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On a Guiding of Whistler-Mode Waves by Density Gradients

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Key Points:

- Localized packages of VLF whistler-mode waves are frequently observed by satellites in the vicinity of density gradients.
- We model the guiding of these waves by the density gradients using equations of electron magnetohydrodynamics.
- We show that the whistler-mode wave can be guided by plasma gradients with a size less than the characteristic transverse size of the wave.

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Abstract

Observations from satellites demonstrate that in the magnetosphere, VLF whistler-mode waves are frequently detected in the narrow transition regions, where the plasma density changes its magnitude over a short distance across the ambient magnetic field. These observations suggest that the small-scale, isolated density gradients can guide the VLF whistler-mode waves along the field. We investigate the guiding of the whistler-mode waves by the transverse density gradients with a size much less than the characteristic perpendicular size of the wave. We found analytical solutions describing these waves in the plasma with a sharp density discontinuity between two homogeneous regions, and confirm with time-dependent, two-dimensional simulations that these waves are indeed guided by the discontinuity. Simulations also reveal that the parameters of the guided waves (the frequency and parallel wavelength) relate to the parameters of the plasma.

1 Introduction

Observations by NASA Van Allen Probes satellites (also known as Radiation Belt Substorm Probes or RBSP) reveal that in the equatorial magnetosphere small-scale, localized packages of VLF waves frequently correlate with inhomogeneities of the background plasma density. These inhomogeneities may have different shapes: They can be formed by the density depletion, enhancement, single density gradient (or a transition layer between two homogeneous regions), and density “shelf.” But their size and location always correlate with the size and location of the wave packages [Ke *et al.*, 2021; Chen *et al.*, 2021a; Streltsov, 2021a,b].

Figure 1a shows an example of the observations showing small-scale packages of VLF waves and density structures. It shows the plasma density and the power spectral density (PSD) of the electric field measured by the RBSP-B satellite on 2016-02-27 from 18:26 to 18:45 UT. During this time interval, the satellite was in the equatorial plane (MLat $\approx -6.3^\circ$) at the radial distance of $\approx 4.2 R_E$.

The Van Allen Probes satellites measure the electric field, magnetic field, and plasma density by the Electric Field and Wave (EFW) instrument [Wygant *et al.*, 2013] and the Electric and Magnetic Field Instrument Suite and Integrated Science (EMFISIS) instrument [Kletzing *et al.*, 2013]. The measurements are taken in the (u, v, w) satellite coordinate system, where the w axis is co-aligned with the satellite spinning axis. The VLF

44 wave activity is clearly seen in all three components of the electric field, and in this paper,
45 we use the E_w only to illustrate the main features of the observed waves.

46 Due to the high parallel mobility of the electrons, it is reasonable to assume that
47 the observed density inhomogeneities extend along the ambient magnetic field forming
48 channels or ducts. The channel where the density inside is greater than outside is called
49 a high-density duct, and the channel formed by the density depletion is called a low-density
50 duct. These ducts are frequently observed in space and laboratory plasmas, and they
51 are studied in depth. Comprehensive reviews of theory and observations related to the
52 VLF waves inside high-density and low-density ducts with a relatively symmetric, Gaussian-
53 like density profile across the magnetic field are given by *Helliwell* [1965]; *Sazhin* [1993];
54 *Kondrat'ev et al.* [1999].

55 Figure 1a demonstrates that whistler-mode waves can be guided/ducted in the mag-
56 netosphere by the field-aligned density homogeneities with every possible structure. As
57 a result, it may be hard, or even impossible to identify exactly the region where the waves
58 registered by the satellite are generated. This study focuses on the whistler-mode waves
59 guided by a single transverse density gradient. Three examples of localized packages of
60 these waves observed by the RBSP-B satellite on a narrow density gradient are shown
61 in Figures 1b, 1c, and 1d.

- 62 • Figure 1b shows the event occurring at 18:37:26 UT. At this time, $B_0 = 437.8$ nT,
63 $n_0 = 631.7$ cm⁻³, the electron cyclotron frequency $\omega_{ce} = 7.71 \times 10^4$ rad/s, the
64 electron plasma frequency $\omega_{pe} = 1.42 \times 10^6$ rad/s, and the lower-hybrid frequency
65 $\omega_{LH} = 1.80 \times 10^3$ rad/s.
- 66 • Figure 1c shows three events. The first event occurs at 18:40:49 UT. At this time,
67 $B_0 = 416.5$ nT, $n_0 = 576.0$ cm⁻³, $\omega_{ce} = 7.44 \times 10^4$ rad/s, $\omega_{pe} = 1.35 \times 10^6$
68 rad/s, and $\omega_{LH} = 1.71 \times 10^3$ rad/s. The second event occurs at 18:41:02 UT.
69 At this moment, $B_0 = 415.5$ nT, $n_0 = 576.0$ cm⁻³, $\omega_{ce} = 7.31 \times 10^4$ rad/s, $\omega_{pe} =$
70 1.35×10^6 rad/s, and $\omega_{LH} = 1.71 \times 10^3$ rad/s. And the third event occurs at
71 18:41:26 UT. At this moment, $B_0 = 412.3$ nT, $n_0 = 576.0$ cm⁻³, $\omega_{ce} = 7.26 \times$
72 10^4 rad/s, $\omega_{pe} = 1.35 \times 10^6$ rad/s, and $\omega_{LH} = 1.69 \times 10^3$ rad/s.
- 73 • Figure 1d shows two events. One event occurs at 18:43:52 UT. At this time, B_0
74 $= 398.3$ nT, $n_0 = 523.0$ cm⁻³, $\omega_{ce} = 7.01 \times 10^4$ rad/s, $\omega_{pe} = 1.29 \times 10^6$ rad/s,
75 and $\omega_{LH} = 1.64 \times 10^3$ rad/s. The second event occurs at 18:44:25 UT. At this

76 time, $B_0 = 395.4$ nT, $n_0 = 523.0$ cm⁻³, $\omega_{ce} = 6.96 \times 10^4$ rad/s, $\omega_{pe} = 1.29 \times$
 77 10^6 rad/s, and $\omega_{LH} = 1.62 \times 10^3$ rad/s.

78 Studies by *Inan and Bell* [1977]; *Woodroffe and Streltsov* [2013]; *Streltsov* [2021a];
 79 *Chen et al.* [2021b] demonstrate that whistler-mode waves can be guided along the mag-
 80 netic field by the combined effect of transverse gradients in the density and the ambi-
 81 ent magnetic field. This mechanism works in relatively large-scale ducts where the mag-
 82 nitude of the ambient magnetic field changes substantially over the duct width. These
 83 are so-called “wide” ducts, which are not considered in this study.

84 When the density gradient occurs over a relatively small distance across the field,
 85 like in the events illustrated in Figures 1b - 1d, the variation in the magnetic field can
 86 be neglected, and the mechanism describing “wide” ducts is not applicable. *Streltsov et al.*
 87 [2006] consider the guiding of whistler-mode waves by the density gradient with a size
 88 small enough so that the inhomogeneity of the background magnetic field can be ignored,
 89 but larger than the perpendicular wavelength of the guided wave. That ducting mech-
 90 anism stops working when the perpendicular wavelength is comparable or less than the
 91 characteristic size of the density inhomogeneity. The goal of this study is to investigate
 92 the guiding of whistler-mode waves by the density inhomogeneities with transverse sizes
 93 less than the characteristic perpendicular size of the wave. This goal will be achieved via
 94 analytical and numerical investigation of the electron-magnetohydrodynamics (EMHD)
 95 model describing VLF whistler-mode waves in the inhomogeneous magnetospheric plasma.

96 2 Model

In all these events shown in Figures 1b - 1d, PSD of E_w reaches its maximum at
 the frequency $f = 450$ Hz corresponding to $\omega = 2.83 \times 10^3$ rad/s. And in all events
 ω , ω_{ce} , ω_{pe} , and ω_{LH} satisfy the conditions

$$\omega_{LH} < \omega < \omega_{ce} \ll \omega_{pe}. \quad (1)$$

97 *Sazhin* [1993] demonstrates that when the conditions (1) are satisfied, the dynamics of
 98 VLF whistler-mode waves can be described with a so-called quasi-longitudinal electron-
 99 MHD model. This model assumes that ions are immobile (because of $\omega_{LH} < \omega$) and
 100 electrons can be treated as a cold fluid [*Helliwell*, 1965; *Gordeev et al.*, 1994]. Because
 101 ions are immobile and the plasma is quasi-neutral, the density continuity equation for

102 electrons is omitted, and the model consists of the electron momentum equation and the
103 Maxwell equations only.

104 The displacement current is omitted in the Ampere's law and this is the essence
105 of the "quasi-longitudinal" approximation. Initially it was developed for the waves prop-
106 agating under a small angle to the ambient magnetic field. Later, it was shown in sev-
107 eral monographs (e.g. [Helliwell, 1965; Sazhin, 1993]) that it is valid for almost any an-
108 gles of wave propagation if the conditions (1) are satisfied.

109 The EMHD model, considered in this paper, consists of three vector equations for
110 \mathbf{E} , \mathbf{B} , and the electron velocity, \mathbf{v} [Streltsov, 2021a]:

$$\frac{m_e}{\mu_0 n e^2} \nabla \times \nabla \times \mathbf{E} + \mathbf{E} = -\frac{m_e}{e} (\mathbf{v} \cdot \nabla) \mathbf{v} - \mathbf{v} \times \mathbf{B} \quad (2)$$

$$\frac{\partial \mathbf{v}}{\partial t} = \frac{1}{\mu_0 n e} \nabla \times \nabla \times \mathbf{E} \quad (3)$$

$$\frac{\partial \mathbf{B}}{\partial t} = -\nabla \times \mathbf{E} \quad (4)$$

In the homogeneous media, the linearized equations (2)-(4) give the dispersion relation

$$k^2 - \frac{\omega_{ce}}{\omega} k_{\parallel} k + \frac{\omega_{pe}^2}{c^2} = 0. \quad (5)$$

111 Here, k_{\perp} and k_{\parallel} are magnitudes of components of the wave-vector \mathbf{k} in the directions
112 perpendicular and parallel to the background magnetic field \mathbf{B}_0 .

Relation (6) can be used to express k_{\perp} as

$$k_{\perp 1,2} = k_{\parallel} \left[\frac{\omega_{ce}^2}{4\omega^2} \left(1 \mp \sqrt{1 - \frac{n}{n_2}} \right)^2 - 1 \right]^{1/2}, \quad (6)$$

where

$$n_2 = k_{\parallel}^2 \frac{m_e}{\mu_0 e^2} \left(\frac{\omega_{ce}}{2\omega} \right)^2. \quad (7)$$

113 Expression (7) shows that for some particular values of ω_{ce} , ω , and k_{\parallel} there is no real
114 k_{\perp} in the plasma with $n > n_2$. In other words, the VLF wave with some particular ω
115 and k_{\parallel} cannot propagate in the direction perpendicular to \mathbf{B}_0 , if $n > n_2$.

116 Let us find out how such waves look in the plasma with a small-scale density gra-
117 dient (discontinuity) in the direction perpendicular to \mathbf{B}_0 . We will study this problem
118 in Cartesian, orthogonal coordinate system (x, y, z) , where z directs along the ambient
119 magnetic field. The background magnetic field is assumed to be uniform. The plasma
120 density is uniform in the y and z directions and non-uniform in x . To simplify our anal-
121 ysis, we will consider this problem in two spatial dimensions, assuming that all $\partial/\partial y \equiv$

122 0. At the same time, each vector quantity considered here has all three vector compo-
123 nents.

We consider the small-amplitude waves only and restrict our analysis with a linearized set of equations (2)-(4). In the linear case, equations (2) and (3) decouple from the equation (4) and, after some algebra, they can be reduced to one equation for \mathbf{E} and $\dot{\mathbf{E}} = \partial\mathbf{E}/\partial t$:

$$\nabla \times \nabla \times \dot{\mathbf{E}} + \frac{\omega_{pe}^2}{c^2} \dot{\mathbf{E}} = -\omega_{ce} (\nabla \times \nabla \times \mathbf{E}) \times \hat{\mathbf{z}} \quad (8)$$

124 In the two-dimensional case, the vector equation (8) can be written as system of three
125 scalar equations for E_x , E_y , and E_z :

$$\partial_{xz} \dot{E}_z - \partial_{zz} \dot{E}_x + \frac{\omega_{pe}^2}{c^2} \dot{E}_x = \omega_{ce} (\partial_{xx} E_y + \partial_{zz} E_y) \quad (9)$$

$$-\partial_{xx} \dot{E}_y - \partial_{zz} \dot{E}_y + \frac{\omega_{pe}^2}{c^2} \dot{E}_y = \omega_{ce} (\partial_{xz} E_z - \partial_{zz} E_x) \quad (10)$$

$$\partial_{xz} \dot{E}_x - \partial_{xx} \dot{E}_z + \frac{\omega_{pe}^2}{c^2} \dot{E}_z = 0 \quad (11)$$

126 We are looking for the waves propagating in the z direction and localized in x . In gen-
127 eral form, the components of the electric field in such waves can be written as

$$E_x(x, z, t) = E_x(x) \sin(\phi), \quad E_y(x, z, t) = E_y(x) \cos(\phi), \quad E_z(x, z, t) = E_z(x) \cos(\phi), \quad (12)$$

128 where $\phi = k_z z - \omega t$. For these components, equations (9)-(11) can be rewritten as:

$$k_z \partial_x E_z - \left(k_z^2 + \frac{\omega_{pe}^2}{c^2} \right) E_x = \frac{\omega_{ce}}{\omega} (\partial_{xx} - k_z^2) E_y \quad (13)$$

$$\partial_{xx} E_y - \left(k_z^2 + \frac{\omega_{pe}^2}{c^2} \right) E_y = \frac{\omega_{ce}}{\omega} (k_z \partial_x E_z - k_z^2 E_x) \quad (14)$$

$$\partial_{xx} E_z - \frac{\omega_{pe}^2}{c^2} E_z - k_z \partial_x E_x = 0 \quad (15)$$

Equations (13) and (14) show that $E_x(x)$ can be found from $E_y(x)$ as

$$E_x(x) = \frac{\omega}{\omega_{ce}} \left[\frac{c^2}{\omega_{pe}^2} \left(\frac{\omega_{ce}^2}{\omega^2} - 1 \right) (k_z^2 - \partial_{xx}) - 1 \right] E_y(x). \quad (16)$$

129 After that, $E_z(x)$ can be found from $E_x(x)$ as a solution for equation (15). If $E_x(x)$, $E_y(x)$,
130 and $E_z(x)$ are determined, then equations (3) and (4) can be used to find $\mathbf{v}(x)$ and $\mathbf{B}(x)$.
131 Thus, \mathbf{E} , \mathbf{B} , and \mathbf{v} will be determined, if we determine (or specify) $E_y(x)$.

Let us consider a plasma with a sharp density discontinuity in the x direction: $n = n_{01}$ when $x < 0$, and $n = n_{02}$ when $x \geq 0$. We will consider the wave with such ω

and k_{\parallel} , that $n_2 < \min\{n_{01}, n_{02}\}$. In this case, relation (6) tells us that a localized solution for $E_y(x)$ can be found as a function

$$E_y(x) = \begin{cases} E_{y1} \sin(\kappa_1 x) e^{\gamma_1 x}, & \text{if } x < 0 \\ E_{y2} \sin(\kappa_2 x) e^{-\gamma_2 x}, & \text{if } x \geq 0 \end{cases} \quad (17)$$

Here, $\kappa_{1,2} = \Re(k_{\perp})$ and $\gamma_{1,2} = \Im(k_{\perp})$ in the plasma with the density n_{01} and n_{02} correspondingly. $E_y(x)$ defined by (15) is a continuous function. Equation (13) shows that $E_x(x)$ is also continuous if $\partial_{xx} E_y(x)$ is continuous. The last condition is satisfied if $E_{y2} = -(\kappa_1 \gamma_1 / \kappa_2 \gamma_2) E_{y1}$.

Figures 2a, 2b, and 2c show plots of $E_x(x)$, $E_y(x)$, $E_z(x)$ for the wave with $f = 450$ Hz and $\lambda_{\parallel} = 33.0$ km in the uniform magnetic field $B_0 = 437.8$ nT and plasma with a density discontinuity at $x = 0$: $n_{01} = 695.5$ cm⁻³ and $n_{02} = 200.0$ cm⁻³. These values of f , B_0 , and n_{01} are taken from the RBSP-B observations shown in Figure 1b. The parallel wavelength λ is chosen to make n_2 less than n_{01} and n_{02} . In this case $n_2 = 190.1$ cm⁻³, $\kappa_1 = 2.59$ rad/km ($\lambda_{\perp 1} = 2.42$ km), $\gamma_1 = 4.23$ rad/km, $\kappa_2 = 1.73$ rad/km ($\lambda_{\perp 2} = 2.43$ km), and $\gamma_2 = 0.59$ rad/km.

For comparison, Figures 2d, 2e, and 2f show $E_x(x)$, $E_y(x)$, $E_z(x)$ for the wave with $f = 450$ Hz and $\lambda_{\parallel} = 49.5$ km in the magnetic field $B_0 = 437.8$ nT and the plasma with $n_{01} = 695.5$ cm⁻³ and $n_{02} = 100.0$ cm⁻³. In this case, $n_2 = 84.5$ cm⁻³, $\kappa_1 = 1.73$ rad/km ($\lambda_{\perp 1} = 3.63$ km), $\gamma_1 = 4.65$ rad/km, $\kappa_2 = 1.73$ rad/km ($\lambda_{\perp 2} = 3.64$ km), and $\gamma_2 = 0.74$ rad/km.

To verify that these waves propagate along the ambient magnetic field in a form of a localized wave package, we run time-dependent, two-dimensional simulations of the entire model (2)-(4). The numerical algorithm used in this study is based on the finite-difference, time-domain (FDTD) implementation of equations (2)-(4) in a two-dimensional rectangular domain [Streltsov *et al.*, 2006]. The size of the domain in the z direction is l_z (from $-l_z/2$ to $l_z/2$), and the size in the x direction is l_x (from $-l_x/2$ to $l_x/2$). The boundary conditions in the z -direction are periodic, and l_z is set equal to one parallel wavelength of the simulated wave, λ_{\parallel} . The values of $(\mathbf{E}, \mathbf{B}, \text{ and } \mathbf{v})$ are set equal to zero on the boundaries in the x direction (the Dirichlet boundary conditions). Simulations start from the initial conditions for \mathbf{E} , \mathbf{B} , and \mathbf{v} .

3 Numerical Results

Figure 3 shows results from the simulations of the whistler-mode waves with the parallel wavelength $\lambda_{\parallel} = 33.0$ km propagating in the uniform magnetic field with a magnitude $B_0 = 437.8$ nT. Initial conditions for the simulations were calculated from equations (17), (16), and (15) for the wave with the frequency $f = 450$ Hz. For these values of f , B_0 , and λ_{\parallel} , the critical value of the plasma density defined by (7) is $n_2 = 190.1$ cm⁻³.

Figure 3a shows the dynamics of $E_y(x, z = 0, t)$ in the simulation when the plasma density has a discontinuity at $x = 0$: $n = 695.5$ cm⁻³ when $x < 0$, and $n = 200.0$ cm⁻³ when $x \geq 0$. The frequency of the wave observed in this simulation is 447.4 Hz (which is close to the assumed frequency of 450 Hz). For $f = 447.4$ Hz, $\lambda_{\parallel} = 33.0$ km, and $B_0 = 437.8$ nT relation (7) provides $n_2 = 192.3$ cm⁻³. The minimal density in the entire domain is larger than n_2 , and hence, the wave is evanescent in the x direction. Relation (6) gives $\kappa_1 = 2.59$ rad/km, $\gamma_1 = 4.23$ rad/km, $\kappa_2 = 2.59$ rad/km, and $\gamma_2 = 0.59$ rad/km. Figures 2d - 2f show profiles of $E_x(x)$, $E_y(x)$, and $E_z(x)$ used in the simulations as the initial conditions. The simulation shows the wave dynamics during 0.1111 s corresponding to ≈ 50 periods of the wave with $f = 450$ Hz. Figure 3a' shows the density profile across \mathbf{B}_0 and the plot of $E_y(x, z = 0)$ in time $t = 0.0556$ s (near 25 wave period), and Figure 3a'' shows the dynamics of E_y at the center of the computational domain, $E_y(x = 0, z = 0, t)$. The main conclusion from the plots shown in Figures 3a, 3a', and 3a'' is that the VLF whistler-mode wave can indeed be guided along the ambient magnetic field by the discontinuity of the plasma density.

Figure 3b shows the dynamics of $E_y(x, z = 0, t)$ in the simulation when the plasma density has a finite ($\Delta x = 600$ m or $\approx (1/4) 2\pi/\kappa_2$) transition layer between two uniform regions. Within this layer, the magnitude of the plasma density changes linearly from 695.5 cm⁻³ to 200.0 cm⁻³. The simulation starts from the same initial conditions as in the previously considered case of the plasma with a density discontinuity. These initial conditions are not the exact solution of the wave propagating in the plasma with a finite transition layer, and the numerical algorithm produces waves with a different frequency.

This happens because ω is connected to k_{\parallel} and k_{\perp} via the wave dispersion relation, and the algorithm controls only k_{\parallel} via the boundary conditions in the z direction. Therefore, if the initial conditions do not match exactly the perpendicular structure of

190 the wave propagating along the ambient magnetic field in the plasma with a finite tran-
 191 sition layer, then the frequency of the obtained waves should be different from the fre-
 192 quency of the waves used to calculate the initial conditions.

193 In this case, simulation produces the waves with the frequency 436.7 Hz. For this
 194 frequency and $\lambda_{\parallel} = 33.0$ km, relation (7) gives the critical value of the density $n_2 = 201.8$
 195 cm^{-3} . This value is larger than n_{02} , and hence, the wave with such f and λ_{\parallel} can prop-
 196 agate across the ambient magnetic field in the $x \geq 0$ part of the domain, where $n = 200$
 197 cm^{-3} . The dynamic of VLF whistler-mode waves shown in Figures 3b - 3b” confirm this
 198 scenario.

199 Figure 3c shows the dynamics of $E_y(x, z = 0, t)$ in the simulation when the plasma
 200 density inside the entire domain is uniform, $n = 200 \text{ cm}^{-3}$. The initial condition for this
 201 simulation again is calculated from the equations (17), (16), and (15) for the wave with
 202 a frequency 450 Hz localized in the x direction. Because these initial conditions do not
 203 represent the exact solution of the problem in the homogeneous plasma, the code pro-
 204 duces the waves with a different frequency. Namely, in this case, the frequency of the ob-
 205 tained waves is 438.6 Hz. For this frequency and $\lambda_{\parallel} = 33.0$ km, relation (7) gives the crit-
 206 ical value of the density $n_2 = 200.1 \text{ cm}^{-3}$. This value is very close (it is larger by 0.05%)
 207 to the magnitude of the plasma density in the domain, and the resulting wave is very
 208 close to a so-called Gendrin’s mode [Gendrin, 1961], which is a special case of VLF waves.
 209 The main feature of the Gendrin waves is that they have a real $k_{\perp} = k_{\parallel}((\omega_{ce}/2\omega)^2 -$
 210 $1)^{1/2}$ (it is obtained from (6) when $n = n_2$), but the perpendicular component of their
 211 group velocity is equal to zero. Therefore, these waves carry their energy along the am-
 212 bient magnetic field. The fact that Figure 3c shows some “spreading” of these waves across
 213 the magnetic field is due to the diffraction of the waves propagating from a localized source.

214 Now, let us consider the propagation of waves with $\lambda_{\parallel} = 49.5$ km. Figure 4 shows
 215 results from the simulations of the whistler-mode wave with $\lambda_{\parallel} = 49.5$ km in the uni-
 216 form magnetic field $B_0 = 437.8$ nT. Figure 4a shows the dynamics of $E_y(x, z = 0, t)$
 217 in the simulation where the plasma density has a narrow ($\Delta x = 100$ m) transition layer
 218 between the two regions with $n_{01} = 695.5 \text{ cm}^{-3}$ and $n_{02} = 100.0 \text{ cm}^{-3}$. In the transi-
 219 tion layer, the density changes linearly from 695.5 cm^{-3} to 100.0 cm^{-3} over the distance
 220 of 100 m, which is much less than the characteristic perpendicular size of the waves in
 221 both media ($2\pi/\kappa_{1,2} \approx 3.6$ km).

222 The initial conditions for the simulations were calculated using equations (17), (16)
 223 and (15) for the wave with $f = 450$ Hz in the plasma with a density discontinuity at $x =$
 224 0 . The profiles of the initial $E_x(x)$, $E_y(x)$, and $E_z(x)$ used in this simulation are shown
 225 in Figures 2d - 2f. However, because these initial conditions are not the exact solutions
 226 of the problem with a finite transition region, the frequency of the waves obtained in the
 227 simulations is not 450 Hz, but 444.4 Hz. For that frequency, B_0 , and λ_{\parallel} , relation (7) gives
 228 the critical value of the density $n_2 = 86.6 \text{ cm}^{-3}$. This value is less than the minimal den-
 229 sity magnitudes in the entire domain. Therefore, the wave with these parameters will
 230 not propagate perpendicular to the background magnetic field, and, hence, it will be guided
 231 along the ambient magnetic field by this density gradient. Results from the simulation
 232 illustrated in Figures 4a - 4a" confirm this prediction.

233 Figure 4b shows the dynamics of $E_y(x, z = 0, t)$ in the simulation where the plasma
 234 density has a wider ($\Delta x = 1400$ m) transition layer between the two regions with n_{01}
 235 $= 695.5 \text{ cm}^{-3}$ and $n_{02} = 100.0 \text{ cm}^{-3}$. In this layer, the density changes linearly from 695.5
 236 cm^{-3} to 100.0 cm^{-3} . The initial conditions for this simulation were calculated using equa-
 237 tions (17), (16) and (15) for the wave with the frequency of 450 Hz in the plasma with
 238 a density discontinuity at $x = 0$ (see Figures 2d - 2f). However, because these initial
 239 conditions are not the exact solutions of the problem with the transition layer, and now
 240 the size of the layer is $\approx (1/3)2\pi/\kappa_{1,2}$, the frequency of the waves obtained in the sim-
 241 ulations is not 450 Hz, but 395.3 Hz. For that frequency, B_0 , and λ_{\parallel} , relation (7) gives
 242 the critical value of the density $n_2 = 109.45 \text{ cm}^{-3}$. This value is larger than the density
 243 magnitude in the region on the right of the transition layer (100 cm^{-3}), and hence, the
 244 perpendicular wave number in that region is real, and the wave with these f and λ_{\parallel} can
 245 propagate across the ambient magnetic field in that region. Results from the simulation
 246 shown in Figure 4b - 4b" confirm these speculations.

247 Finally, Figure 4c shows the dynamics of $E_y(x, z = 0, t)$ in the simulations with
 248 the uniform plasma density in the entire domain, $n = 100 \text{ cm}^{-3}$. The initial conditions
 249 for this simulation were also calculated using equations (17), (16), and (15) for the wave
 250 with the frequency of 450 Hz. Because these initial conditions are not the exact solutions
 251 of the problem in the homogeneous media, the frequency of the waves obtained in the
 252 simulations is not 450 Hz, but 414.1 Hz. For this frequency, B_0 , and λ_{\parallel} , relation (7) gives
 253 the critical value of the density $n_2 = 99.8 \text{ cm}^{-3}$, whose value is almost equal to the den-
 254 sity magnitude in the domain, and this wave is close to the Gendrin mode, considered

255 in the case illustrated in Figure 3c. This wave has a real perpendicular wavenumber but
256 its perpendicular component of the group velocity is equal to zero. So the wave is sup-
257 posed to carry its electromagnetic power mostly along the ambient magnetic field, and
258 “spreading” of the wave in the perpendicular direction is mostly attributed to the diffrac-
259 tion of the wave emitted by the finite source.

260 4 Discussion and Conclusions

261 The main goal of this study is to demonstrate that a single localized transverse in-
262 homogeneity of the background plasma can guide a whistler-mode wave along the am-
263 bient magnetic field. It is motivated by the observations from the Van Allen Probes satel-
264 lites revealing a large number of localized packages of VLF waves inside/near density gra-
265 dients in the equatorial magnetosphere.

266 A similar task was undertaken by *Streltsov et al.* [2006]. These authors consider
267 the guiding of the whittler-mode waves by the density gradient with a characteristic size
268 larger than the perpendicular wavelength of the guided wave. They interpreted such a
269 “broad” gradient as a combination of low-density and high-density ducts and show that
270 it can guide waves with real k_{\parallel} and k_{\perp} . They also showed that this ducting mechanism
271 stops working when the perpendicular wavelength is comparable or less than the char-
272 acteristic size of the density inhomogeneity.

273 In this paper, we consider the opposite limit, namely, the situation when the whistler-
274 mode waves are guided along the magnetic field by transverse density gradients with a
275 size much less than the characteristic perpendicular size of the wave. We are looking for
276 the waves with a complex perpendicular wavenumber, which cannot propagate in the per-
277 pendicular direction. In a more general sense, we are looking for the solutions of this prob-
278 lem in the form of so-called surface waves, which are the waves propagating along the
279 narrow boundary separating two medias with different parameters.

280 Mathematically, the perpendicular structure of these waves is described as a so-
281 lution of equations (13) - (15) localized in the vicinity of the density gradient. We con-
282 sider the simplest form of such solutions, appearing in the case of the sharp discontinu-
283 ity in plasma density. We found an analytical solution describing corresponding surface
284 waves, and we confirm with the numerical simulations of the entire EMHD model (2)-
285 (4) that these waves are indeed guided by the discontinuity.

286 Simulations reveal that the parameters of the guided waves (frequency and the par-
287 allel wavelength) relate to the parameters of the plasma. And, similar to the cases of the
288 waves guided by the high-density ducts (e.g., *Streltsov* [2021a]), the waves with some par-
289 ticular frequency and a parallel wavelength can be guided by the same gradient with less
290 “leakage” than the others.

291 Simulations show that the quality of the wave trapping by a single gradient decreases
292 with the increasing of the width of the transition region between the homogeneous parts.
293 But we think that this happens because the initial conditions for simulations were set
294 assuming that the width of this layer is equal to zero. Therefore, results of simulations
295 can be quite different if these initial conditions are chosen as an appropriate solution of
296 equations (13) - (15) for the case of a finite transition layer. We leave this problem for
297 future studies.

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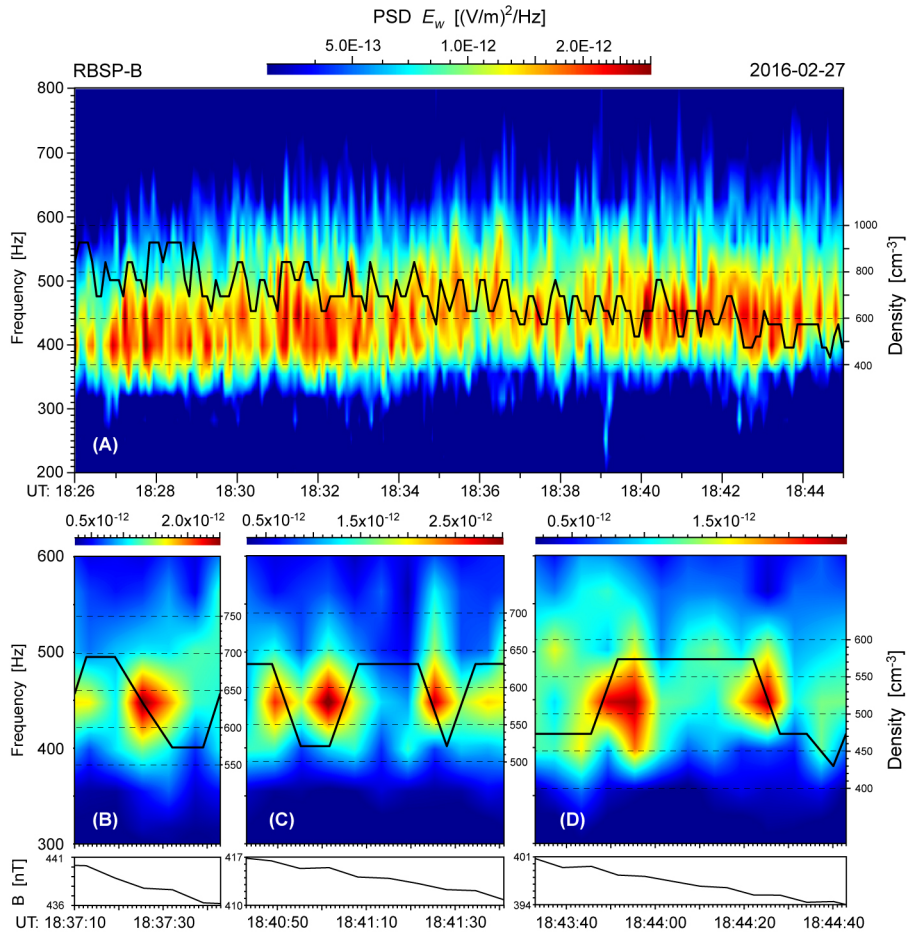
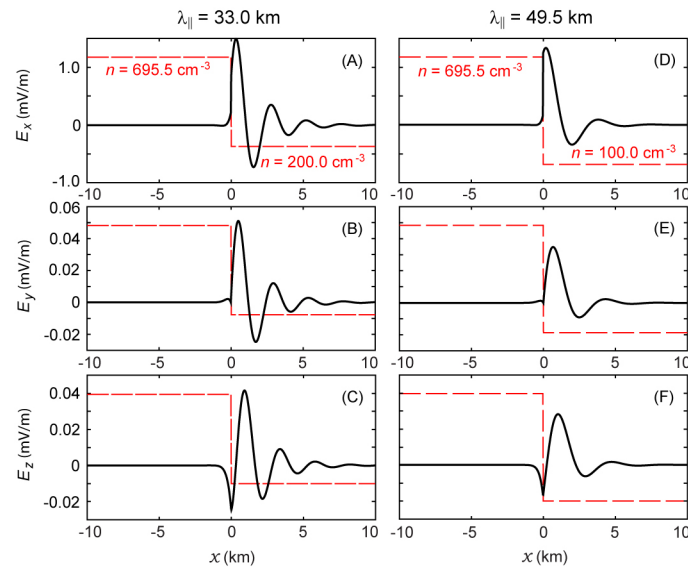
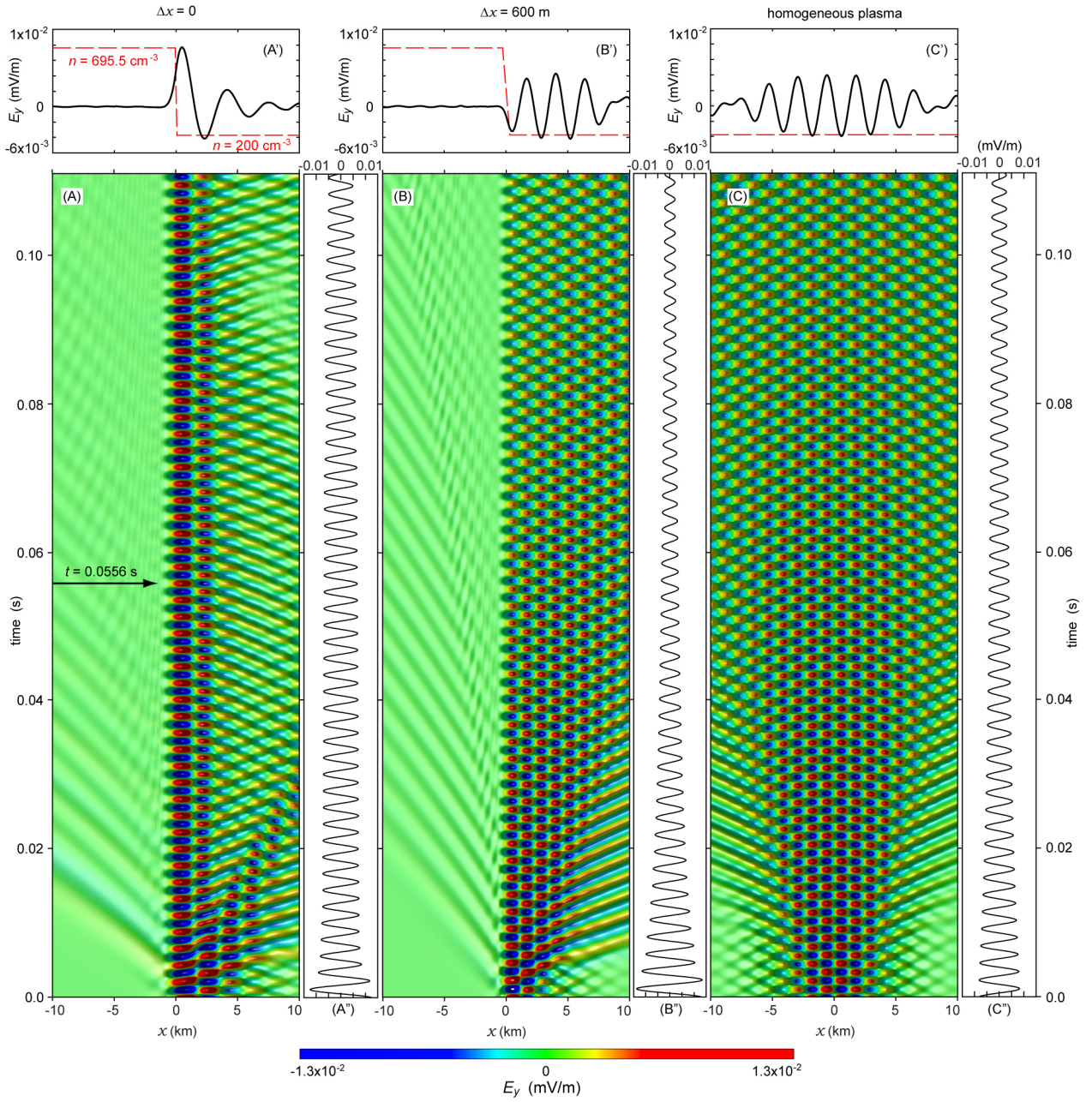


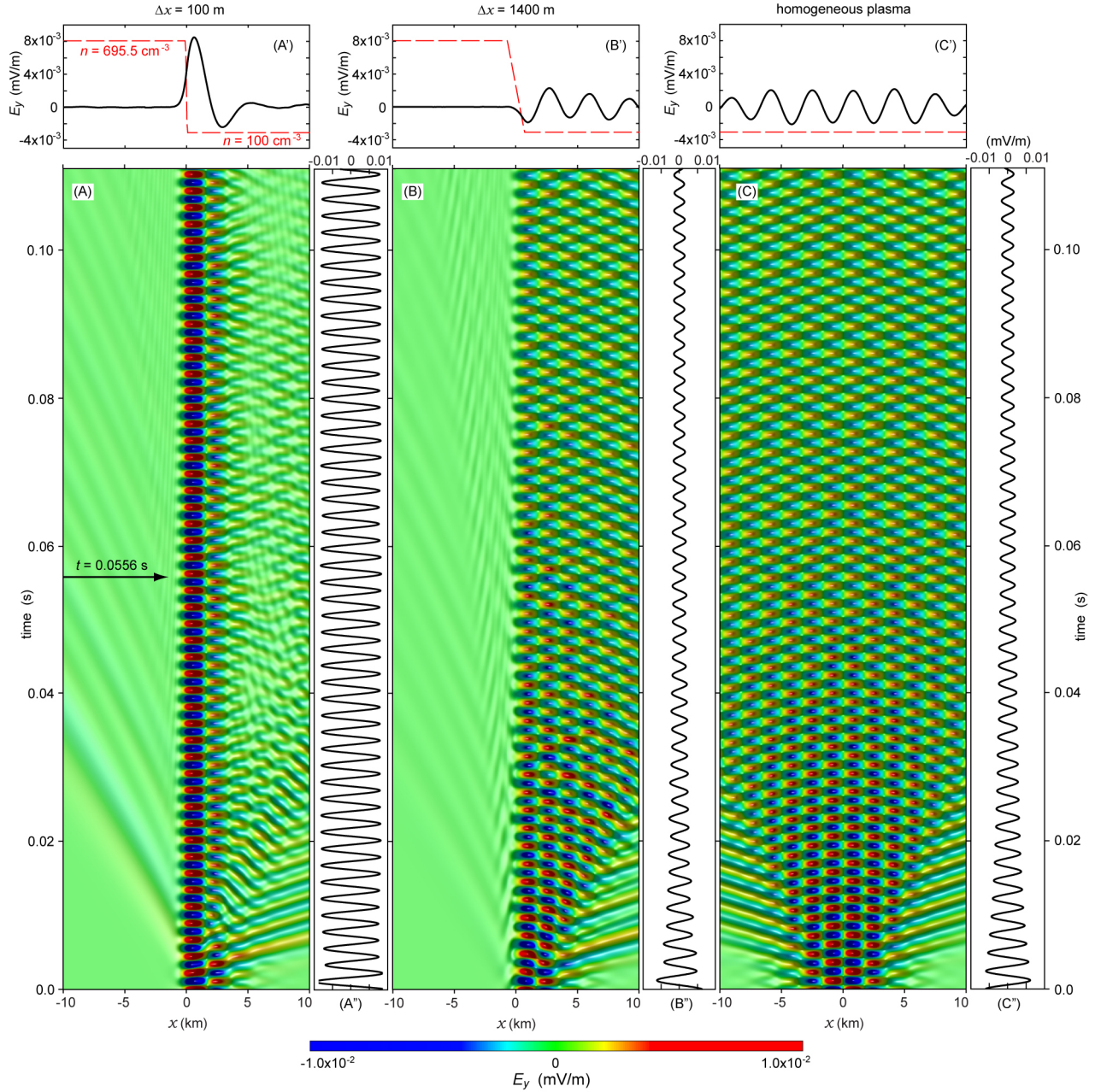
Figure 1. (A) Plasma density (black line) and the power spectral density (PSD) of E_w electric field observed by the RBSP-B satellite in the equatorial magnetosphere on 2016-02-27. Panels (B), (C), and (D) show four events of whistler-mode waves located on the isolated density gradients.



346 **Figure 2.** Panels (A), (B), and (C) show $E_x(x)$, $E_y(x)$, and $E_z(x)$ obtained from equations
 347 (15), (16), and (17) for $f = 450$ Hz, $\lambda_{\parallel} = 33$ km, $B_0 = 437.8$ nT, and the density profile shown
 348 with the red dashed line. Panels (D), (E), and (F) show $E_x(x)$, $E_y(x)$, and $E_z(x)$ for $f = 450$
 349 Hz, $\lambda_{\parallel} = 49.5$ km, $B_0 = 437.8$ nT, and the density profile shown with the red dashed line.



350 **Figure 3.** (A) Dynamics of $E_y(x, z = 0, t)$ in the simulation with $\lambda_{\parallel} = 33.0$ km, $B_0 = 437.8$
 351 nT, and the density discontinuity at $x = 0$. (A') Density profile across \mathbf{B}_0 and the plot of $E_y(x,$
 352 $z = 0)$ in time $t = 0.0556$ s. (A'') Dynamics of E_y at the center of the computational domain, E_y
 353 $(x = 0, z = 0, t)$. Panels (B), (B'), and (B'') show results from the simulations with the same ini-
 354 tial conditions as in the case (A) in the plasma with finite transition layer ($\Delta x = 600$ m) between
 355 two homogeneous regions. Panels (C), (C'), and (C'') show results from the simulations with the
 356 same initial conditions as in the case (A) in the homogeneous plasma with density $n = 200$ cm $^{-3}$.



357 **Figure 4.** (A) Dynamics of $E_y(x, z = 0, t)$ in the simulation with $\lambda_{\parallel} = 49.5$ km, $B_0 = 437.8$
 358 nT, and a narrow transition layer ($\Delta x = 100$ m) between two homogeneous density regions. (A')
 359 Density profile across \mathbf{B}_0 and the plot of $E_y(x, z = 0)$ in time $t = 0.0556$ s. (A'') Dynamics
 360 of E_y at the center of the computational domain, $E_y(x = 0, z = 0, t)$. Panels (B), (B'), and
 361 (B'') show results from the simulations with the same initial conditions as in the case (A) in the
 362 plasma with a broader transition layer ($\Delta x = 1400$ m) between two homogeneous regions. Panels
 363 (C), (C'), and (C'') show results from the simulations with the same initial conditions as in the
 364 case (A) in the homogeneous plasma with density $n = 100$ cm $^{-3}$.