompression of the fuselage occurred. Due to the engine failure and loss of cabin pressure, the crew conducted an emergency descent and successfully landed at Philadelphia International Airport roughly 17 minutes after the engine failure.

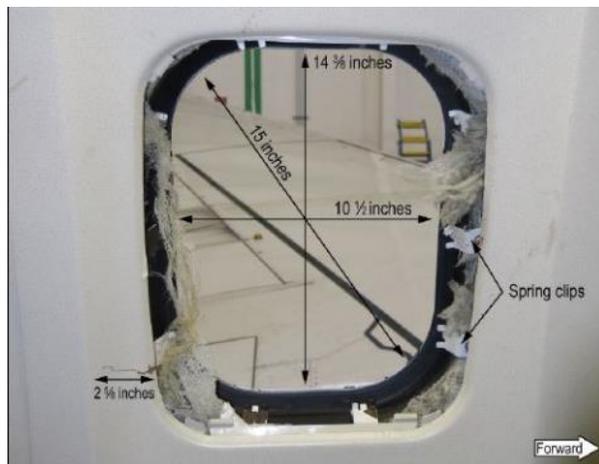
The engine failure was determined to be caused by a low-cycle fatigue crack that formed in the number 13 fan blade which ultimately caused the blade to fail and separate inflight. Once the fan blade separated, it impacted the engine's fan case in a critical structural location causing it to fail. Once the fan case failed, it separated the aircraft striking the port side wing, fuselage, and horizontal stabilizer (NTSB, 2019).

#### **4.1.2.2 Survivability Considerations**

The only fatality was a passenger seated in 14A which was adjacent to the failed cabin window. The window measured 10.5 inches horizontally, 14.375 inches vertically, and 15 inches diagonally. The accident aircraft and cabin window can be seen in figures 14 and 15. Note the location of the failed window in relation to the failed engine cowling.



**Figure 14: Damage to 737 #1 Engine and Cabin Window (NTSB, 2019, p. 78)**



**Figure 15: Cabin Window Dimensions (NTSB, 2019, p. 41)**

Shortly after the aircraft experienced loss of cabin pressure, flight attendants conducted a walk-through to assist passengers in donning their supplemental oxygen masks. During this process, a flight attendant noticed that the passenger seated in 14A was partially outside the aircraft while still being restrained by her lap belt. The passenger's upper torso, arms, and head were outside the failed cabin window. With assistance from other passengers, the flight attendants were able to bring the passenger back inside the aircraft and initiate cardiopulmonary resuscitation (CPR). The passenger seat in reference to the failed window can be seen in figure 16.



**Figure 16: Cabin Seat 14A** (NTSB, 2018, p. 5)

The cause of death for the fatal passenger was determined to be blunt force trauma to the head, neck, and torso. She experienced disarticulation of the spine at C6-C7 and at T5-T6. She also experienced subdural and subarachnoid hemorrhages, bilateral orbital roof fractures, and multiple left side rib fractures. The mechanism of her death was due to the lack of upper body restraint and being exposed to the aerodynamic forces outside the aircraft while being restrained in her seat. While the two-point restraint worn by the passenger would restrain the passenger's pelvis to the seat, it would not prevent displacement of her upper body. The lack of upper body restraint allowed the upper torso to exit the failed cabin window. The aerodynamic forces experienced when exposed to the outside airflow resulted in the passenger's blunt force trauma injuries (NTSB, 2018).

### **4.1.3 Trans-Canada Airlines Flight 304**

#### **4.1.3.1 Background**

On July 9, 1956, a Viscount CF-TGR Type 724 experienced an engine failure and lost a number four propeller in flight. The aircraft was operating as Trans-Canada Air Lines Flight 304 and was a scheduled passenger flight from Chicago to Montreal with intermediate stops in

Toronto and Ottawa. The aircraft had 31 passengers and four crew onboard. While flying in the vicinity of Flat Rock, Michigan, an engine issue developed which caused the crew to conduct an emergency descent. While descending, the propeller from the number four engine broke free from the hub and blades passed through the fuselage, killing one passenger.

The cause of the propeller failure was due to over speed. While in cruise, the crew noticed a drop in engine Revolutions per Minute (RPM) on the number four engine from a nominal speed of 13,600 RPM. Shortly after, the engine returned to normal speed and remained there for about five minutes before it increased rapidly to about 14,000 RPM. The crew unsuccessfully attempted to feather the propeller so they decoupled the propeller from the engine and shut down the number four engine. They declared an emergency and conducted an emergency descent at near maximum airspeed. During the emergency descent the aircrew could hear the over speed of the wind-milling propeller before it failed at about 9,000 ft. (CAB, 1957).

#### **4.1.3.2 Survivability Considerations**

When the propeller failed, blades tore through the fuselage of the aircraft killing one passenger and injuring six others to include one of the crew. There was major cabin damage in the area of the two forward most rows of seats caused by the failed propeller. Roughly ten minutes after the emergency descent started and the propeller failed, the aircraft landed safely in Winsor, Ontario without further incident. There was no medical or pathological information provided in the Civil Aeronautics Board (CAB) report for the injuries sustained by the passengers and it was only noted that one crew member received a minor head injury (CAB, 1957).

There were no photographs provided by the CAB for the accident aircraft. The exact extent of the damage to the fuselage caused by the propeller wasn't clearly documented; it was

only noted as “major” (CAB, 1957). Once the propeller failed and penetrated the aircraft, occupants were exposed to very high kinetic energy projectiles. Being struck by any of the projectiles could have resulted in serious or fatal injuries.

#### **4.1.4 British Airtours Flight 28M**

##### **4.1.4.1 Background**

On August 22, 1985, a Boeing 737-236 aircraft experienced an uncontained engine failure to its number one engine during takeoff from Manchester International Airport in the United Kingdom. The aircraft was operating as British Airtours Flight 28M and was carrying 131 passengers and six crew. Before becoming airborne, roughly 30 seconds after the start of its takeoff roll, the engine failed as the aircraft achieved a maximum airspeed of 125 knots. Immediately after the engine failure the crew aborted takeoff informed Air Traffic Control (ATC) of their situation. Pieces of the engine struck the left wing and punctured a fuel tank access panel causing fuel to leak from the wing tank. The leaking fuel contacted the failed engine and ignited. As the aircraft was still slowing down, ATC confirmed that the aircraft was on fire, and the captain informed the crew that they would need to evacuate from the right side of the aircraft.

When the aircraft came to rest, the captain stopped the aircraft such that winds from 250 degrees at roughly seven knots, carried the fire onto the fuselage. Shortly after coming to rest, fire and smoke filled the cabin. The aft right side door was opened just prior to the aircraft coming to rest accelerating the rate at which the cabin filled with smoke. Emergency egress was initiated immediately by the crew. The accident aircraft can be seen in figures 17 and 18.



**Figure 17: Boeing 737 Fire Damage** (AAIB, 1989, p. 3)



**Figure 18: Boeing 737 Fire Damage** (AAIB, 1989, p. 3)

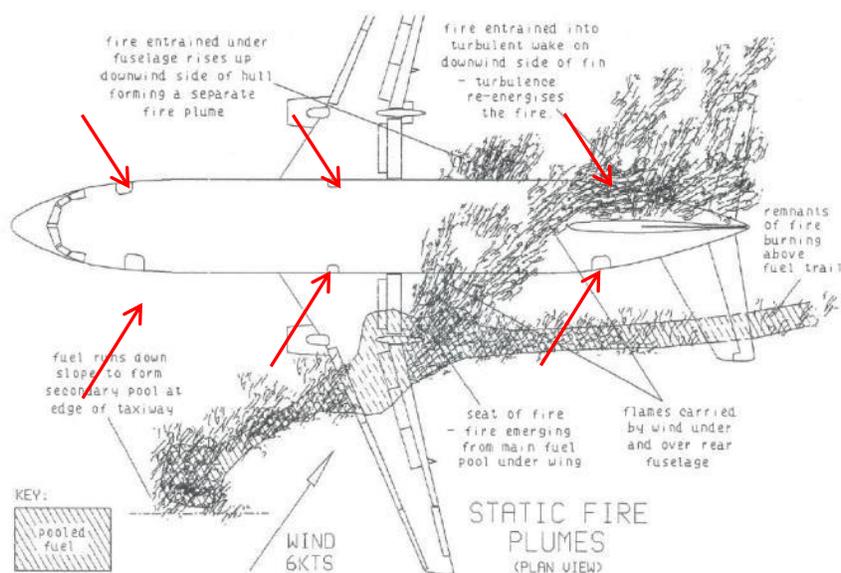
The engine failure was caused by the number nine combustor can. The combustor can ruptured, resulting in a catastrophic failure of the Combustion Chamber Outer Case (CCOC). The combustor can and CCOC failure caused the fan case to shatter which allowed the number nine combustor can to exit the engine case. After leaving the case, the can struck the underwing fuel tank access panel causing it to fail. Once the fuel tank access panel failed, fuel was free to flow from the wing tank onto the engine which started the fire (AAIB, 1989).

#### **4.1.4.2 Survivability Considerations**

Of the 137 people onboard the aircraft, 55 people received fatal injuries while 15 received serious injuries. 48 people died directly as a result of toxic smoke exposure, six people died of high thermal exposure, and one person died as a result of severe pulmonary damage and pneumonia six days after the accident. The passenger who died of pneumonia was the only

fatality recovered still alive from the aircraft by first responders. The remaining 54 fatalities died while still in the aircraft before being recovered.

While emergency egress was immediately initiated after the aircraft came to rest, there were several factors that contributed to people's inability to successfully escape the burning aircraft. A primary factor was the captain's positioning of the aircraft. Figure 19 shows the static fire plume that engulfed the aircraft. Note the position of flames relative to the egress locations marked by red arrows.



**Figure 19: Flight 28M Static Fire Plumes (AAIB, 1989, Appendix 8 fig g)**

The forward cabin doors and the right side over wing hatch were only egress paths that could be used. The aft right side cabin door couldn't be used as it was quickly engulfed by flames. The forward cabin doors were type I emergency exits while the over wing hatch was a type III emergency exit. The right side forward and right side over wing emergency egress exits did not immediately open. The failure of the few usable emergency egress hatches was also a significant contributing factor for people not being able to egress the aircraft.

The forward door jammed and took over one minute to open after the aircraft came to rest. The passengers seated at the over wing exit at row ten struggled with the hatch and it

wasn't opened until 45 seconds after the aircraft came to rest. For the first 45 seconds, the only available emergency egress door was in the front left of the aircraft as two of the three emergency exits that could be used, were inoperable.

A contributing factor in the outcome of the accident was opening the aft emergency exit on the right side of the aircraft before the aircraft came to rest. The captain's positioning of the aircraft relative to the wind accelerated the fuselage being penetrated by fire and it also rendered the aft, right side emergency exit inoperable. Although it was opened, it couldn't be used as an emergency egress path. The opened door allowed airflow and smoke to enter the cabin, only accelerating the fatal components of the fire for the occupants inside.

The quantity and chemical makeup of the smoke generated by the fire played a critical role in people's inability to egress the aircraft. Surviving passengers reported that only a breath or two of the smoke burned their throats and made them dizzy. Passengers moved toward escape hatches only to become incapacitated due to smoke exposure. Survivors reported that the forward cabin doors were piled with the bodies of unconscious passengers. Also, the smoke prevented passengers from seeing available egress paths by masking visual cues. Figure 20 shows the thick black smoke billowing from the aircraft seen from a distance.



**Figure 20: Smoke from Flight 28M** (AAIB, 1989, Appendix 4 fig e)

Analysis showed the chemical composition of the smoke to be very toxic. The 48 people who died of smoke inhalation were found to have incapacitating levels of carbon monoxide or hydrogen cyanide in their blood. 40 passengers had carboxyhemoglobin levels in excess of 30% and 43 people had blood concentration levels of cyanide in excess of 135 µg/100 ml. 30% saturation of carbon monoxide and 135 µg /100 ml of cyanide are the levels expected to cause incapacitation. In total, 13 passengers had blood carboxyhemoglobin saturation levels of over 50% and 21 passengers had cyanide levels over 270 µg/100 ml which are the fatal thresholds. Table 6 summarizes the blood results of the fatal passengers.

<b>Table 6: Fatal Passenger Blood Concentrations</b>			
<b># of Passengers</b>	<b>Blood Carboxyhemoglobin Level (%)</b>	<b># of Passengers</b>	<b>Blood Cyanide Levels (µg/100 ml)</b>
40	30 (Incapacitating)	43	135 (Incapacitating)
13	50 (Fatal)	21	270 (Fatal)

While most of the fatal injuries were due to smoke, six people died from thermal exposure. The injuries sustained due to thermal exposure were a result of the inability to egress the aircraft in an orderly and timely fashion. The failure of the egress doors, hatches, and the obvious signs of fire that were engulfing the aircraft created panic amongst the passengers. This panic caused people to climb over seats, congest the aisle, trample others, and pile up in emergency exit rows further preventing people from egressing. The panic coupled with incapacitating smoke resulted in many not being able to get out of the aircraft.

Due to the outcome of the accident, the airport's Aircraft Rescue and Fire Fighting (ARFF) was analyzed to determine if it was a contributing factor. Some fire fighters heard the noise generated by the engine failure and saw the fire as the aircraft decelerated down the runway. They initiated the ARFF response prior to getting the official emergency notification from ATC. The first two fire trucks were on scene less than 30 seconds after the aircraft came to

rest and both carried 50 kgs of Halon BCF, 817 liters of water, and 73 liters of Aqueous Film Forming Foam (AFFF). Another two fire trucks arrived roughly 30 seconds after the first two and they carried 100 kgs of Halon BCF, 9,080 liters of water, 1,067 liters of AFFF in one truck and 13,620 liters of water, and 1,634 liters of AFFF in the other. The fifth and last fire truck arrived on scene four to five minutes later as it had to be retrieved from the airfield's paint shop. It carried 13,620 liters of water, and 1,634 liters of AFFF.

While there were no issues with the initial response, there were problems resupplying the firefighting effort. Water trucks carrying 7,272 liters of water were delayed at entry control points as police were not staged properly to escort them to the accident site. There was also construction on going with the airport's water hydrant system which caused about a 10 minute delay in obtaining resupply water.

Seven minutes after the accident started, firefighters attempted to enter the aircraft to look for survivors but an explosion threw one of them from the aircraft. It was determined that firefighters would not enter the aircraft until a water resupply could be reestablished due to the unsafe conditions. After the water resupply was accomplished, fire fighters entered the aircraft to look for survivors. After about 33 minutes, firefighters only found one person still alive but that person died at the hospital six days later.

The investigation found that the ARFF response met all requirements and regulations for operating at Manchester Airport. The response and capability of ARFF exceeded the minimum standards required for the operation of a Boeing 737. The speed of the initial response was less than 30 seconds and the fire hydrant being tuned off for maintenance was not found to be a significant contributor to the outcome of the accident. While communication and tactics were found to contribute to the accident, the ARFF response did not violate any regulations or deviate

from established firefighting tactics. Even with the acceptable ARFF response, all of the fatal injuries experienced in this accident were associated with being exposed to fire and the products produced by the fire (AAIB, 1989).

#### **4.1.5 Red Bull Stratos Project**

##### **4.1.5.1 Background**

The Red Bull Stratos Project was a flight test program privately funded by the company Red Bull and carried out between 2005 and 2012. The project involved parachutist Felix Baumgartner jumping from both aircraft and helium filled balloons from varying altitudes. On October 14, 2012, the program culminated in Felix jumping from an altitude of 127,852 ft. and achieved a maximum speed of 843.6 mph (Mach 1.25) during his descent. The program broke the world records for the highest manned balloon ascent of 128,178 ft., the highest jump altitude, and the maximum vertical speed achieved by someone in freefall. Felix was the first person to exceed the speed of sound in freefall without the protection or propulsion of a vehicle. Figure 21 shows the parachutist just prior to jumping from the capsule.



**Figure 21: Red Bull Stratos Jump (Red Bull, 2020)**

The program included jumps from aircraft at an altitude of 27,000 ft. to test the pressure suit and physiological monitoring systems. The testing also included jumps from helium balloons from altitudes of 71,581, 96,640, and 127,852 ft. The program collected both medical and scientific data throughout the flight test program. The Stratos Project shared some similarities with the U.S. Air Force Project Excelsior, which took place in late 1959 and 1960. Project Excelsior involved Colonel Joseph Kittinger jumping from helium balloons from altitudes of 76,400, 74,700, and 102,800 ft. Joe Kittinger would serve on the Stratos Project under flight operations and safety where he was responsible for all communications with Felix during jumps throughout the program (Red Bull, 2020) (Jenkins, 2012).

#### **4.1.5.2 Survivability Considerations**

The Stratos Project was a successful program. There were no major injuries or life threatening accidents. In being proactive, the program developed a comprehensive medical support plan that focused on several survivability considerations. Medical professionals were on the team where they identified six significant medical risks for the parachutist to include ebullism, barotrauma, decompression sickness, uncontrolled spin causing a relative negative acceleration ( $-G_z$ ), hypoxia, and trauma (Blue et al., 2014).

To monitor the parachutist, physiological monitoring devices were used throughout the program. An Equivital EQ01-1000, an accelerometer, and a strain gauge were used to measure the physiological responses of the jumper in real time throughout the parachuting events. The Equivital system acted as an electrocardiogram (ECG) which monitored the jumpers heart. The accelerometer measured tri-axial accelerations and the strain gauge was used to measure respiratory rate by sensing chest deflections. The three systems were synched with a Global Position System (GPS) which provided an estimated altitude throughout the jumps (Garbino et

al, 2014). All of the physiological monitoring systems were integrated into the pressure suit which can be seen in figure 22.



**Figure 22: Pressure Suit Worn by Felix Baumgartner (Red Bull, 2020)**

Due to the nature of the test program, the medical plan outlined six discrete phases of flight that presented unique medical concerns. The six phases are summarized in table 7.

<b>Table 7: Stratos Phases of Flight and Medical Risks (Blue et al., 2014, p. 533)</b>		
<b>Phase</b>	<b>Activity</b>	<b>Medical Concern</b>
1	Pre-breathe	100% oxygen pre-breathe, fire risk
2	Capsule Ingress	Limited visibility, fall risk, maintenance of gas supply lines
3	Launch	Tethered balloon precision release, crane/capsule acceleration, release failure with capsule fail, occupant trauma, support team injury
4	Early Ascent	<4,000 ft. AGL: balloon failure with limited time for parachute opening, high velocity traumatic landing
5	Ascent/Freefall	>4,000 ft. AGL: loss of pressure with hypoxia, decompression sickness, ebullism, flat spin during descent and freefall, traumatic landing
6	Recovery	Hazardous terrain in landing zone, wildlife, traumatic landing

To reduce the risk of decompression sickness, the parachutist would pre-breathe 100% oxygen for two hours prior to the balloon launches. This would help rid the blood stream of dissolved inert gasses reducing the risk of decompression sickness if rapid decompression

occurred. When placed in the chamber containing 100% oxygen, the risk of a catastrophic fire is introduced. To reduce the risk of fire, flame retardant materials were used and no open flames or smoking were allowed in the vicinity of the pre-breathe operation.

After starting the pre-breathe procedure, the parachutist would remain in a pressurized suit for the duration of the mission and he would not breathe ambient air until after the jump had concluded. Prior to being secured to the capsule, a risk to the jumper was the compromise of the suit's gas supply lines which could result in the parachutist not receiving an adequate oxygen supply. To reduce that risk, personnel would remain in the immediate vicinity of the parachutist any time he was not in the capsule to ensure that the gas supply lines remained functional and that oxygen was constantly being supplied.

For both the launch and early ascent, the risk to the parachutist was failure of the capsule or of the launch process which could result in the capsule impacting the ground. The capsule was not designed for crash protection. If the crane used to position the capsule failed, or if the release failed, the capsule could fall which would expose the parachutist to blunt force trauma. Also, if the capsule or balloon were to fail before reaching 4,000 ft. Above Ground Level (AGL), the parachutist would not have adequate time to egress and successfully deploy his parachute possibly exposing the parachutist to blunt force trauma.

Once the balloon exceeded 4,000 ft. AGL, the low pressure environment, freefall, parachute malfunction, and a hard landing were possible risks of injury for the parachutist. The low pressure environment could cause ebullism, hypoxia, barotrauma, and decompression sickness. Due to the very high altitude of the flights, if the pressure suit were to fail it would be possible for the parachutist to experience ebullism. In addition to ebullism; hypoxia, barotrauma, and decompression sickness could also be experienced in the case of pressure suit failure.

After the parachutist egressed the capsule and began freefall, an uncontrolled flat spin could be potentially fatal. If the jumper entered an uncontrolled flat spin, inertial effects could cause blood to collect in the head and foot areas of the body. Excessive spin rates could “result in cardiovascular compromise, blood flow stagnation, arteriovenous pressure gradient loss, hypoxia, and intracranial hemorrhage or edema” (Blue et al 2014, p. 533). To reduce the risk of injury, the physiological monitoring equipment would automatically deploy a drogue parachute if an uncontrolled flat spin were detected. The drogue parachute is specifically designed to assist in arresting a spin.

The last group of risks for the jumper included failure of parachute deployment and a rough or dangerous landing. Main and reserve parachutes were used to minimize the risk of parachute deployment failures. The reserve parachute was designed to deploy automatically in the case of incapacitation. The drogue, reserve, and main parachutes were all varying designs and colors which allowed the team to quickly and easily identify which had deployed and initiate the appropriate medical response prior to the parachutist touching down.

Given the peak altitude of the balloon and capsule, the potential landing area of the parachutist was significant. The landing area was located in New Mexico and was generally flat, rocky, desert terrain with sparse brush less than three ft. tall. A comprehensive hazard analysis was done on the selected landing area. The analysis identified several hazards to include power lines, microwave transmission towers, oil field equipment, roads and highways, and wildlife such as venomous snakes. All of these hazards could have resulted in injury to the parachutist if he became incapacitated during his descent or if he could not steer away from them. Prior to egressing the capsule, its exact location was determined and the landing zone was reduced to a 10 mile radius with the specific hazards in that area identified.

If the parachutist's descent rate was not arrested properly prior to touch down, injury could result as he impacted terrain. To reduce this risk, chase helicopters were used to monitor his descent and radio contact was maintained with the parachutist throughout the event. If the parachutist descended under the reserve parachute and radio contact could not be positively maintained, a medical helicopter would be scrambled to the landing site where the parachutist would be recovered and immediately airlifted to predetermined trauma centers in the area (Blue et al, 2014).

#### **4.1.6 Space Shuttle Columbia STS-107**

##### **4.1.6.1 Background**

On February 1, 2003, the Space Shuttle Orbiter Columbia, executing mission STS-107, broke up upon reentry into the earth's atmosphere, resulting in the loss of all seven crew members. STS-107 launched on January 16<sup>th</sup>, 2003, and was scheduled as a dedicated multi-disciplinary scientific research flight that conducted multiple microgravity experiments over 16 days. The accident was the second and last space shuttle mishap that occurred over the life of the program.

The cause of the breakup was determined to be failure of critical structure on the orbiter's left wing during atmospheric reentry. During the shuttle launch 16 days earlier, a piece of insulating foam from the shuttle's main external tank broke away and struck the orbiter. Photograph and video analysis showed the piece of foam, roughly 21 to 27 inches long and 12 to 18 inches wide, striking the orbiter at a relative velocity of between 416 to 573 mph. The foam struck the leading edge of the left wing damaging the wing's thermal protection system. Figure 23 shows the foam strike during launch (NASA, 2003).



**Figure 23: Insulating Foam Strike from STS-107** (NASA, 2003, p. 34)

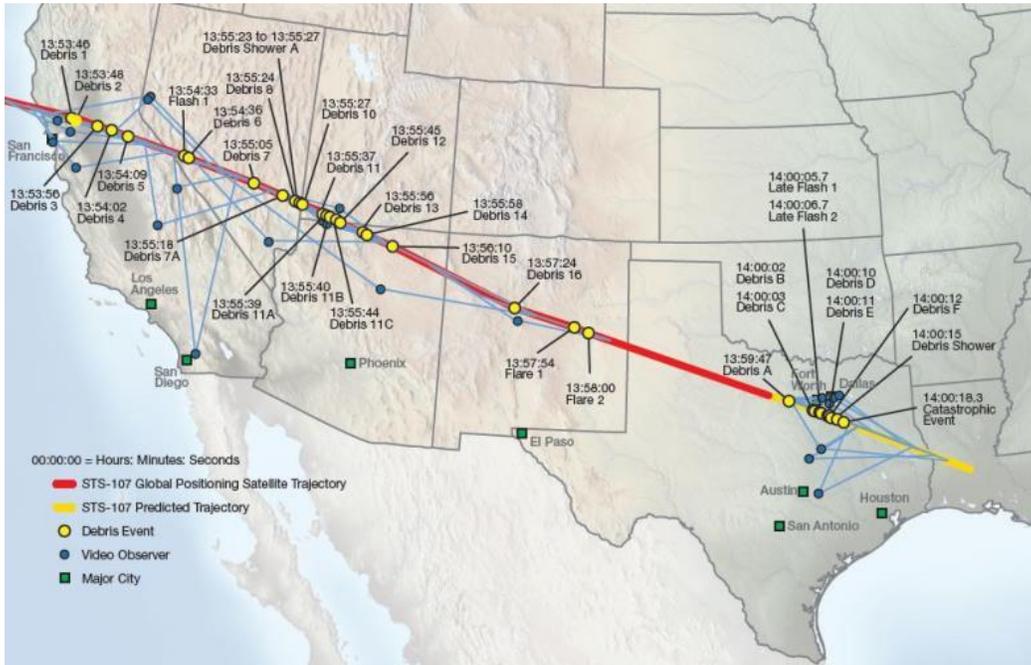
While in low earth orbit, the space shuttle was traveling roughly 17,500 mph (Mach 25). Throughout the descent and re-entry to earth's atmosphere, aerodynamic braking takes place. During aerodynamic braking, the hypersonic flow around the orbiter caused the surrounding gasses to reach over 10,000 °F. By positioning the aircraft in a nose high attitude, shock waves and boundary layers are created to reduce the temperatures experienced at varying positions on the vehicle. The temperature experienced on the leading edge of the wing where the failed thermal protection system was located can peak at over 3,000 °F.

The damaged thermal protection system allowed superheated gas to penetrate the wing which ultimately caused the aluminum wing structure to fail. Once the left wing failed, the orbiter started to roll, pitch, and yaw uncontrollably. The loss of control exposed the aircraft to excessive aerodynamic forces which ultimately caused it to break up in flight. Figure 24 shows the orbiter from the ground streaking across the sky over the state of Texas in multiple pieces (NASA, 2003) (NASA, 2014).



**Figure 24: STS-107 on Re-Entry** (NASA, 2003, p. 41)

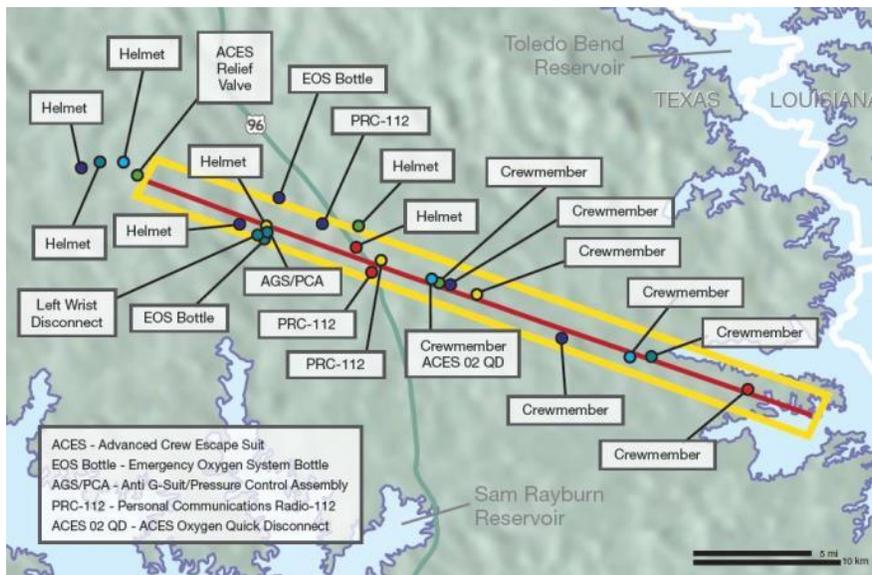
For a normal mission, the shuttle's flight path would have traversed the states of California, Nevada, Utah, Arizona, New Mexico, Texas, Louisiana, and Florida. For the accident flight, the shuttle began breaking apart over California and continued to come apart over Nevada, Utah, Arizona, New Mexico, and Texas. The debris from the shuttle was primarily recovered in the states of Texas and Louisiana. Figure 25 shows the flight path of the Columbia orbiter for the accident flight. The yellow dots represent debris shedding events observed from the ground (NASA, 2014).



**Figure 25: STS-107 Trajectory** (NASA, 2014, p. 13)

#### 4.1.6.2 Survivability Considerations

Immediately following the accident, thousands of volunteers were mobilized to search for the wreckage of the Columbia and the remains of the crew. Initially crew recovery was conducted by the public self-reporting the findings of suspected human remains. Soon after the accident, a trajectory analysis established a search corridor for the crew that was roughly one mile wide and 25 miles long near the Texas, Louisiana border. Volunteers combed the search corridor and eventually remains from all seven crew members were recovered and positively identified. Figure 26 shows the debris field for the crew, life support equipment, and the corridor used to search for the crew.



**Figure 26: STS-107 Crew Recovery Map** (NASA, 2014, p. 36)

Once recovered, the crew remains were transported to the Office of the Armed Forces Medical Examiner at Dover Air Force Base where the Air Force Institute of Pathology (AFIP) conducted the medical forensic analysis. The AFIP determined that the cause of death for all seven crew members was blunt force trauma and hypoxia. However, the accident investigation board reviewed the AFIP reports, it was found that the autopsy protocols used were inadequate to address the unique aspects of the accident. The AFIP autopsy protocols excluded potential

evidence gathering techniques considering the hypersonic, high altitude environment experienced by the crew. As a result, further analysis was conducted and the cause of death was modified to blunt force trauma and unprotected exposure to high altitude.

For the survivability analysis, the mishap was organized using two discreet events. The first event was called the “Catastrophic Event” (CE) which occurred when the orbital fore body containing the crew module separated from the mid-body. This event occurred between 181,000 and 140,000 ft. and at a velocity of about Mach 15. The second event was the “Crew Module Catastrophic Event” (CMCE) which included the complete breakup of the crew module. The injuries experienced by the crew were complex, varied, and were broken down into five categories:

1. Mechanical injuries experienced during the CE
2. Depressurization injuries experienced after the CE
3. Mechanical injuries experienced after the CE and before the CMCE
4. Thermal injuries experienced after the CMCE
5. Common injuries experienced during the CMCE and impact with the ground (NASA, 2014)

As the orbiter slowly began to lose control due to the left wing failure, the accelerations experienced by the crew would have been relatively minor. Up to the point of CE, there was nothing observed which would have been injurious to the crew. Once the fore body separated from the mid body, all electrical power, oxygen, communication equipment, and crew displays were lost. Once this occurred, the crew would have realized that they were in an emergency situation. Crew members sustained injuries consistent with bracing positions indicating that they were aware of their emergency situation.

The crew module was a pressurized vessel in the fore body of the orbiter. As the mid body and fore body separated, the crew module was free to displace, resulting in damage to the pressure vessel. Depressurization of the crew module occurred due to a relatively small breach in the module structure and took place very rapidly at an altitude over 140,000 ft. Analysis of the crew survival equipment showed that none of the crew had their visors down and sealed on their helmets and one crew member didn't have their gloves attached to their suit. As a result, the pressure suits worn by the crew would not have provided any protection from the low pressure environment. Ebullism would have occurred immediately and if they survived the initial trauma due to ebullism, they would have been incapacitated within seconds due to hypoxia. Gas bubbles indicative of ebullism were found in the crew's brain and lung tissue, spinal cord, as well as bone marrow. One crew member is known to have died due to the ebullism and the resulting pulmonary barotrauma. There was lack of hemorrhage experienced during subsequent injury mechanisms indicating the cessation of circulatory function.

After depressurization but before the crew module broke up, the accelerations experienced by the crew gradually increased in severity. Analysis of the crew restraints indicated that all seven crew members experienced inadequate restraint of the upper torso. Each crew position utilized a five-point restraint system along with an inertia reel on the shoulder restraints. Due to the relatively low accelerations initially experienced by the orbiter, the inertia reels did not lock which would have allowed the shoulder restraints to pay out. This would have resulted in the upper body of the crew not being properly restrained to the seat back. Once incapacitated, the crew would have flailed around the crew module improperly restrained. Most crew members experienced cervical fractures due to unsupported head flail in conjunction with

the weight of the helmet. In addition to the fractured vertebra, all but one crew member experienced hemorrhages in the neck strap muscles.

Most of the crew also experienced soft tissue scalp hematomas, skull fractures, and several types of brain hemorrhaging due to head flail. The hemorrhaging proved to be critical in identifying which events occurred prior to death. Once circulatory function stopped, hemorrhaging would no longer be possible. For all of the crew, the head and neck injuries were indicative of the upper body flailing unsupported and striking various structures in the crew module prior to complete break up.

The crew's next source of injury was due to various thermal exposures after the breach of the crew module. Once the command module was breached and depressurized, it allowed the super-heated gases associated with the airflow around the orbiter to penetrate the crew module. Analysis showed that the crew was exposed to very high thermal energy while still seated in their seats with their pressure suits on, resulting in very defined skin burns. After some time, the crew module began to disintegrate, exposing the crew to the full airstream of the vehicle. The wind blast and aerodynamic environment ripped the pressure suits and other survival gear from the crew. The nude remains of the crew then passed through hypersonic gas flow and clouds of molten metal created by the orbiter disintegrating and burning up. Trace amounts of aluminum, titanium, and other metals were found impregnated in the skin of the crew.

After the remains of the crew decelerated from hypersonic to subsonic velocities, they were then exposed to an altitude in excess of 100,000 ft. Analysis showed injuries consistent with exposure to near vacuum, highly reactive monatomic oxygen, and freezing ambient temperatures. The exposure to very low ambient temperatures was associated with the freefall descent from altitude. Analysis of the lung tissue and blood samples from the crew indicated

that all respiration had ceased prior to exposure to any thermal events. There were no contaminants in the blood that would indicate respiration taking place in a fire or high thermal environment.

The last source of injury for the crew was the mechanical injuries experienced with the crew module disintegrating and the remains impacting the ground. As the crew module violently broke up at altitude, the crew was still strapped into their seats. The crew experienced blunt force trauma as the crew module disintegrated around them. After being stripped of protective clothing due to windblast, the remains of the crew descended until they ultimately impacted the ground, resulting in even further blunt force trauma (NASA, 2014).

As a result of the injuries experienced by the crew, there were five specific events which were attributed to potential lethal events. They were:

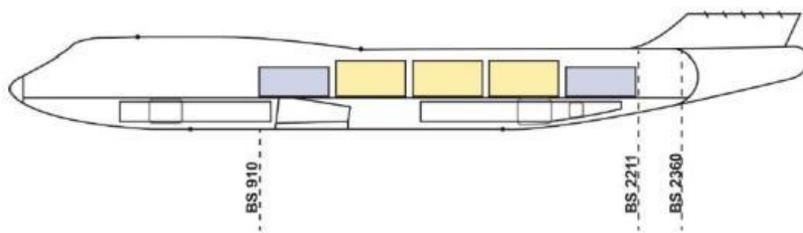
1. Depressurization of the crew module
2. Unconscious crew member being exposed to dynamic environment with lack of proper restraint and non-conformal helmets
3. Separation from the crew module and associated forces, material interactions, and thermal exposure
4. Exposure to near vacuum environment, aerodynamic accelerations, and cold temperatures
5. Impact with the ground (NASA, 2009, p. 3-89, 3-90)

#### **4.1.7 National Airlines Flight 102**

##### **4.1.7.1 Background**

On April 29, 2013, a Boeing 747-400 BCF, operating as National Air Cargo Flight 102 crashed shortly after takeoff from Bagram Air Base in Bagram, Afghanistan, resulting in the loss of all seven crew members. The flight was conducted as a Part 121 supplemental cargo flight,

under a multimodal contract with the U.S. Transportation Command. The flight was traveling from Camp Bastion, Afghanistan, with a final destination of Al Maktoum International Airport, Dubai, United Arab Emirates. The aircraft was transporting five Mine-Resistant Ambush-Protected (MRAP) vehicles. Two of the vehicles were MRAP All-Terrain Vehicles (M-ATV), each weighing 12 tons, and three were MRAP Cougars each weighing 18 tons. The accident flight was the first time that National Airlines had attempted to transport five MRAPs. Figure 27 shows the vehicle load out on the accident aircraft. The blue boxes represent M-ATVs and the yellow boxes represent the Cougars.



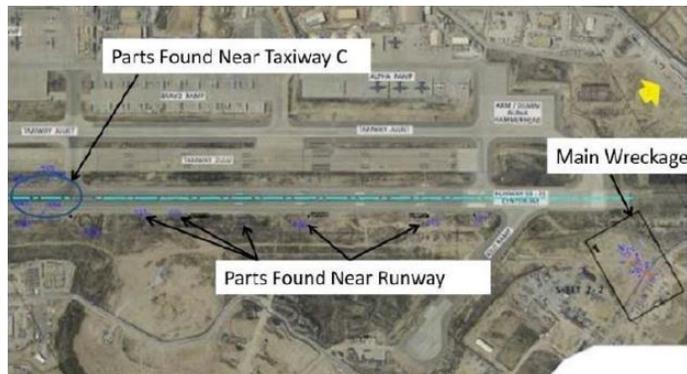
**Figure 27: National Airlines Flight 102 Cargo Load Out** (NTSB, 2015, p. 3)

The cause of the accident was due to at least one of the MRAPs displacing aft in the aircraft during takeoff, resulting in damage to critical aircraft structures, systems, and a significant center of gravity shift which resulted in loss of control of the aircraft. The cause of the MRAP displacement was found to be due to improper securing of the vehicles by the load master. While refueling at Bagram, the Cockpit Voice Recorder (CVR) transcript showed the crew discussing displacement of the cargo while in transit from Camp Bastion. The captain, first officer, and the load master discussed how the cargo had moved a couple of inches and that some of the vehicle's tie-down straps had loosened and broken. There was nothing specific mentioned by any of the crew about how they would properly secure the load prior to their next departure.

It was found that the National Airlines' procedures for cargo operations omitted critical safety information from both the aircraft manufacturer (Boeing) and the manufacturer of the

main deck cargo handling system (Telair). The procedures used by National Airlines contained unsafe and incorrect methods for securing cargo like the MRAPS being transported on the accident flight. This omission of critical safety information ultimately resulted in the cargo being secured improperly for the accident flight.

Shortly after takeoff, the aircraft entered a steep climb before it rolled to the right, descended, and impacted the ground roughly 590 ft. northeast of the departure end of the runway. A large post-crash fire engulfed the wreckage site. Pieces of the aircraft were found along the length of the departure runway to include hydraulic tubing, an M-ATV antenna assembly, and pieces of fuselage skin. Figure 28 shows the debris field for the aircraft wreckage, the yellow arrow indicates north (NTSB, 2015).



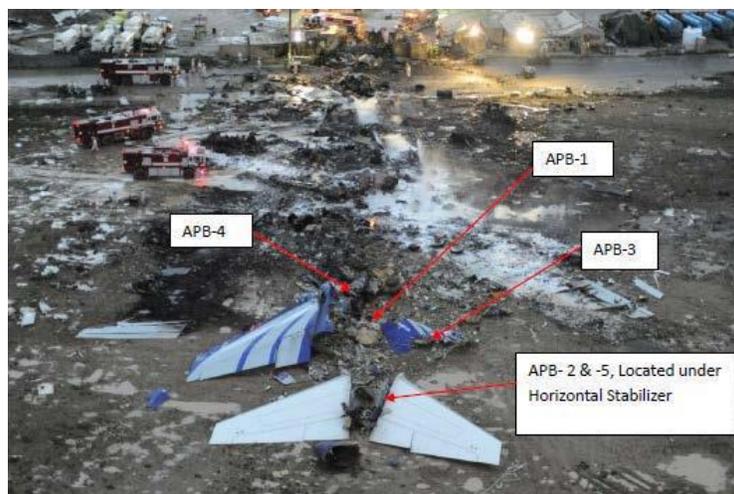
**Figure 28: National Airlines Flight 102 Debris Field** (NTSB, 2015, p. 6)

#### **4.1.7.2Survivability Considerations**

When evaluating survivability, the cause of death for the aircrew was listed as “multiple injuries” (NTSB, 2015, p. 19). Due to the location of the accident, the Armed Forces Medical Examiner conducted the autopsies. No specific injuries or mechanisms of death were identified in the NTSB final report and no survivability report was uploaded onto the NTSB docket system. Based on the accident site, a post-crash fire consumed most of the wreckage. The injuries sustained by the aircrew were either due to the impact, post-crash fire, or shifting cargo.

While the exact crew position during the accident is unknown, if any of the crew were in the aft cargo area during takeoff, they could have been exposed to the shifting MRAPs. Based on the debris field, the MRAPs started causing substantial damage to the aircraft during the takeoff roll and prior to the aircraft impacting terrain. The cargo weighed between 12 and 18 tons. If personnel were in the area of the shifting cargo, serious injuries could have been sustained.

The aircraft sustained significant damage due to the impact and post-crash fire. The largest components to survive relatively intact were the horizontal stabilizers and the vertical tail. The front of the aircraft was mostly destroyed in the crash. The main wreckage site can be seen in figure 29. Given the extent of the aircraft damage, blunt force trauma and or injuries resulting from exposure to fire would have been sustained during the aircraft's impact with terrain (NTSB, 2015).



**Figure 29: Flight 102 Main Wreckage Site (NTSB, 2015, p. 13)**

#### **4.1.8 United Airlines Flight 232**

##### **4.1.8.1 Background**

On July 19, 1989, a McDonnell Douglas DC-10-10, experienced an uncontrolled engine failure to the number two engine, ultimately resulting in the aircraft crash landing near Sioux

Gateway Airport in Iowa. The flight was conducted as a Part 121, scheduled passenger flight, operated as United Airlines Flight 232. The engine failure caused the aircraft's three hydraulic systems to lose pressure which resulted in a complete loss of the aircraft's flight controls. There were 296 people on board the aircraft, of which 112 died as a result of the accident.

The cause of the engine failure was found to be due to a fatigue crack that formed and went undetected in the stage one compressor fan disk. At an altitude of 37,000 ft. and just over an hour after takeoff, the fan disk failed in the tail mounted engine. The engine components exited the engine case, and compromised the hydraulic lines of all three hydraulic systems on the aircraft. Once the hydraulic systems lost pressure, the aircraft no longer responded to flight control inputs made by the crew. For the remainder of the flight, the aircraft was controlled by throttle manipulation of the remaining two operational engines. The aircraft declared an emergency and descended to Sioux Gateway Airport where it crash landed.

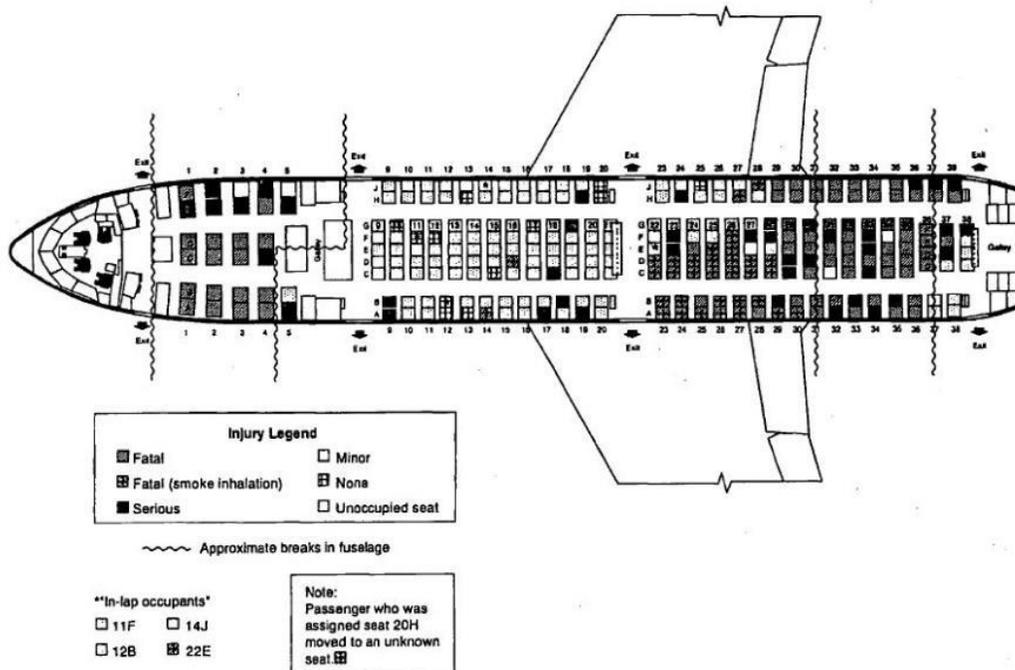
Due to the loss of hydraulics, slats, flaps, and spoilers could not be used for landing. This coupled with the loss of primary flight controls resulted in the aircraft landing at a higher than normal airspeed. For the last 20 seconds before touchdown, the aircraft had an average airspeed of 215 knots and a sink rate of 1,620 ft. per minute (NTSB, 1990).

#### **4.1.8.2 Survivability Considerations**

United Airlines Flight 232 had a total of 296 people onboard to include 285 passengers and 11 crew. Officially, 110 passengers and one crew member were killed, and there were 41 passengers and six crew that received serious injuries. One passenger with serious injuries was not listed as a fatality although he died 31 days after the crash as a direct result of the injuries he sustained in the accident. In accordance with 49 CFR 830.2, for a death to be considered an "accident fatality", the death must occur within 30 days of the accident. So the passenger dying

31 days after the accident meant that he was not counted as an official fatality. So while the official death count was 111 due to the CFR definition, there were 112 people who died as a direct result of the injuries they sustained in the accident.

Of the 111 official deaths, 35 received fatal injuries due to smoke inhalation and the remaining 76 experienced blunt force trauma. During the emergency descent, the crew jettisoned fuel, but the fuel dump system automatically shut off with 33,500 pounds of fuel remaining. It was not possible to jettison all remaining fuel onboard the aircraft while in flight. On impact, the aircraft broke up into five different sections and caught fire. Most of the smoke inhalation fatalities occurred in the cabin area located over the wings. The fatalities due to blunt force trauma were concentrated in the first class cabin and the tail section of the aircraft. Figure 30 shows how the aircraft broke up and the distribution of injuries throughout the aircraft. The jagged lines represent aircraft fracture lines and shaded seat locations represent fatal injuries. Shaded seat locations with crosses in them represent fatalities due to smoke inhalation.



**Figure 30: United Airlines Flight 232 Injury Distribution (NTSB, 1990)**

Flight 232 had a total of four lap-held infants on the aircraft. The infants were held by passengers sitting in seats 11F, 12B, 14J, and 22E. All of the lap-held infants were seated in the section of the aircraft that separated and remained attached to the wings. When preparing for landing, flight attendants instructed the parents to place their children on the floor and to hold them once they assumed the emergency landing braced position. The parents seated in 11F and 22E were unable to hold onto their child during the crash landing and could not find their children post-crash. The parent in seat 12B noted that their child “flew up into the air upon impact” (NTSB, 1990, p. 40), but they were ultimately able to grab and hold them. Of the four infants, one died due to smoke inhalation (NTSB, 1990).

While none of the lap-held infants died as a result of blunt force trauma, the unrestrained infants were exposed to significantly higher risk of blunt force trauma. They also exposed passengers seated in their immediate vicinity to projectiles throughout the crash event. As the aircraft crash landed, the dynamics of the event exposed the occupants to relatively high accelerations and forces. As the unrestrained infants moved freely about the cabin during the crash, any major collisions with other passengers or aircraft structure could expose both the child and hit passenger to blunt force trauma. This blunt force trauma could result in serious or fatal injuries.

#### **4.2 Special Considerations - Escape Systems**

To prevent the risk of injuries associated with a crash, some aircraft may be equipped with escape systems to be used in the case of an emergency. Currently CREEP doesn't consider escape systems at all. Escape systems are designed so that the crew can egress the stricken aircraft and separate before impact with the ground. Escape systems may include things like parachutes, ejection seats, or escape modules. Each type of escape system is unique and present

their own survivability challenges. The parachute was the first escape system to be used in aviation.

#### **4.2.1 Parachute Systems**

Parachutes are devices that are used to slow an object's rate of descent through the atmosphere by significantly increasing aerodynamic drag. Parachutes predate powered manned flight by hundreds of years. Leonardo da Vinci was drawing sketches of parachutes in the late 15<sup>th</sup> century and the French were successfully jumping from balloons and observatories using parachutes in the 18<sup>th</sup> century (Tuttle, 2002). It wasn't long after the Wright brothers took their inaugural flight in 1903 that the benefits of parachutes were applied to flying. Documented jumps from airplanes started taking place as early as 1911 (Demers, 2020).

Today, parachutes are used for a variety of reasons to include airdropping military assets, providing a safe means of escape for aircrew in the event of an emergency, as well as used in the sports of base jumping and skydiving. There are many types of parachutes in use today and they mostly vary by the type of canopy used. The three most common types are round, cruciform, and ram air. Round parachutes are the simplest design, were the first to be used, and tend to be the hardest to control. Cruciform parachutes use a square shaped canopy, can decrease landing speeds compared to round parachutes, and can reduce oscillations experienced during descent. Ram air parachutes are highly controllable, highly maneuverable parachutes whose canopy creates an airfoil when inflated. By flaring or stalling a ram air parachute during landing, landing speeds can be very low. Figure 31 shows the three types of parachutes (What Parachute Types Are There, 2019).



**Figure 31: Types of Parachutes** (What Parachute Types Are There, 2019)

When it comes to parachuting, there are several factors that can influence survivability.

When evaluating survivability, the process of parachuting can be broken down into six discrete phases:

1. Safe Separation
2. Freefall
3. Parachute Deployment
4. Descent Under Parachute
5. Landing
6. Recovery

For a successful bailout, all six phases must be executed properly and remain within human tolerances. If any phase is unsuccessful or malfunctions, it can have a cascading effect on the remaining phases potentially resulting in serious injury or death. A successful bailout starts with the safe separation phase.

#### **4.2.1.1 Safe Separation**

Safe separation includes the process of the parachutist gaining a safe distance from the aircraft or object they are jumping from. If the parachutist is jumping from a stationary position such as base jumping, it is important to successfully gain a safe separation from objects as the jumper accelerates to freefall. Contacting terrain or any other obstacles during the jump could

result in blunt force trauma injuries. In the case of egressing aircraft, it is important for the parachutists to successfully get to the egress door and clear the aircraft.

Egressing an aircraft may be done for the sport of skydiving or during an emergency. When egressing during an emergency, the aircraft may not be under control or in steady level flight. The task of simply getting from the occupant seat to the egress door may present hazards and sources of injuries. Once at the egress door, it is important for the parachutist to get successful separation from the aircraft. If the jumper strikes any portion of the aircraft while attempting to gain separation, blunt force trauma injuries may be experienced.

Once separated, the parachutist will either accelerate or decelerate until they reach freefall or terminal velocity. Terminal velocity is defined as the speed at which an object falls where the force of gravity is exactly equal to the force of aerodynamic drag. At terminal velocity an object achieves equilibrium and stops accelerating. Terminal velocity is considered freefall and varies based on atmospheric conditions and an individual's size and body position relative to the free stream airflow. The terminal velocity of a typical parachutist can vary from between 125 to 300 mph (Westman, 2005). During the Red Bull Stratos project, Felix Baumgartner exceeded 820 mph during his freefall from over 120,000 ft. in altitude.

If a jumper is egressing an aircraft, the parachutist may accelerate or decelerate when hitting the free stream velocity depending on the airspeed of the aircraft. If the aircraft is flying faster than the jumper's terminal velocity, they will decelerate. Conversely, if the aircraft is flying slower than the jumper's terminal velocity, they will accelerate. If egressing from a high performance aircraft, flail injuries may be experienced if the jumper egresses the aircraft at too high an airspeed. When initially hitting the free stream airflow, the aerodynamic forces also

called the “wind blast”, may be high enough to cause flail injuries to the jumper’s limbs, head, and neck.

#### **4.2.1.2 Freefall**

Once the parachutist reaches terminal velocity, they are considered to be in freefall. The hazards that are present during freefall include instability, midair collisions, the immediate surroundings, and the environment. The risk of instability and spins were addressed in section 4.1.5, the Red Bull Stratos project. During freefall there is a potential that the parachutist could contact other jumpers, birds, aircraft debris, or any other objects in the airspace. Due to the high speeds involved in freefall, midair collisions could result in blunt force trauma injuries. Another source of injury during freefall is the jumper’s immediate surroundings and environment.

Depending on the altitude where egress is initiated, the high altitude environment may present survivability challenges. If jumping from altitudes above 10,000 ft., supplemental oxygen may be required based on exposure times. The lack of supplemental oxygen can cause hypoxia which can reduce awareness, render someone unconscious, and even result in death. Low temperatures are also a consideration when considering the environment. Low ambient temperatures coupled with the high descent rates associated with freefall, increase the risk of cold weather injuries such as frostbite and hypothermia. Frostbite or reduced dexterity may prevent the parachutist from successfully operating the parachute. Unsuccessful parachute deployment or failure to operate the parachute properly may result in ground impact at a high rate of speed.

Another consideration is adverse weather. Weather specific hazards to parachutists during freefall include factors such as lightning, hail, drowning, and freezing rain. Adverse weather like thunderstorms pose a substantial risk to a parachutist. As the jumper falls, they may

become statically charged which exposes them to a higher risk of lightning strikes. Some thunderstorms can also produce significant hail stones. Striking those hail stones may result in blunt force trauma injuries. In addition, if the concentration of condensed water is high enough within a cloud, it may be difficult for the parachutist to breathe. Due to the violent updrafts associated with some types of clouds, freefalling through a cumulonimbus cloud may expose the jumper to the risk of drowning. While hypothermia and frostbite have already been addressed, freezing rain during freefall has the potential to accelerate the onset of those conditions (Pretor-Pinney, 2007).

#### **4.2.1.3 Parachute Deployment**

Parachute deployment is one of the most critical phases of parachuting. Failure of the parachute to properly deploy and achieve full canopy inflation can lead to excessive descent rates resulting in serious injuries during landing. Parachute deployment methods can vary based on application. They can be deployed automatically through systems such as static lines or automatic activation systems. They can also be deployed manually by the parachutist typically by pulling a handle or rip cord of some sort. There are many causes of failure of a parachute to deploy properly such as failure of the deployment system, jumper instability or improper positioning, excessive speed, incorrect packing procedure, or multiple deployments. During the deployment process, improperly fit equipment also poses a significant risk of injury.

Regardless of the deployment method, it is important that the system activate properly and within the design limits of the parachute. If a static line were to fail, if a parachutist fails to pull their activation handle, or if an automatic deployment system fails to function, the parachute may not deploy at all which could cause the jumper to impact terrain at terminal velocity. If deployment is not initiated at an appropriate altitude, the parachute canopy may not have enough

time to inflate and properly arrest the rate of descent before landing. Between the years of 1964 and 2003, 11 skydiving fatalities in Sweden were associated to a complete lack of parachute activation or initiating parachute activation at a low altitude (Westman, et. al, 2005).

Prior to parachute deployment, it is critical that the jumper be in a stable, proper position and that the parachute's deployment speed is appropriate for the specific parachute. Improper and unstable body position resulted in three fatalities in the U.S. Army in the five year period from 2010 through 2015 (Johnson, et. al. 2019). Instability such as an uncontrolled spin or improper body position can cause the parachute to malfunction during deployment or it can become entangled around the head and neck, which can result in cervical spine injuries or suffocation.

Excessive speed at parachute deployment can result in high opening shock loads, parachute damage and failure, or parachute malfunction. If a parachute is deployed outside of its designed operating range, the parachute inflation can result in excessive loads being applied to the parachutist. If opening shock loads are too high, the parachutist can experience compressive injuries to the spine and flail injuries to the head and neck. Opening shock loads may also cause failure to the canopy or parachute lines, which may produce an unstable parachute or malfunction which can result in higher descent speeds at landing.

A parachute that is incorrectly packed may experience deployment malfunctions. As the parachute deploys, the lines spread and the canopy inflates. If not packed properly, the lines can tangle or interfere with the canopy, preventing proper inflation resulting in higher decent speeds. The last source of parachute deployment malfunction is when multiple deployments occur.

Most man-mounted parachute systems only use one parachute for descent. To reduce the risk of total system failure, a redundant or reserve parachute is commonly used. This allows the

parachutist to jettison the main parachute in the case of malfunction or failure. However, when both the main and reserve parachutes are deployed simultaneously, they may become entangled. Entangled parachutes may prevent the proper inflation of both parachute canopies or make the parachutes impossible to control. One fatality was attributed to multiple parachute deployments in Swedish skydiving between 1964 and 2003 (Westman, et. al, 2005).

When using a parachute, it is critical that the parachute harness be properly sized and fitted to the parachutist. An improperly sized or very loose fitting harness could result in the harness failing to secure the parachutist to the parachute or also result in injuries during deployment. During parachute deployment, the opening shock loads could cause the parachutist to completely fall from an improperly fit or loose harness. An improperly worn harness could also result in the transfer of opening shock loads to incorrect parts of the body such as the head and neck causing serious or fatal injuries (M. Mackenzie, personal communication, August 19, 2020).

#### **4.2.1.4 Descent Under Parachute**

Once the parachute has successfully deployed and the canopy is fully inflated, the parachutist's descent rate will be arrested from their freefall speed. The hazards associated with descending under parachute are very similar to the hazards of freefall, including midair collisions and the environment.

Much like in freefall, midair collisions expose the parachutist to the potential of blunt force trauma injuries. But while descending under parachute, midair collisions can also result in both parachute malfunction and entanglement. Similar to multiple deployments, entanglement due to a midair collision reduces the effectiveness of both the parachutes involved and a successful recovery can be difficult to achieve. Parachute entanglement resulted in two fatalities

in the U.S. Army between 2010 and 2015 (Johnson, et. al. 2019). In addition to midair collisions, the jumper's local surroundings and environment exposes the jumper to risk of injury.

Much like during freefall, the local environment and adverse weather can pose a serious threat. While the threats of hypoxia, hypothermia, frostbite, lightning strikes, drowning, and blunt force trauma also exist under canopy, something unique to the environment during descent is the parachute interacting with the adverse weather. In 1959, after ejecting at 47,000 ft. over a severe thunderstorm, Lt. Col. William Rankin took over 40 minutes to descend. During his 40 minute descent he was exposed to freezing temperatures, lightning, threat of drowning, hail, and an extremely turbulent environment. If parachuting in storms, severe updrafts can interact with the parachute and pull the parachutist skyward. Even with parachute deployment at altitudes with low risk of hypoxia, storms can grab the parachutist and send them to unsafe altitudes. Once in the storm, the turbulent environment may also cause damage to the parachute itself. Any damage to the canopy or parachute lines may reduce the effectiveness of the parachute and may result in excessive landing speeds (Pretor-Pinney, 2007).

#### **4.2.1.5 Landing**

As the parachutist descends, they will lose altitude until they eventually impact terrain. The area where a parachutist lands is referred to as the "landing zone". If parachuting operations are planned, landing zone hazards can be identified ahead of time to minimize risks. However, if parachuting is done as a result of an emergency egress, mitigating the risks associated with a landing zone may not be possible. Hazards that can impact survivability during landing include terrain features, landing zone hazards, and excessive descent rates.

The landing zone should ideally be flat, unobstructed, soft terrain that allows the parachutist to land and recover quickly. Adverse terrain such as mountainous, rocky, or forested

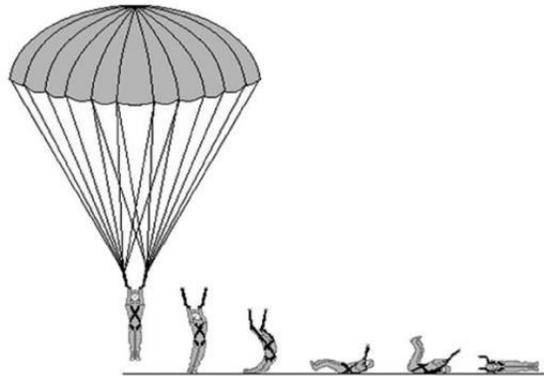
areas can result in the parachutist experiencing blunt force trauma or impalement injuries during landing. Man-made obstructions in the landing zone can also present hazards to parachutists.

Some of the landing zone hazards identified during the Red Bull Stratos project included power lines, microwave transmission towers, oil field equipment, and roads. Other landing zone hazards include fire, and aircraft wreckage. If parachuting is a result of emergency egress, it is possible for the ditched aircraft to crash in the landing zone, resulting in a post-crash fire. If possible, it is important for the parachutist to steer away from the aircraft wreckage or any other hazards in the landing zone. In addition to landing zone hazards, excessive landing speeds can result in serious injuries.

It is important to note that parachutes only reduce the descent rate of a parachutist. The new T-11 cruciform parachute used by the U.S. military has a descent rate of about 13 mph (Johnson, et. al., 2019). Depending on the total weight of the jumper, atmospheric conditions, and the design of the parachute, descent rates can vary significantly. An important consideration is that the descent rate only represents the vertical speed of the parachutists. Factors such as winds and control inputs can impact the longitudinal and lateral speeds. For round and cruciform parachutes, excessive winds in the landing zone can significantly increase landing speeds. Due to the flying nature of ram air parachutes, control inputs can create very high landing speeds for the parachutist. As landing speeds increase, the risk of experiencing blunt force trauma during landing also increases.

To reduce the risk of injury at any landing speed, the Parachute Landing Fall (PLF) may be used when the landing speed is primarily in the vertical direction. The PLF is intended to reduce the risk of injury to the legs and back by spreading out the landing forces over the entire body. Careful consideration should be made to protect the head from strikes during PLF. The

effectiveness of a PLF is reduced as longitudinal and lateral landing speeds increase. Figure 32 illustrates how to conduct a proper PLF.



**Figure 32: Parachute Landing Fall (PLF)** (Johnson, et. al. 2019, p. 640)

#### **4.2.1.6 Recovery**

After the parachutist successfully lands, it is important that they secure their parachute and recover as quickly as possible. Recovery is similar to post-crash factors when evaluating CREEP and it shares many of the same survivability considerations. The considerations that are unique to parachuting during recovery are failure to secure parachute, tree landings, and water landings.

If landing in high winds, failure to secure the parachute after landing can result in the parachute canopy remaining inflated and dragging the parachutist across the landing zone. If the winds are high enough, the parachute can drag the jumper at significant speeds, resulting in blunt force trauma injuries. Being dragged through the drop zone resulted in two fatalities in the U.S. Army between 2010 and 2015 (Johnson, et. al. 2019).

Landing in trees presents another hazard to parachuting. While impalement injuries were already discussed during landing, it is also possible for the parachutist to become suspended high above the ground as their parachute becomes entangled in trees. Getting down from being

suspended in trees may not be easily accomplished. A high fall presents a significant source of injury for a parachutist.

Something also unique to parachuting is landing in water. When parachuting over water, it is critically important for the parachutist to separate and distance themselves from their parachute immediately after landing. While emergency egress and water survival is covered under CREEP, parachutes provide a unique drowning hazard. Even with the use of supplemental flotation systems, parachutes can adversely interact with the water and cause drowning. By interacting with water currents, the parachute canopy can act as a sea anchor and drag the parachutist under the surface. In addition to the sea anchor effect, the parachute lines may also become entangled around the parachutists preventing them from swimming and remaining afloat. Drowning resulted in four fatalities in Swedish skydivers between the years of 1964 and 1973 (Westman, et. al, 2005).

#### **4.2.2 Ejection Seat Systems**

Up to and including much of World War II, the primary means of escape from an aircraft was by bailing out with a parachute. However, as aircraft performance increased, it became clear that bailing out was becoming less and less effective. As air speeds increased, the ability for crew to react fast enough, overcome aerodynamic forces, and gain successful separation from the aircraft decreased (Copp, et. al, 2015).

A high percentage of aircraft accidents occur during takeoff and landing. Due to the higher landing and takeoff speeds of high performance aircraft, traditional energy absorbing and crashworthy structures became less effective at protecting aircrew. While in the air, higher aerodynamic forces make it difficult to egress and clear aircraft structures such as horizontal and vertical control surfaces during bailout. The need for mechanical assistance during emergency

egress was clear and the ejection seat was born (G. Paskoff, personal communication, July 2, 2019).

The first ejection seats were mostly manual systems and used compressed air to assist the occupant in clearing the aircraft. After gaining aircraft separation, the occupant was responsible for manually separating from the seat and deploying the parachute. The Germans pioneered the use of ejection seats during World War II where they had over 60 successful escapes using ejections seats (Newman, 2017).

The first ejection from an aircraft using explosives was done in July 1946 by using a Martin-Baker system. A modified Gloster Meteor F-3 was used to conduct an ejection test where Sir Bernard Lynch was ejected using a MB Mk-1 seat at an attitude of 8,000 ft. and at an airspeed of about 300 mph. It took Lynch over 30 seconds to successfully gain seat separation and successfully deploy his parachute. Over the years, ejection seats have changed significantly. To overcome the potential issue of clearing the very large tail on the F-104, ejection seats were designed to eject the pilot downward. However due to killing several pilots during ejections in close proximity to the ground, those systems are rarely in use today (Copp, et al., 2015).

Today, modern ejection seats have become more automated and can protect aircrew over a much broader range of conditions. The most advanced ejection seats can autonomously execute the entire ejection sequence including initiation. In 1946, it took over 30 seconds to get parachute deployment once clear of the aircraft. Today, that process can take less than 0.3 seconds (Copp, et al., 2015).

While there are many different models of ejection seats specifically tailored to individual aircraft types, they all share similar characteristics. There are nine discreet phases of a successful ejection using a modern ejection seat:

1. Ejection Initiation
2. Aircrew Positioning
3. Canopy/Hatch Jettison/Fracturing
4. Aircraft Separation
5. In-Seat Descent and Stabilization
6. Parachute Deployment
7. Occupant-Seat Separation
8. Parachute Descent
9. Landing
10. Recovery (M. Mackenzie, personal communication, August 19, 2020) (J. Santiago, personal communication, August 18, 2020)

For a successful ejection to take place, all ten phases must be executed and function properly. If any phase is unsuccessful or malfunctions, it can have a cascading effect on the remaining phases potentially resulting in serious injury or death. A successful ejection starts with the ejection initiation phase.

#### **4.2.2.1 Ejection Initiation**

The first phase of an ejection starts with the activation and initiation of the ejection seat system. For a majority of ejection seats, this is accomplished by the aircrew pulling on a handle attached to their seat typically located between their legs. Prior to ejection initiation, it is critical that ejection initiation takes place within what is referred to as a “safe escape envelope”.

The safe escape envelope will vary between specific systems and aircraft. It is a combination of multiple factors such as ejection seat performance, aircraft airspeed, attitude, altitude, and sink rate. Once ejection is initiated, egress and parachute deployment are not

instantaneous. While many of the ejection phases are measured in milliseconds, it takes time for a successful ejection to occur. The safe escape envelope is made up of a set of specific conditions that have been tested and verified to show that a successful ejection is possible without the risk of serious injury. While fatal injuries are not necessarily guaranteed for an out of envelope ejection, testing has shown that serious or fatal injuries are likely to occur (N. Schombs, personal communication, August 19, 2020).

#### **4.2.2.2 Aircrew Positioning**

After ejection has been initiated, the next phase of the ejection is ensuring proper aircrew position. Prior to egressing the aircraft, aircrew must be specifically positioned for the ejection sequence. On modern ejection seats, proper aircrew position is accomplished mostly by automatic systems that may interact with the occupant's arms, legs, torso, and head. Limb restraints may pull the aviator's arms or lower legs in toward the seat from their outreached position such as on aircraft controls or rudder pedals. Restraints on the torso harness may pull back on the shoulders, placing the aviator in an upright position. Head restraints may deploy to place the occupants head in a stable, forward looking position.

The ideal position for the aviator during an ejection is as upright as possible, their arms and legs tucked in close to the ejection seat and their head upright and facing forward. Proper aircrew position is critical for the subsequent phases of ejection. The risk of injury associated with improper body position will be covered in each specific phase (J. Santiago, personal communication, August 18,2020) (N. Schombs, personal communication, August 19, 2020).

#### **4.2.2.3 Canopy/Hatch Jettison/Fracturing**

Once initiated, the aircraft must be prepared for the ejection sequence. Ejection seat equipped aircraft are outfitted with either canopies or escape hatches at each crew position. To

create a clear path for egress, the canopy/hatch is either jettisoned or explosively fractured. The purpose of getting rid of the canopy/hatch is to allow the aviator to escape without contacting the aircraft thereby reducing the risk of injury. In some systems, canopies/hatches are jettisoned using ballistic and rocket charges while others use explosives for fracturing. Figure 33 shows the canopy of a CF-18 being jettisoned immediately after the initiation of an ejection.



**Figure 33: CF-18 Canopy Jettison** (Copp et. al, 2015)

While canopy jettison or fracturing is useful, it isn't necessarily required on most modern ejection seats. Current systems are designed with cutters on the top of the seat that will penetrate and cut through the canopy/hatch in the case of a jettison/fracturing failure. A successful ejection may still be possible in the case of jettison/fracturing failure however, the aviator must be appropriately sized for the seat to avoid serious injury. If the sitting height is high enough that the occupant's helmet is higher than the top of the ejection seat, they will contact the failed canopy or hatch resulting in the risk of serious head and neck injury. For the cutters to work properly with no risk of injury, the aviator must be short enough that they do not strike their head. Another risk of injury during ejection initiation is with the canopy fracturing system.

During the canopy fracturing process, molten metals may be produced which can strike the occupant. It is important that prior to initiating ejection, that the aviator properly use all of their Aviation Life Support Systems (ALSS) to include their helmet, visors, and personal

protective clothing. Failure of the occupant to properly wear their helmet with a lowered visor could result in molten metals becoming impregnated in their face and eyes. While not immediately a life threatening injury, the injury could result in loss of vision which could compromise post-ejection survival considerations such as steering the parachute away from hazards, or the effective use of a life raft or survival radio (M. Mackenzie, personal communication, August 19, 2020).

Another important consideration for ejection seat equipped aircraft is rapid decompression. While the aircraft may be pressurized to accommodate the altitude of the aircraft, as soon as ejection is initiated and the canopy/hatch is compromised rapid decompression will occur. Unless a pressure suit is worn by the crew, the risk of decompressive injuries increases if ejection is initiated in a high altitude low pressure environment (Pretor-Pinney, 2007).

#### **4.2.2.4 Aircraft Separation**

Occupant separation from the aircraft starts once the aircrew is placed in the proper position and the aircraft has been prepared for egress. In modern ejection seats, this is accomplished by both a catapult mounted to the aircraft and an under-seat rocket motor. During this phase, the occupant may be exposed to significant forces in the vertical, longitudinal, and lateral directions. Vertical forces are experienced due to the catapult and under-seat rocket motor accelerating the occupant up and out of the aircraft. Longitudinal and lateral forces may be experienced due to the occupant hitting the aircraft's wind blast.

When dealing with vertical accelerations, it is critical that the occupant be in an upright position as an upward spine position provides the optimal biomechanical tolerance to injury. Accelerations in the vertical direction during the aircraft separation phase may be as high as 16

Gs (Newman, 2017). If the aviator is out of position, compressive injuries to the spine such as ruptured vertebral disks or compressive fractures may be experienced. Also, if the crew is out of position they can strike the aircraft as they are catapulted resulting in significant injuries. In addition to position, the occupant's anthropometric measurements may impact survivability.

Some ejection seats may have only one mode of ejection when accounting for weight. The catapult and under-seat rocket motor apply a fixed load regardless of occupant size. If the aviator is too light, the accelerations experienced can exceed human tolerances and cause compressive injuries to the spine. If the aviator is too heavy, the system may not have enough energy to guarantee successful aircraft separation and they may strike the aircraft or not gain enough ground clearance. If an occupant's height and weight are not sized correctly for the seat, adverse interactions between the seat and torso harness may cause injury (G. Paskoff, personal communication, July 2, 2019). In addition to vertical accelerations, the occupant may be exposed to significant longitudinal and lateral accelerations.

In high performance aircraft high airspeeds are common. The occupants of the ejection seat are instantaneously exposed to the free stream airflow as they gain aircraft separation. Like during bailout procedures, this airflow is often called the wind blast. The aircraft wind blast can expose the occupant to significant aerodynamic forces. Depending on aircraft attitude at the time of ejection, the occupant may experience significant longitudinal and lateral forces. Aircraft with very high yaw angles at the time of ejection can expose the aviator to higher lateral windblast forces. Limbs that are not properly secured may experience flail injuries. It is important that helmets be designed and secured to the head appropriately. If the helmet is not used properly, the aerodynamic forces from wind blast can result in neck injuries.

In the case of aircraft with multiple crew, the aircraft separation phase is executed in a predetermined sequence at slightly different directions. To prevent the risk of midair collisions of occupants seated in their ejection seats, aircraft with multiple ejection seats do not fire all of the seats simultaneously. The ejection seats will fire at different times and in different directions to ensure that safe separation is maintained between the multiple ejection seats once separated from the aircraft. Due to the longer time needed to egress multiple crew members, the safe escape envelope will be impacted when compared to a single seat aircraft (N. Schombs, personal communication, August 19, 2020). Figure 34 shows the aircraft separation phase from a CF-18.

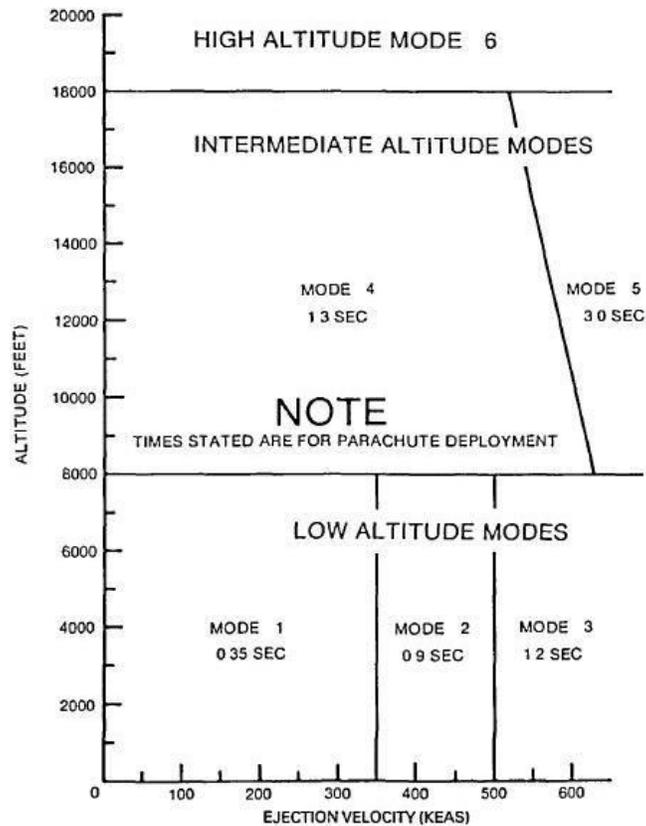


**Figure 34: CF-18 Aircraft Separation** (Copp et. al, 2015)

#### **4.2.2.5 In-Seat Descent and Stabilization**

Depending on the airspeed and altitude of the aircraft when ejection is initiated, the occupant may remain in their seat for a considerable amount of time. Throughout the ejection process, modern ejection seats will automatically measure both altitude and airspeed using integrated barostatic measuring units and autonomously select a mode of ejection. The main parachute will only deploy if the seat is low and slow enough to safely do so. Deployment at too high of an airspeed can result in significant damage to the parachute and excessive opening shock loads. To reduce high altitude exposure times, the seat will delay parachute deployment and occupant-seat separation until at a safe altitude. While modern ejection seats are equipped

with emergency oxygen for the aviator, the oxygen supply may not be enough for an extended parachute descent from high altitude. Depending on both altitude and airspeed, the ejection seat will select a mode of ejection that optimizes survivability. Figure 35 shows the various modes of ejection for a MK-14 ejection seat with respect to ejection altitude and airspeed (Ford, 1985).



**Figure 35: MK-14 Modes of Ejection (Ford, 1985)**

During high speed ejections, a significant risk of injury to aircrew is the acceleration forces due to aerodynamic drag. Drag can create acceleration forces in excess of 30 Gs as the seat decelerates to its terminal velocity (Copp et. al, 2015). Improper positioning of the occupant can result in aerodynamic forces causing instabilities in the seat as it flies through the air. Unsecured arms or legs can act like asymmetric sails causing instabilities which can cause injury and the seat to tumble. Drogue parachutes may be used during the in-seat descent and stabilization phase to assist in both slowing the seat down as well as arresting any instability. A

tumbling seat can cause injuries to the occupant in the same way a flat spin can injure a parachutist which was discussed in section 4.2.1.2. Another risk of injury during stabilization is the direction of loads applied to the occupant.

Ideally, the accelerations caused by drag during seat stabilization and descent are mostly in the longitudinal direction relative to the occupant. Human tolerances to accelerations are the highest in the longitudinal direction. However, if there is seat instability, the accelerations due to drag can be experienced in the vertical and lateral directions as the seat tumbles. If ejecting from a very fast aircraft, the decelerations coupled with an unstable seat can cause compressive injuries to the spine as well as displace vital organs which can result in serious injury or death (N. Schombs, personal communication, August 19, 2020).

Seat stabilization is also critical for successful parachute deployment. Main parachute deployment during tumbling presents similar risks as an unstable parachutist. Parachute lines can tangle, and the parachute may become entangled in the seat and/or occupant which may prevent the canopy from inflating properly and result in excessive descent rates.

#### **4.2.2.6 Parachute Deployment**

Once the seat is at both a safe altitude and airspeed, modern seats will automatically deploy the main parachute. If the ejection is in close proximity to the ground, ballistic assistance may be automatically used to decrease the time required for full parachute canopy inflation. The risk of injury to an aviator during parachute deployment in an ejection seat is very similar to the risks of injury of a parachutist. For the risk of injury during parachute deployment refer to section 4.2.1.3. One unique source of risk for modern ejection seats is the potential for failure of parachute to deploy due to high altitude.

As previously stated, modern ejection seats use barostatic measuring devices to determine both airspeed and altitude. The system measures altitude by calculating pressure altitude based on static pressure compared to the standard atmosphere. As a result, the barostatic measurement unit only measures altitude Above Sea Level (ASL), not AGL. If ejecting in a very high mountainous area with terrain over 15,000 ft. ASL, the system may not automatically deploy the main parachute in time to successfully get full canopy inflation. While there are manual overrides to the automatic parachute deployment system, they are only effective if the aircrew is conscious and aware of the terrain hazard. If the hazard is not properly recognized or the crew is incapacitated, an ejection in a mountainous environment with high altitude terrain may result in blunt force trauma due to failure of the main parachute to deploy.

#### **4.2.2.7 Occupant Seat Separation**

It is critical that the occupant remain attached to the seat until it is safe to separate. Drogue parachutes may be used during the in-seat descent and stabilization phase to both slow and stabilize the ejection seat. Once the seat has reached a safe speed and altitude, the occupant will separate from the seat at roughly the same time as main parachute deployment. Failure of the occupant-seat separation phase could result in excessive descent rates while descending under the parachute due to the additional weight of the seat. Adverse interactions with the seat and main parachute can degrade the performance of the parachute system.

#### **4.2.2.8 Parachute Descent**

Once the occupant has been separated from the seat and the parachute has successfully deployed, the risk of injury to the aviator are exactly the same as a parachutist. The risk of injury during parachute descent, landing, and recovery are outlined in section 4.2.1. For specific risks of injury during parachute descent, refer to section 4.2.1.4.

#### **4.2.2.9 Landing**

For the risk of injury during parachute landing, refer to section 4.2.1.5.

#### **4.2.2.10 Recovery**

For the risk of injury during parachute recovery phase, refer to section 4.2.1.6.

### **4.2.3 Escape Capsules**

In the case of supersonic, or hypersonic vehicles, an ejection seat may not be practical due to aerodynamic considerations. The forces due to drag and the extreme thermal environment associated with high speed flow may exceed human tolerances when using traditional ejection seats. The first person to survive a supersonic ejection in an open seat was George Smith, a production test pilot for North American Aviation. He ejected from his stricken F-100 Super Sabre in 1955 at a speed of Mach 1.05. While he survived he “was in a coma for six days and couldn’t see for a month. He suffered liver and kidney damage and had to have his gall bladder removed as well as about 17 ft. of his intestines. Every joint in his arms and legs had been dislocated” (Tuttle, 2002, p 163). To overcome the obstacles of high speed escape, an encapsulated seat or fully contained escape module may be used.

Supersonic bombers such as the B-58, and the XB-70A used fully encapsulated ejection seats while the supersonic fighter, the F-111 used a crew escape module (Tuttle, 2002). The Mercury and Apollo spacecraft had launch escape systems for early rocket failures while the SpaceX Crew Dragon capsule has an emergency escape function which can operate for the entire ascent phase of the mission in the case of failure (Reisman, 2015). Figure 36 shows examples of encapsulated seats and crew escape modules.



F-111 Crew Escape Module



XB-70 Encapsulated Ejection Seat

**Figure 36: Crew Escape Module and Encapsulated Ejection Seat (Tuttle, 2002)**

Escape modules share similarities to both parachutes and ejection seats. As a result, they also share similar survivability concepts. When evaluating survivability, there are five discreet phases to consider:

1. Vehicle Separation
2. Descent
3. Descent Arrest
4. Landing
5. Recovery

To ensure the survivability of crew using escape capsules, all five phases must be executed and function properly. If any phase is unsuccessful or malfunctions, it can have a cascading effect on the remaining phases potentially resulting in serious injury or death. A successful escape using an escape module starts with the vehicle separation phase.

#### **4.2.3.1 Vehicle Separation**

With high speed, high energy vehicles, it may be necessary to completely separate from the transport vehicle in the case of an emergency to ensure safety of the crew. For rockets designed to carry human payloads, trajectory deviations or system failures may warrant separation from the rocket. For supersonic or hypersonic aircraft, failures may require vehicle

separation to ensure survivability of the people onboard. Failure to separate from the vehicle may expose the people onboard to excessive accelerations due to aerodynamic loads, vehicle instabilities, impact with terrain, or the energies associated with a rocket explosion.

Similar to parachuting, it is critical that during vehicle separation, the process takes place without any adverse interactions occurring between the escape capsule and vehicle. If clean vehicle separation doesn't occur, the capsule thermal protection systems may be damaged, life support systems may be compromised, or the capsule occupants may be exposed to blunt force trauma. It is also critical that the vehicle separation phase be initiated within the appropriate safe escape envelope.

Much like with ejection seats, escape capsules are going to have a safe escape envelope. The safe escape envelope will be a function of the vehicle's airspeed, attitude, altitude, and the performance of the escape capsule itself. Failure to initiate escape within the safe escape envelope may expose the crew to an increased risk of injury.

#### **4.2.3.2 Descent**

After vehicle separation, it is critical that the capsule or escape module have a stable descent. Aerodynamic interactions with the vehicle during separation may cause instabilities. Excessive rotation rates or tumbling may expose the occupants of the capsule to accelerations that can exceed human tolerances. Stability may be accomplished with the assistance of drogue parachutes or rocket thrusters. During an abort sequence, the SpaceX Crew Dragon's capsule accomplishes stability through the use of up to four rockets mounted to the capsule (Reisman, 2015). The rocket thrusters can automatically fire to arrest any instability that may exist in the vehicle's flight.

#### **4.2.3.3 Descent Arrest**

Prior to the escape capsule or module impacting terrain, it is critical that the descent rate be arrested. Excessive descent rates at landing can expose the capsule occupants to very high accelerations. Descent arrest can be accomplished through the use of parachutes, rocket motors, airbag systems, or combinations of various systems. When using parachutes, it is critical that the parachutes be designed appropriately for the expected deployment speeds. Deploying parachutes at excessive speeds can cause parachute damage resulting in excessive descent rates. Airbag systems may be used to arrest descent rates during landing by acting as energy absorbers. Rockets may be fired at the terminal area of landing to arrest decent rates.

#### **4.2.3.4 Landing**

During the landing phase, the survivability considerations for capsules are similar to those of parachutists. While the capsule may provide protection from the occupants impacting the ground, man-made hazards in the landing zone still present a risk of injury. Airbags and inflatable systems may be used to stabilize the capsule and provide buoyancy when impacting water. Other sources of injury include excessive descent rates at the time of landing.

#### **4.2.3.5 Recovery**

Once on the ground, the considerations for escape modules are very similar to post-crash factors when evaluating CREEP. If parachutes are used to arrest descent rates, the recovery risks for escape modules are the same for parachutists. For post-crash factors, the risks include survival factors such as water egress, weather and the local environment, wildlife interactions, quick access to medical care, and prompt rescue.

## 5.0 Discussion

### 5.1 CREEP Baseline

Crash survivability started with the pioneer Hugh DeHaven and his four principles. As the father of crashworthiness, DeHaven's principles provided the foundation for the modern survivability standard CREEP. When analyzing a crash, investigators use the acronym to systematically study and thoroughly evaluate each component. The components of CREEP are not mutually exclusive and each may rely on other survivability components to ensure that human tolerances are maintained and survival is accomplished. Each facet of CREEP, container, restraint, environment, energy absorption, and post-crash factors are complex and may contain multiple factors and subsystems to consider.

Starting with container, the vehicle must provide protection and maintain survivable space for occupants throughout a crash event. Container also considers the shedding of high mass items and designing the vehicle so that it does not crush or plow as methods for providing protection. Restraint refers to limiting occupant flail and mitigating the energy of the aircraft occupants throughout a crash. Environment considers the delethalization of the immediate surroundings and within an occupant's flail envelope. Energy absorption focuses on reducing crash loads to within human tolerances. And post-crash factors consider the elements of egress and survival after the crash event has concluded. The survivability considerations for each component are summarized below:

- **Container**
  - Survivable space must be maintained
  - Airframe hardening
    - Prevent intrusion into aircraft

- Structure must maintain shape throughout crash
  - Emergency egress locations hardened
- Retention/Shedding of high mass items
- Structurally designed to prevent plowing
- **Restraint**
  - Mitigate energy of occupant throughout crash
  - Limit occupant flail
  - Must maintain tie-down chain
  - Must transmit loads to skeletal structure of occupant
  - Should be designed appropriately for application
- **Environment**
  - Delethalization of occupant's surroundings
    - Keep objects out of flail envelope
    - Add padding or design to be frangible
  - Environment free from toxic fumes
- **Energy Absorption**
  - Reduces loads experienced by occupants of aircraft
  - Should reduce loads to within human tolerances
    - Human tolerances may vary based on individual factors
- **Post-Crash Factors**
  - Post-Crash fire
    - Respiratory injury
    - Thermal injury

- Toxic gasses
- Emergency egress critical to reduce risk of post-crash fire
- Over or under water egress
- Exposure to the elements
  - Weather/Local Environment
  - Wildlife
  - Availability and use of flotation systems
    - Personal flotation acceptable for warm water
    - Rafts necessary for cold water immersion
- Quick access to medical care
- Prompt rescue operations

## **5.2 CREEP Deficiencies**

After evaluating the case studies, CREEP was evaluated for each accident to identify deficiencies in the acronym. Many of the case studies emphasized survivability considerations that occurred outside of conditions dealing with a crash. For many of the accidents, crashes never took place. Each additional survivability consideration from the case studies was assigned to one of the five components of CREEP. Starting with container, Delta Airlines Flight 1288, and Trans-Canada Airlines Flight 304 emphasized the need for fuselage hardening from high energy projectiles. The Space Shuttle Columbia STS-107 and Southwest Airlines Flight 1380 accidents emphasized the need for the vehicle to protect occupants from high speed aerodynamic environments.

### 5.2.1 Container

For most aircraft, the engines are placed in a position such that if they fail and are not contained, they may strike the fuselage, potentially injuring the occupants. Due to very high rotation rates, engine failures can cause significant damage with the potential to result in catastrophic consequences. During full scale tests on blade strike prevention composite fuselage shielding, a section of propeller blade weighing 6.8 kilograms was released while traveling at 1120 RPM. Immediately after release, the blades achieved a linear velocity of 162 meters per second (m/s). At over 160 m/s, the 6.8 kilogram blade had a kinetic energy of 91,094 joules (Pereira et al, 2016).

When considering the kinetic energy required to cause serious injury, a propeller blade with over 91,000 joules of energy exceeds established levels by multiple orders of magnitude. There is a 90% probability of death when being struck in the head with an object having just 150 joules of energy. There is a 90% probability of death when hit in the torso by an object having 500 joules of energy (Henderson, 2010). While the energy of over 91,000 joules is before contact with the fuselage, without specific shields in place, the structure of an aircraft cabin is not expected to slow the projectile to safe speeds if striking the fuselage is inevitable.

The high energy components associated with failing engines have a significant potential to penetrate an aircraft and cause serious injuries. It is important that the engine case and aircraft fuselage be designed appropriately to prevent aircraft penetration from high energy debris. While engine cases can be used to contain failed engine components, fuselage shielding may be required for turboprop or propeller driven aircraft. During Delta Airlines Flight 1288 and Trans-Canada Airlines Flight 304, failed engine components penetrated the aircraft and struck

passengers, resulting in fatal injuries. Expanding the potential for projectiles from failed engines, another unique threat to aviation is wildlife, specifically birds.

There were roughly 16,000 wildlife strikes reported to the Federal Aviation Administration (FAA) in 2018 (FAA Wildlife Strike Database, 2020). While wildlife strikes can cause failure of critical aircraft systems like both engines during the US Airways Flight 1549 accident, bird strikes can also potentially penetrate the aircraft, causing injury to the crew on the flight deck. Modern aircraft structures and engines are specifically designed to be resistant to bird strikes. Transport category aircraft are required to not allow any penetration of their windscreens when impacted with a four pound bird at the aircraft sea level design cruise speed (Windshields and Windows, 2020). While some design considerations have been made to protect against bird strikes, objects more rigid than birds or weighing significantly larger than four pounds still have the potential to penetrate the aircraft and cause injuries. While evaluating survivability, it is important for the container to protect its occupants from all projectiles during an accident event. Failure to do so can result in serious injuries and as a result it is an additional concern for evaluating container in the acronym CREEP.

Another consideration when evaluating container is the protection from the high speed aerodynamic environment. During the Space Shuttle Columbia STS-107 accident, the shuttle orbiter broke up at hypersonic speeds in excess of 100,000 ft. in altitude. This exposed the crew to extreme temperatures and aerodynamic forces. For aerodynamic flow, once speeds exceed roughly 100 m/s, air will adiabatically compress around the object which results in a temperature rise (Anderson, 2011). The surrounding gas temperatures of over 10,000 °F observed during the shuttle reentry is caused by the aerodynamic adiabatic compression that takes place. As speeds increase, more adiabatic compression occurs and a greater temperature change is experienced.

Supersonic and hypersonic vehicles can experience very high surrounding temperatures. When in a high speed environment, it is critical that the vehicle maintain structural integrity and provide protection from the surrounding aerodynamic environment. Failure of the vehicle can expose the occupants to extremely high temperatures and aerodynamic pressures.

When George Smith ejected at a speed of Mach 1.05 in 1955, he experienced serious injuries. The aerodynamic forces he was exposed to resulted in dislocation injuries to both of his arms and legs. He sustained severe internal injuries which ultimately resulted in the loss of 17 ft. of his intestines (Tuttle, 2002). During the Southwest Airlines 1308 accident, the fatal injuries experienced by the passenger were a direct result of being exposed to the aerodynamic flow outside of the aircraft while being restrained to her seat. The aerodynamic environment at high speeds can potentially cause serious or fatal injuries. In addition to the lack of protection provided by the vehicle container, Southwest Airlines Flight 1380 and the Space Shuttle Columbia STS-107 accidents emphasized significant restraint issues.

### **5.2.2 Restraint**

Southwest Airlines Flight 1380 and STS-107 both experienced restraint system issues and neither restraint problem had to do with the dynamics associated with a crash event. During Flight 1380, a passenger was not properly restrained. While her restraint did keep her secured to her seat, it did not properly restrain her upper torso inside the aircraft. While the circumstances surrounding the accident were certainly unique, had the occupant been properly restrained inside the aircraft it is unlikely she would have received fatal injuries.

During the Space Shuttle Columbia accident, the restraint systems failed to prevent injuries before the orbiter broke up or impacted terrain. During the mishap event, it was noted that there were injuries sustained by the crew indicative of improper torso restraint. The

relatively low accelerations experienced as the orbiter began to lose stability did not activate the automatic locking feature of the inertial reels used on the torso restraints. After the crew became incapacitated due to the low pressure environment, they were no longer able to react or actively brace as the aircraft lost control. As accelerations increased, cervical fractures, skull fractures, and hemorrhages of the neck muscles were experienced indicating violent upper torso flail.

The two accidents emphasize the importance of proper restraint even outside of crash events. Restraint systems can reduce occupant flail throughout any dynamic event. They can be effective during loss of control, aerobatic, or emergency events and can prevent injuries prior to a vehicles impact with terrain. Focusing on a restraint systems use only during a crash can potentially miss out on other survivability issues that face restraint systems. While some of the case studies emphasize survivability concepts with container, and restraint, almost all of them emphasize survivability considerations with the environment.

### **5.2.3 Environment**

A safe local, surrounding environment around all occupants is critical in ensuring survivability. As previously discussed, the vehicle itself plays a vital role in ensuring a safe environment by maintaining its container. Failure of the container may expose the occupants to extreme temperatures, intrusion into the survivable space, and high energy projectiles. But not all hazards are presented from sources outside the aircraft. Hazards such as extreme temperatures, high energy projectiles, and low pressures can also be experienced inside the aircraft.

Occupants in the British Airtours 28M and the STS-107 were exposed to extreme temperatures. The British Airtours 28M fire created both toxic fumes and the extremely high temperatures which resulted in fatal injuries. Although the aircraft experienced the engine

failure during its takeoff roll and it never became airborne, the ensuing fire resulted in the deaths of 55 people. Exposure to high temperatures resulted in six of the 55 fatalities. While post-crash factors in CREEP does consider a post-crash fire and environment considers toxic fumes inflight, neither evaluate the high temperatures of a fire outside conditions of a crash. Inflight or ground fires have the potential to expose passengers to both toxic fumes and high temperatures which can cause serious injuries or death.

The STS-107 crew experienced both extremely high and extremely low temperatures. Once the crew module broke up, the occupants were exposed to hypersonic airflow. The air surrounding the crew was thousands of degrees Fahrenheit. After some time, aerodynamic drag would have arrested the speed of the crew. Once they slowed down, they were exposed to the very low temperatures of the high altitude environment. At high altitude, the temperature can be as low as -70 °F (Anderson, 2011, p. 1074). Injuries due to both high and low temperature exposures were experienced by the crew of the STS-107. Although the orbiter broke up and no longer provided protection, the case study illustrates the potential extremes of temperatures experienced during space flight. To prevent injuries, it is important for systems to be in place to protect occupants from the high and low extreme temperatures of high speed, high altitude flight.

As already discussed, failure of the container to prevent cabin penetration can expose occupants to high energy projectiles. But not all projectiles have to originate from sources outside the aircraft. Objects such as unrestrained cargo or lap-held infants have the potential to cause serious injury or death during a dynamic event. During the National Airlines Flight 102 accident, vehicles weighing over 12 tons displaced in the aircraft during takeoff ultimately causing the aircraft to crash. Anyone exposed to the rolling vehicles would have been at risk of serious injury. United Airlines Flight 232 crashed with multiple occupants of the aircraft

unrestrained. The unrestrained passengers became projectiles during the crash, exposing the passengers themselves and other passengers in the surrounding area to high energy projectiles.

Current federal regulation in the United States allows children under the age of two to be held on a person's lap for the duration of a flight. During Flight 232, the lap-held infants were not successfully restrained by their parents and they became projectiles. As a result of this accident, the NTSB released a Safety Recommendation on May 30, 1990 specifically recommending that the FAA "Revise 14 CFR 91, 121 and 135 to require that all occupants be restrained during takeoff, landing, and turbulent conditions, and that all infants and small children below the weight of 40 pounds and under the height of 40 inches be restrained in an approved child restraint system appropriate to their height and weight" (Kolstad, 1990, p. 7). The safety recommendation emphasized the risks of unrestrained occupants to both themselves and other people on the aircraft.

The last environmental consideration for survivability was emphasized by both the Red Bull Stratos project and the STS-107 accident. The low pressure environment associated with high altitude poses several survivability issues such as barotrauma, decompression sickness, ebullism, and hypoxia. Barotrauma is caused by the rapid expansion of gas in the body when decompression occurs. Systems in the body that have trapped gasses such as the middle ear, the paranasal sinuses, the lungs, and the intestines can be subject to injury or discomfort when rapid decompression takes place. While barotrauma can be extremely painful, it rarely results in serious or fatal injuries (Davis, 2008). Decompression sickness however can potentially be more serious.

Decompression sickness is specifically attributed to the dissolved gasses in the body coming out of solution and forming bubbles when exposed to rapid decompression. The pure

oxygen pre-breathe operation conducted during the Red Bull Stratos project was specifically aimed at reducing the risk of decompression sickness. It is often referred to as the “bends” and is commonly associated with diving. Much like during ascent while diving, aviation has the potential to expose occupants to rapid decompression which can cause decompression sickness. In the most extreme cases, gas bubbles “within the central nervous system can cause cerebral ischemia and stroke-like symptoms, and bubbles within the pulmonary vasculature can lead to complete cardiovascular collapse and death” (Davis, 2008, p. 271). In addition to decompression sickness, at very high altitudes the low pressure environment can cause ebullism which can also be fatal.

“Ebullism is the spontaneous evolution of water from liquid to gaseous state in tissues at an ambient pressure of 47 mmHg or less, where the boiling point of water is less than or equal to the homeostatic temperature of the human body” (Murray, et. al, 2013, p. 89). The ambient pressure of 47mmHg is typically experienced at an altitude often referred to as the “Armstrong Line” of about 63,000 ft. When water begins to evaporate in the body, it causes tissue damage in the process which can be “characterized by diffuse alveolar damage, tissue edema, and hemorrhagic lung” (Blue, et. al 2014, p. 532). While decompression sickness is associated with dissolved gasses in the body, ebullism is associated with evaporating water. When exposed to pressure altitudes exceeding roughly 63,000 ft. ebullism can result in extensive histologic damage at the cellular level of the body. It can also result in the same cardiovascular collapse associated with extreme decompression sickness. Ebullism is often fatal as there are few treatment options available (Blue, et al, 2014). Ebullism was the cause of death for at least one of the crew members of the Columbia. The last serious risk associated with low pressure environments is hypoxia.

Hypoxia is defined as “the state of O<sub>2</sub> deficiency in the blood cells and tissues significant enough to cause impairment of function” (U.S. Army, 2019, p. 2-13). There are several types of hypoxia to include histotoxic hypoxia, hypemic hypoxia, stagnant hypoxia, and hypoxic hypoxia. Histotoxic hypoxia is the inability of a cell to use delivered oxygen and is typically associated with a toxin or poison introduced into the body such as cyanide. Hypemic hypoxia is associated with a decrease in the oxygen carrying capability of blood and is typically caused by anemia or carbon monoxide poisoning. Stagnant hypoxia is caused by inadequate blood flow and can be caused by a variety of cardiovascular diseases or impairments. The type of hypoxia associated with the low pressure environment is hypoxic hypoxia (Davis, 2008).

Hypoxic hypoxia is caused by the degradation of alveolar oxygenation which causes a lack of oxygen diffusion into the blood. As pressure altitude increases, the partial pressure of oxygen decreases and worsens the severity of hypoxia. At altitudes above roughly 10,000 ft., the partial pressure of oxygen gets low enough in standard air to start impairing the process of alveolar oxygenation. Breathing pure oxygen may help by increasing the partial pressure of the gas, but it does not eliminate the risk. Even if breathing pure oxygen, the pressure of the atmosphere experienced at 30,000 ft. will result in hypoxic hypoxia. When breathing standard air at pressure altitudes in excess of 35,000 ft., the time of useful consciousness is measured in seconds. After exceeding the time of useful consciousness, significant cognitive impairment or incapacitation may be experienced. Table 8 shows time of useful consciousness with respect to altitude (Jenkins, 2012).

<b>Table 8: Time of Useful Consciousness (Jenkins, 2012)</b>	
<b>Altitude (ft.)</b>	<b>Time of Useful Consciousness</b>
10,000	Nearly Indefinitely
18,000	20-30 minutes
25,000	3-5 minutes
30,000	1-3 minutes
35,000	30-60 seconds
43,000	5-10 seconds
50,000	0-5 seconds
63,000	0 seconds

Hypoxia can cause loss of consciousness as well as neurological and physiological impairment. At very high altitudes, hypoxic hypoxia can be fatal. Even ignoring the threats of decompression sickness and ebullism, the altitudes achieved during the Red Bull Stratos project were high enough that failure of the pressure suit would have been fatal for Felix Baumgartner. During the STS-107, accident cabin pressurization was lost in excess of 100,000 ft. and the crew were not wearing their pressure suits properly to protect them from the low pressure environment. While there were several fatal events that took place, hypoxia was one of the primary causes of death for all but one member of the shuttle crew.

#### **5.2.4 CREEP Deficiency Summary**

Based on the case studies evaluated, several deficiencies were identified. The case studies emphasized issues with container, restraint, and the environment components of CREEP. Table 9 summarizes the issues identified with each case study.

<b>Table 9: Case Study Survivability Summary</b>	
<b>Case Study</b>	<b>Survivability Consideration</b>
Delta Airlines Flight 1288	Failed turbine engine penetrated cabin
Southwest Airlines Flight 1380	Failed to restrain occupant inside cabin
Trans-Canada Airlines Flight 304	Failed propeller penetrated cabin
British Airtours Flight 28M	Extreme Temperatures due to fire
The Red Bull Stratos Project	Parachuting High altitude environment
The Space Shuttle Columbia STS-107	Inflight restraint failure High altitude environment Extreme temperatures Hypersonic/supersonic environment
National Airlines Flight 102	Unrestrained cargo
United Airlines Flight 232	Unrestrained passengers (lap-held infants)

Each deficiency identified by the case studies was assigned to CREEP and is summarized below:

- Container
  - Protection from high energy strikes
    - Engine failure
    - Bird/Wildlife strikes
  - Protection from high speed airflow
    - Extreme temperatures
    - Extreme pressures
- Restraint
  - Restraint is important even before the crash
    - Restrain occupant inside vehicle
    - Secure upper torso to prevent flail
- Environment
  - Thermal environment (extreme temperatures)
    - Exposure to Fire

- Aerodynamic thermal environment
- High altitude, low temperature
- High energy, high mass items striking occupants
  - Projectiles
  - Unrestrained passengers/lap-held infants
  - Unrestrained cargo
- High altitude, low pressure environment
  - Decompression sickness
  - Ebullism
  - Hypoxia

### **5.3 Escape Systems**

In addition to the case studies evaluated, escape systems were studied and to outline survivability factors. Systems such as parachutes, ejection seats, and escape capsules provide a means of survivability that may be completely independent of a vehicle crashing. At first, parachutes were used as an entertainment act often demonstrated at carnivals in front of large crowds. It wasn't long after the invention of the airplane that the parachutes benefit to aviation was realized. The parachute allowed pilots to jump from stricken aircraft and avoid crashing completely. When using an escape system, it is assumed that the occupants are no longer with the vehicle when it ultimately impacts terrain.

The survivability considerations of the various escape systems are unique and they don't fall squarely within any current component of CREEP. In fact, none of the escape systems fall within the traditional "crashworthy" framework. They are designed specifically so that occupants may separate from the aircraft in the event of an emergency. The primary purpose of

their design is to ensure safe separation and prevent the occupants from going down with the aircraft ensuring that they avoid the crash all together. The first escape system to be implemented was the parachute.

### **5.3.1 Parachuting Summary**

Parachutes are most commonly used for the sport of skydiving. Parachutes are also used as a means of safe escape for pilots who wish to jump from their aircraft in the case of an emergency. Parachutes are frequently used for aircraft performing hazardous activities such as acrobatic flight, air racing, and transporting skydivers. Effective bailout is limited to relatively slow speed aircraft. There are several survivability considerations that should be made when evaluating parachutes. Parachuting can be separated into six discrete events, each with their own survivability factors. They are:

- Safe separation
  - Clear obstacles
  - Get to egress door and successfully clear the aircraft
  - Egress at appropriate speeds to minimize windblast
- Freefall
  - Instability
  - Midair collisions
  - Environment
    - Hypoxia
    - Low temperature
    - Adverse weather
- Parachute deployment

- Deployment system failure
- Jumper instability or improper positioning
- Excessive speed
- Incorrect packing procedure
- Multiple deployments
- Properly fitting harness
- Descent under parachute
  - Midair collisions
  - Environment
    - Hypoxia
    - Low temperature
    - Adverse weather
- Landing
  - Terrain features
    - Impalement injuries
    - Blunt force trauma
  - Man-made landing zone hazards
  - Excessive descent rates
  - Successful PLF
- Recovery
  - Failure to secure parachute
    - Being dragged through landing zone
  - Tree landing and fall

- Water landings and drowning
- Weather exposure
- Wildlife interaction
- Quick access to medical care
- Prompt rescue

### **5.3.2 Ejection Seat Summary**

Ejection seats are typically used in high performance aircraft commonly owned and operated by state governments. But not all ejection seat equipped aircraft are owned by government agencies. In 2012, the NTSB released a Safety Recommendation specifically addressing the hazards associated with investigating mishaps involving aircraft equipped with ejection seats. The safety recommendation specifically cites use in Aero Vodochody L39C aircraft registered to Fighter Town USA, LLC and operated under Part 91 regulations (Hersman, 2012).

There are also cases of military aircraft being demilitarized and sold to private individuals. A private owner operating as Nalls Aviation Inc. in California, Maryland, owns and operates AV-8B Harrier aircraft fully equipped with ejection seats. The owner performs at airshows demonstrating the aircraft's vertical takeoff and landing capabilities (TheBaynet.com, 2014). There are many survivability considerations that should be evaluated when investigating an accident involving an ejection seat. The process of a safe ejection can be broken down into ten discrete events, each with their own survivability factors. They are:

- Ejection initiation
  - Safe escape envelope
- Aircrew positioning

- Canopy/Hatch jettison/fracturing
  - Properly sized aviator
  - Properly using ALSS
  - Decompressive injuries
- Aircraft separation
  - Proper aircrew position
  - Proper aircrew sizing
  - Midair collision
  - Flail injuries due to windblast
- In-Seat descent and stabilization
  - Seat stabilization
    - Deceleration forces primarily applied longitudinally
    - Stabilize seat for parachute deployment
- Parachute deployment
  - Ejection over high altitude terrain
  - Deployment system failure
  - Jumper instability or improper positioning
  - Excessive speed
  - Incorrect packing procedure
  - Multiple deployments
  - Properly fitting harness
- Occupant-seat separation
  - Excessive descent rates

- Descent under parachute
  - Midair collisions
  - Environment
    - Hypoxia
    - Low temperature
    - Adverse weather
- Landing
  - Terrain features
    - Impalement injuries
    - Blunt force trauma
  - Man-made landing zone hazards
  - Excessive descent rates
  - Successful PLF
- Recovery
  - Failure to secure parachute
    - Being dragged through landing zone
  - Tree landing and fall
  - Water landings and drowning
  - Weather exposure
  - Wildlife interaction
  - Quick access to medical care
  - Prompt rescue

### 5.3.3 Escape Capsule Summary

Escape capsules are primarily used on very high speed aircraft or rocket propelled vehicles. They can be used on supersonic fighters, bombers, or rocket propelled space vehicles. In the final investigation report for the Space Shuttle Columbia, the lack of adequate protection from vehicle damage and the lack of an escape system were specifically noted as contributing factors that led to the outcome for the shuttle crew. The report stated “future crewed-vehicle requirements should incorporate the knowledge gained from the Challenger and Columbia accidents in assessing the feasibility of vehicles that could ensure crew survival even if the vehicle is destroyed” (NASA, 2009, p. XXII). For this reason, the new Crew Dragon capsule designed by SpaceX implements an emergency escape function that can be used at any point during the launch sequence.

There are several survivability considerations that should be evaluated when investigating escape capsules. The process of escape using a capsule can be separated into five discrete events, each with their own survivability factors. They are:

- Vehicle separation
  - Clear vehicle without damage
  - Safe escape envelope
- Descent
  - Stable descent
- Descent arrest
  - Excessive speed
    - Parachute damage
- Landing

- Landing zone hazards
- Excessive descent rates
- Recovery
  - Failure to secure parachute
    - Being dragged through landing zone
  - Tree landing and fall
  - Water landings and egress
  - Weather exposure
  - Wildlife interaction
  - Quick access to medical care
  - Prompt rescue

## **6.0 Conclusion**

CREEP is the modern industry standard for evaluating survivability. As demonstrated during the literature review, CREEP and survivability are synonymous with crashworthiness. It is based on the crashworthy pioneer Hugh DeHaven's four principles and by evaluating container, restraint, environment, energy absorption, and post-crash factors, investigators can systemically and methodically evaluate the survivability of an airplane crash. The final component of CREEP, post-crash factors emphasizes the acronym's focus on a crash by explicitly using the word. However, this should not be the case.

There is much more to survivability than just what happens during a vehicles impact with terrain. Aviation is a constantly evolving and increasingly complex industry. As technology continues to change and shape the logistics of how people travel, the survivability considerations that influence that travel should change as well. While DeHaven's crashworthiness principles

established in the 1950s are still very relevant today, they don't fully capture the complexities of current aviation systems and neither does CREEP. Companies like Virgin Galactic and SpaceX are planning to begin commercial passenger space flights within the close of the decade and NASA is planning on making humans an interplanetary species by sending astronauts to our neighboring planet Mars. As our aviation systems evolve, so should the methods of conducting accident analysis. In evaluating CREEP, there are several survivability considerations that aren't included in the current acronym.

The case studies and special considerations show that there are many survivability considerations that are independent of a crash. For many of the accidents studied, the aircraft didn't crash and in some cases they didn't even get airborne. Except for the Red Bull Stratos project, all of the case studies evaluated involved fatal injuries. The special consideration of escape systems emphasize that there are many survivability concepts that are completely independent of crashworthy concepts. Escape systems survivability concepts are completely independent of a crash. When using escape systems it is assumed that the occupants are no longer with the vehicle once it impacts terrain. Until now, escape systems were totally separate and independent of CREEP. By redefining the survivability acronym, it is possible to expand it to be a more encompassing, comprehensive tool that can be better utilized by accident investigators.

Currently, when evaluating CREEP, some of the components may have dependent relationships with other facets while others can be independent. Emergency egress during post-crash factors relies on the successful activation and use of emergency exits which must be hardened to ensure they maintain their function by the container. Energy absorption relies on the restraint systems in the aircraft maintaining the tie-down chain throughout the crash event. But

things like quick access to medical care, prompt rescue, and wildlife interaction are independent of other survivability considerations. In redefining the acronym, this relationship still holds true.

CREEP should be expanded to include survivability considerations that occur outside of a crash. This includes considerations such as high energy projectile protection, protection from the high speed aerodynamic environment, protection from extreme temperatures, and the considerations of a high altitude low pressure environment. The acronym should be also be redefined to include escape systems. Due to the fundamental nature of energy absorption occurring during extreme vehicle dynamics, CREEP should be redefined to consider energy absorption or escape systems. Post-crash factors should be redefined to “post-event factors” to eliminate the assumption that a crash has taken place. Therefore, the new definition of CREEP is **C**ontainer, **R**estraint, **E**nvironment, **E**nergy absorption/**E**scape, and **P**ost-event factors. The following is a summary of the factors that influence survivability using the new acronym is:

- **Container**
  - Survivable space must be maintained
  - Airframe hardening
    - Prevent intrusion into aircraft
    - Structure must maintain shape throughout crash
    - Emergency egress locations hardened
  - Retention of high mass items
  - Structurally designed to prevent plowing
  - Protection from high energy strikes
    - Engine failure
    - Bird/wildlife strikes

- Protection from high speed airflow
  - Extreme temperatures
  - Extreme pressures
- **Restraint**
  - Mitigate energy of occupant throughout crash
  - Limit occupant flail
    - Restrain occupant inside vehicle
    - Secure upper torso
  - Must maintain tie-down chain
  - Must transmit loads to skeletal structure of occupant
  - Should be designed appropriately for application
- **Environment**
  - Delethalization of occupant's surroundings
    - Keep objects out of flail envelope
    - Add padding or design to be frangible
  - Environment free from toxic fumes
  - Thermal environment (extreme temperatures)
    - Exposure to fire
    - Aerodynamic thermal environment
    - High altitude, low temperature
  - High energy, high mass items striking occupants
    - Projectiles
    - Unrestrained passengers/lap-held infants

- Unrestrained cargo
- **Energy Absorption/Escape**

### **Energy Absorption**

- Reduces loads experienced by occupants of aircraft
- Should reduce loads to within human tolerances
  - Human tolerances may vary based on individual factors

### **Escape**

- Safe Separation
  - Clear obstacles
  - Get to egress door and successfully clear the aircraft
  - Egress at appropriate speeds to minimize windblast
  - Separate from main vehicle without damage
- Freefall
  - Instability
  - Midair collisions
  - Environment
    - Hypoxia
    - Decompression sickness
    - Low temperature
    - Adverse weather
- Ejection Initiation
  - Safe escape envelope
  - Decompressive injuries

- Aircrew positioning
- Canopy/Hatch jettison/fracturing
  - Properly sized aviator
  - Properly using ALSS
- Aircraft separation
  - Proper aircrew position
  - Proper aircrew sizing
  - Midair collision
  - Flail injuries due to windblast
- Descent and stabilization
  - Stable descent
  - Seat stabilization
    - Deceleration forces primarily applied longitudinally
    - Stabilize seat for parachute deployment
- Parachute deployment
  - Ejection over high altitude terrain
  - Deployment system failure
  - Jumper instability or improper positioning
  - Excessive speed
  - Incorrect packing procedure
  - Multiple deployments
  - Properly fitting harness
- Descent under parachute

- Midair collisions
- Environment
  - Hypoxia
  - Low temperature
  - Adverse weather
- Landing
  - Terrain features
    - Impalement injuries
    - Blunt force trauma
  - Landing zone hazards
  - Excessive descent rates
  - Successful PLF
- **Post-Event Factors**
  - Post-event fire
    - Respiratory injury
    - Thermal injury
    - Toxic gasses
    - Emergency egress critical to reduce risk of post-accident fire
  - Over/Under water egress
  - Exposure to the elements
    - Weather/Local Environment
    - Wildlife
    - Availability and use of flotation systems

- Personal flotation acceptable for warm water
- Rafts necessary for cold water immersion
- Failure to secure parachute (Escape Systems)
  - Dragged through landing zone
- Tree landing and fall (Escape Systems)
- Water landings and drowning (Escape Systems)
- Quick access to medical care
- Prompt rescue operations

The new acronym is more comprehensive and it covers a much wider range of aviation systems. By using the new definition of CREEP, investigators don't have to just focus on accidents that involve a crash or an aircraft impacting terrain. The new definition represents an analysis that was conducted during a snapshot in time. Considering the dynamic nature of technology as it relates to aviation, accident analysis should be constantly evolving. While maintaining the acronym CREEP isn't essential, the analysis of survivability as it relates to aviation should be a living evolving concept. If other survivability issues are identified in the future, the survivability tool used should be updated accordingly.

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