Short-Range Gravity and Lorentz Violation

Quentin G. Bailey  
*Embry-Riddle Aeronautical University, baileyq@erau.edu*

V. Alan Kostelecký  
*Indiana University - Bloomington*

Rui Xu  
*Indiana University - Bloomington*

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Gravity is a universal but comparatively weak force. This makes it challenging to study and today, some 350 years after Newton’s *Principia*, our experimental understanding of gravity remains in some respects remarkably limited. On the scale of the solar system, we are confident that Newton’s law describes the dominant physics and that Einstein’s General Relativity provides accurate relativistic corrections. However, on larger scales we lack a complete and compelling understanding, as evidenced by dark energy. On smaller scales below about 10 microns, it is presently unknown whether gravity obeys Newton’s law, and forces vastly stronger than the usual inverse-square behavior remain within the realm of possibility.

Perhaps the most crucial founding principle of General Relativity is the Einstein equivalence principle. Two of its ingredients are the weak equivalence principle, which essentially states that gravity is flavor independent, and local Lorentz invariance, which states that rotations and boosts are local symmetries of nature. Developing a deep understanding of gravity at all scales therefore depends on strong experimental support for these principles. The weak equivalence principle has been widely tested, but tests of local Lorentz invariance have been largely limited to the pure-matter sector or to matter-gravity couplings [1, 2]. Here, we undertake to address this gap by focusing on violations of local Lorentz symmetry in the pure-gravity sector.

Effective field theory is a powerful and unique tool for investigating physics at attainable scales when definitive knowledge of the underlying physics is lacking. It is therefore well suited for exploration of local Lorentz invariance in gravity. Indeed, the pure-gravity sector of the effective field theory describing general local Lorentz violations for spacetime-based gravitation can be formulated as a Lagrange density containing the usual Einstein-Hilbert term and cosmological constant $\Lambda$, together with an infinite series of operators of increasing mass dimension $d$ representing corrections to known physics at attainable scales [3]. To date, however, experimental searches for local Lorentz violation [4, 10] and phenomenological studies [11, 12] within this framework have been restricted to the so-called minimal sector, consisting of terms with operators of the lowest mass dimension $d = 4$.

In the present work, we initiate a systematic study of local Lorentz violation with $d > 4$, introducing explicit expressions for $d = 5$ and 6 and investigating prospective experimental constraints. Operators of higher mass dimension $d$ involve more derivatives, which translate to corrections to the Newton force law varying as $1/r^{d-2}$. Short-range tests of gravity therefore offer the sharpest sensitivities to effects from operators with $d > 4$ and are our focus in what follows. Moreover, as discussed below, the predicted signals contain novel features that to date are unexplored in experiments.

We focus here on spontaneous violation of Lorentz symmetry [13] in spacetime theories of gravity, since the alternative of explicit Lorentz violation is generically incompatible with conventional Riemann geometry or is technically unnatural in such theories [2]. Spontaneous Lorentz violation occurs when an action with local Lorentz invariance involves gravitational couplings to tensor fields $k_{\alpha\beta...}$ that acquire nonzero background values $\bar{k}_{\alpha\beta...}$ [4]. The field fluctuations $k_{\alpha\beta...} \equiv k_{\alpha\beta...} - \bar{k}_{\alpha\beta...}$ include massless Nambu-Goldstone and massive modes that affect the physics. The presence of nonzero backgrounds means the resulting gravitational phenomenology violates local Lorentz invariance, and so the backgrounds $\bar{k}_{\alpha\beta...}$ are called coefficients for Lorentz violation [15].

In typical post-newtonian applications, the coefficients $\bar{k}_{\alpha\beta...}$ are assumed small on the relevant physical scale and constant in asymptotically flat coordinates, and the analysis is performed at linear order in the metric fluctuation $h_{\alpha\beta}$ and the coefficients $\bar{k}_{\alpha\beta...}$. Elimination of the fluctuations $\bar{k}_{\alpha\beta...}$ can be achieved by imposing the underlying diffeomorphism invariance on the dynamics, thereby yielding a modified Einstein equation expressed in terms of $\bar{k}_{\alpha\beta...}$ and quantities such as the linearized curvature tensor [11]. The phenomenology of the modified equation can then be explored and experimental studies performed to search for local Lorentz violation.

More explicitly, we can write the Lagrange density of the underlying action as the sum of four terms,

$$\mathcal{L} = \mathcal{L}_{EH} + \mathcal{L}_{LV} + \mathcal{L}_k + \mathcal{L}_M,$$

where $\mathcal{L}_{EH} = \sqrt{-g}(R - 2\Lambda)/16\pi G_N$ is the usual Einstein-Hilbert term with cosmological constant $\Lambda$, $\mathcal{L}_{LV}$ describes the gravitational coupling to the coefficient fields and hence is the source of phenomenological gravitational Lorentz violation, $\mathcal{L}_k$ contains the dynamics of the coeffi-
cient fields triggering the spontaneous Lorentz violation, and $\mathcal{L}_M$ describes the matter. The term $\mathcal{L}_{IV}$ can be written as a series involving covariant gravitational operators of increasing mass dimension $d$,

$$\mathcal{L}_{IV} = \frac{\sqrt{-g}}{16\pi G_N} (\mathcal{L}^{(4)}_{IV} + \mathcal{L}^{(5)}_{IV} + \mathcal{L}^{(6)}_{IV} + \ldots).$$

(2)

Each term is formed by contracting the coefficient fields $k_{\alpha\beta\ldots}$ with gravitational quantities including covariant derivatives $D_a$ and curvature tensors $R_{\alpha\beta\gamma\delta}$. Here, we consider explicitly terms with $4 \leq d \leq 6$, though much of our discussion can be directly generalized to larger $d$.

The minimal term $\mathcal{L}^{(4)}_{IV}$ with $d = 4$ is

$$\mathcal{L}^{(4)}_{IV} = (k^{(4)})_{\alpha\beta\gamma\delta} R^{\alpha\beta\gamma\delta}.$$  

(3)

The dimensionless coefficient field $(k^{(4)})_{\alpha\beta\gamma\delta}$ inherits the symmetries of the Riemann tensor and can be decomposed into its traceless part $r_{\alpha\beta\gamma\delta}$, its trace $s_{\alpha\beta}$, and its double trace $u$. Within the post-newtonian treatment outlined above, the coefficient $\Pi$ acts as an unobservable rescaling of $G_N$ \[13\]. In pure gravity, the coefficient $\eta_{\alpha\beta}$ can be removed via coordinate definitions \[3\], but more generally it generates many phenomenological effects, and its 9 independent components have been constrained to varying degrees down to about $10^{-10}$ by numerous analyses using data from lunar laser ranging \[3\], atom interferometry \[5, 6\], short-range tests \[7\], satellite ranging \[8\], precession of orbiting gyroscopes \[9\], pulsar timing \[10\], and perihelion and solar-satellite precession \[8, 11\]. The coefficient $\tau_{\alpha\beta\gamma\delta}$ is absent at leading orders in the post-newtonian expansion, and to date its 10 independent components have no known physical implications for reasons that remain mysterious (the ‘t puzzle’).

For $d = 5$, the general expression using curvature and covariant derivatives is

$$\mathcal{L}^{(5)}_{IV} = (k^{(5)})_{\alpha\beta\gamma\delta\lambda} D^\alpha R^{\beta\gamma\delta\lambda}.$$  

(4)

In the linearized limit, or more generally under the operational definition of the CPT transformation \[3\], the expression $D^\alpha R^{\beta\gamma\delta\lambda}$ is CPT odd. Any effects from $\mathcal{L}^{(5)}_{IV}$ in the nonrelativistic limit would therefore represent spurious contributions to the associated Newton gravitational force rather than conventional vector ones, and hence they would lead to self accelerations of localized bodies. Analogous issues are known for some CPT-odd terms in other sectors \[17\]. Any stable models with terms of the form $\mathcal{L}^{(5)}_{IV}$ therefore cannot lead to effects on nonrelativistic gravity, and so their phenomenology lies outside our present scope.

Instead, we focus on Lorentz violation at $d = 6$, for which we write $\mathcal{L}^{(6)}_{IV}$ in the form

$$\mathcal{L}^{(6)}_{IV} = \frac{1}{8} (k^{(6)})_{\alpha\beta\gamma\delta\lambda\mu} \{D^\alpha, D^\beta\} R^{\gamma\delta\lambda\mu} + (k^{(6)}_{2})_{\alpha\beta\gamma\delta\lambda\mu\nu} R^{\lambda\mu\nu} R^{\beta\gamma\delta}.$$  

(5)

The coefficient fields $(k^{(6)})_{\alpha\beta\gamma\delta\lambda\mu}$ and $(k^{(6)}_{2})_{\alpha\beta\gamma\delta\lambda\mu\nu}$ have dimensions of squared length, or squared inverse mass in natural units. In the first term, the anticommutator of covariant derivatives suffices for generality because including the commutator would merely duplicate part of the second term. The first four indices on $(k^{(6)})_{\alpha\beta\gamma\delta\lambda}$ inherit the symmetries of the Riemann tensor, as do the first and last four indices on $(k^{(6)}_{2})_{\alpha\beta\gamma\delta\lambda\mu\nu}$, while the Bianchi identity implies the additional cyclic-sum condition $\sum_{(\gamma\delta\lambda)} (k^{(6)})_{\alpha\beta\gamma\delta\lambda} = 0$. The number of independent components in $(k^{(6)})_{\alpha\beta\gamma\delta\lambda}$ and $(k^{(6)}_{2})_{\alpha\beta\gamma\delta\lambda\mu\nu}$ is therefore 126 and 210, respectively. The coefficients $(k^{(6)})_{\alpha\beta\gamma\delta\lambda}$ could arise from Lorentz-violating derivative couplings of fields to gravity in the underlying theory. Models of this type are straightforward to construct, although we are unaware of examples in the literature. The coefficients $(k^{(6)}_{2})_{\alpha\beta\gamma\delta\lambda\mu\nu}$ represent general quadratic Lorentz-violating curvature couplings, specific forms of which occur in many models as a result of integrating over fields in the underlying action that have Lorentz-violating couplings to gravity. Examples include Chern-Simons gravity \[18, 19\], the cardinal model \[20\], and various types of bumblebee models \[21, 22\].

To extract the linearized modified Einstein equation resulting from the terms \[15\], we assume an asymptotically flat background metric $\eta_{\alpha\beta}$ as usual, and write the background coefficients as $(\Pi^{(6)})_{\alpha\beta\gamma\delta\lambda\mu}$ and $(\tau^{(6)})_{\alpha\beta\gamma\delta\lambda\mu\nu}$. We remark that the procedure for linearization and elimination of coefficient fluctuations outlined above \[11\] involves no fluctuations for $(k^{(6)}_{2})_{\alpha\beta\gamma\delta\lambda\mu\nu}$ because these contribute only at nonlinear order. After some calculation, we find the linearized modified Einstein equation can be written in the form

$$G_{\mu\nu} = 8\pi G_N (T_{M})_{\mu\nu} + 2\tilde{\pi}^{\alpha\beta} G_{(\alpha\mu\nu\beta)} - \frac{1}{2} \tilde{\pi} G_{\mu\nu}$$

$$+ \alpha (\Pi^{(6)})_{\alpha\mu\beta\gamma\delta\lambda} \delta^\alpha \partial^\beta R^{\gamma\delta\lambda}$$

$$+ \beta (\tau^{(6)})_{\alpha\mu\beta\gamma\delta\lambda\mu\nu} \partial^\alpha \partial^\beta R^{\gamma\delta\lambda\mu\nu},$$

(6)

where $G_{\alpha\beta\gamma\delta}$ is the Einstein tensor, and $G_{\alpha\beta} \equiv G^{\gamma\lambda} \partial_{\gamma} \partial_{\beta}$ is the Einstein tensor. In Eq. \[9\], all gravitational tensors are understood to be linearized in $\eta_{\alpha\beta}$. Also, we have introduced the scalar operator $\mathcal{J} = \frac{\sqrt{-g}}{16\pi G_N} (T_{M})_{\mu\nu} + \alpha (\Pi^{(6)})_{\alpha\mu\beta\gamma\delta\lambda} \delta^\alpha \partial^\beta R^{\gamma\delta\lambda}$ and the tensor operator $\mathcal{J}_{\alpha\beta\gamma\delta\lambda\mu\nu} = (\Pi^{(6)})_{\alpha\mu\beta\gamma\delta\lambda\mu\nu}$, where $(\Pi^{(6)})_{\gamma\delta\lambda} \equiv (\Pi^{(6)}_{1})_{\gamma\delta\lambda} \equiv (\Pi^{(6)}_{2})_{\gamma\delta\lambda}$ is a double trace and $(\tau^{(6)})_{\alpha\beta\gamma\delta\lambda\mu\nu}$ is a single trace. Note that the entire contribution from the $d = 4$ Lorentz-violating term \[3\] is contained in $\mathcal{J}$ and $\mathcal{J}_{\alpha\beta\gamma\delta\lambda\mu\nu}$, along with comparable pieces of the $d = 6$ derivative term. This structure may offer some insight into the t puzzle mentioned above. The parameter $a$ in Eq. \[9\] is a model-dependent real number that depends on the dynamics specified by the Lagrange density $\mathcal{L}_k$. 
The modified Einstein equation \( \Box^2 U = 4\pi G_N \rho + (\mathcal{K}_{\text{eff}})_{jk} \partial_j \partial_k \partial_m U, \) \( \text{(7)} \)

where \( U(r) \) is the modified Newton gravitational potential. In this equation, \((\mathcal{K}_{\text{eff}})_{jk} \) are effective coefficients for Lorentz violation with totally symmetric indices, revealing that the number of independent observables for Lorentz violation in the nonrelativistic limit is 15. These effective coefficients are linear combinations of the \( d = 6 \) coefficients \((k_1^{(6)})_{\alpha \beta \gamma \delta \kappa \lambda} \) and \((k_2^{(6)})_{\alpha \beta \gamma \delta \kappa \lambda} \mu \nu \), the explicit form of which is somewhat lengthy and irrelevant for present purposes and so is omitted here, but we remark in passing that many of the independent components \((k_1^{(6)})_{\alpha \beta \gamma \delta \kappa \lambda} \) and \((k_2^{(6)})_{\alpha \beta \gamma \delta \kappa \lambda} \mu \nu \) appear.

To solve the modified Poisson equation \( \Box^2 U \) we can adopt a perturbative approach, with the Lorentz-violating term assumed to generate a small correction to the usual Newton potential. This is consistent with the notion that the \( d = 6 \) Lorentz-violating term \( \Box^4 \) represents a perturbative correction to the Einstein-Hilbert action on the length scales of experimental interest. The nonperturbative scenario with \( \Box^4 \) dominating the physics could in principle also be of interest but involves theoretical complexities that lie outside our present scope. Within the perturbative assumption, the solution to the modified Poisson equation \( \Box^2 U \) can be written as

\[
U(r) = G_N \int d^3 r' \rho(r') \left[ 1 + \frac{\mathcal{K}(\hat{r})}{|r - r'|} \right] \left[ 1 + \mathcal{K}(\hat{r}) \right]_{jk} \partial_j \partial_k \partial_m U, \] \( \text{(8)} \)

where \( \mathcal{K}(\hat{r}) \) is an anisotropic combination of coefficients and a function of \( \hat{r} \), given by

\[
\mathcal{K}(\hat{r}) = \frac{3}{2} (\mathcal{K}_{\text{eff}})_{jk} - 9 (\mathcal{K}_{\text{eff}})_{jkl} \hat{r}_j \hat{r}_k \]

\[
+ \frac{15}{2} (\mathcal{K}_{\text{eff}})_{jklm} \hat{r}_j \hat{r}_k \hat{r}_l \hat{r}_m. \] \( \text{(9)} \)

away from the origin, where Eq. \( \text{(11)} \) is used to express the combination \( \mathcal{K}(\hat{r}, T) \) in Eq. \( \text{(4)} \) in terms of coefficients \( (\mathcal{K}_{\text{eff}})_{JKNL} \) in the Sun-centered frame. The modified force therefore depends both on direction and on sidereal time, which leads to striking signals in short-range experiments. For example, the time dependence in Eq. \( \text{(11)} \) implies that the effective gravitational force between two bodies can be expected to vary with frequencies up to and including the fourth harmonic of \( \omega_0 \). Also, the direction dependence of the laboratory-frame coefficients \( (\mathcal{K}_{\text{eff}})_{jklm} \) implies an asymmetric dependence of the signal on the shape of the bodies. A few simple results...
valid in conventional Newton gravity, such as the constancy of the force at any point above an infinite plane of uniform mass density, still hold for the potential \( g_2 \).

However, for the finite bodies used in experiments it is typically necessary to determine the potential and force via numerical integration. Indeed, simple simulations for experimental configurations such as two finite planes \( [25] \) or a plane and a sphere \( [26] \) reveal that shape and edge effects play an important role in determining the sensitivity of the experiment to the coefficients for Lorentz violation.

The modified potential \( g_2 \) involves an inverse-cube correction to the usual Newton result. Its time and orientation dependence means that existing experimental limits on spherically symmetric inverse-cube potentials cannot be immediately converted into constraints on the coefficients \( (k_{eff})_{JKLM} \), as typical experiments collect data over an extended period and disregard the possibility of orientation-dependent effects. Establishing definitive constraints on the coefficients \( (k_{eff})_{JKLM} \) for Lorentz violation will therefore require new experimental analyses.

Next, we illustrate some of the issues involved by considering briefly one particular example: the Eötvös limit on inverse-cube potentials obtained using a torsion pendulum \( [27,28] \).

The apparatus in this experiment consists of a test-mass bob in the shape of a disk with 42 cylindrical holes arranged in two concentric circles, suspended by a fiber through its center and normal to its plane. A similar disk serving as the source mass is placed below and rotated, thereby producing a periodic torque on the upper disk of strength and harmonic signature determined by deviations from the inverse square law. The experiment yielded a limit \( [28] \) on a spatially homogeneous and time-independent inverse-cube potential that in the present context can be interpreted as a constraint on an averaged coefficient given by \( \langle T (\hat{r}, T) \rangle < 1.3 \times 10^{-10} \text{ m}^2 \) at the 68% confidence level. The averaging involves both spatial and time smearing, which cannot be performed exactly without careful modeling of the apparatus and incorporating the time stamps for the data. Nonetheless, a crude estimate for the type of constraint that would emerge from a detailed reanalysis can be obtained by modeling the apparatus using a numerical simulation involving 21 point masses on a ring above another 21 point masses on a second ring rotating at fixed frequency. Using the transformation \( [10] \) for colatitude \( \chi \approx 42^\circ \) and averaging the results over a sidereal day reveals that in this simple simulation only six independent coefficients control the averaged Lorentz-violating torque, and they appear in the combination

\[
\langle T_{\text{simulation}} \rangle \equiv (k_{eff})_{XXZZ} + (k_{eff})_{YYZZ} + 0.4(k_{eff})_{XXXX} + 0.4(k_{eff})_{YYYY} + 0.8(k_{eff})_{XXYY} + 0.3(k_{eff})_{ZZZZ}. \quad (13)
\]

As expected for an averaging analysis, the torque is found to mimic closely that obtained using a spherically symmetric inverse-cube potential. Using Eqs. \( 9 \) and \( 11 \) together with the above experimental constraint on \( \langle T (\hat{r}, T) \rangle \), we can deduce the crude constraint \( |\langle T_{\text{simulation}} \rangle| \lesssim 10^{-11} \text{ m}^2 \). Although only an approximation to an exact analysis, this procedure does give a feel for the sensitivity to Lorentz violation currently attainable in tests of short-range gravity.

Given the novel features of short-range tests of local Lorentz violation in gravity and the wide variety of experiments in the literature, it is useful to identify a measure serving as a rapid gauge of the reach of a given experiment. As seen above, a definitive answer to this question requires careful simulation of the experiment, but a rough estimate can be obtained by taking advantage of the common practice for experiments testing short-range gravity to report results in terms of two parameters \( \alpha, \lambda \) appearing in a potential modified by a Yukawa-like term, \( U_{\text{Yukawa}} = G_N M (1 + \alpha e^{-\lambda/r})/r \). Comparing this Yukawa form with the potential \( g_2 \) indicates that experiments attaining sensitivities to \( \alpha \) and \( \lambda \) at distances \( r \approx \lambda \) can be expected to have sensitivities to Lorentz violation of order \( \langle T (\hat{r}, T) \rangle \approx \alpha \lambda^2 / e \) and hence using Eq. \( 9 \) a coefficient reach of order

\[
|\langle T_{\text{eff}} \rangle_{JKLM} | \approx \alpha \lambda^2 / 10. \quad (14)
\]

Note, however, that sensitivity to the perturbative Lorentz violation considered here implies that the experiment must be able to detect usual Newton gravity, which is the case for only a subset of experiments reported in the literature. Note also that different experiments are typically sensitive to distinct linear combinations of \( (k_{eff})_{JKLM} \).

Within this perspective, the most interesting short-range experiments are those at small \( \lambda \) that are sensitive to the usual Newton force. For example, the Eötvös experiment described above achieves sensitivity of order \( \alpha \approx 10^{-2} \) at \( \lambda \approx 10^{-4} \text{ m} \), which suggests a reach for Lorentz violation of order \( |\langle T_{\text{eff}} \rangle_{JKLM} | \approx 10^{-11} \text{ m}^2 \), in agreement with the estimate from the simulation \( 13 \).

As another example, the Wuhan experiment \( [26] \) attains \( \alpha \approx 10^{-3} \) at \( \lambda \approx 10^{-3} \text{ m} \), for which Eq. \( 14 \) gives the estimate \( |\langle T_{\text{eff}} \rangle_{JKLM} | \approx 10^{-10} \text{ m}^2 \). Similarly, the early Irvine experiment \( [30] \) achieved \( \alpha \approx 3 \times 10^{-3} \) at \( \lambda \approx 10^{-2} \text{ m} \), yielding an approximate reach of order \( |\langle T_{\text{eff}} \rangle_{JKLM} | \approx 3 \times 10^{-9} \text{ m}^2 \). In contrast, the Indiana experiment \( [25] \) sits on the cusp of the perturbative limit, achieving \( \alpha \approx 1 \) at \( \lambda \approx 10^{-4} \text{ m} \) and hence having an estimated sensitivity of order \( |\langle T_{\text{eff}} \rangle_{JKLM} | \approx 10^{-9} \text{ m}^2 \).

In some gravity theories with violations of Lorentz invariance, the predicted effects can be comparatively large while escaping detection to date \( [31] \). The above estimated sensitivities suggest terms in the pure-gravity sector with \( d > 4 \) are interesting candidates for such counter-shaded effects because the Planck length \( \approx 10^{-35} \text{ m} \).
lies far below the range accessible to existing laboratory experiments on gravity. In any case, short-range tests of gravity offer an excellent opportunity to search for local Lorentz violation involving operators with \( d > 4 \), thereby establishing the Einstein equivalence principle for the pure-gravity sector on a complete and firm experimental footing.

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[16] Note that \( u \) can be observable beyond the post-newtonian limit. See, for example, S.M. Carroll and E.A. Lim, Phys. Rev. D 70, 123525 (2004).