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Alan Z. Liu Embry-Riddle Aeronautical University, liuz2@erau.edu

Bing Cao University of California - San Diego

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Climatology of High-frequency Gravity Waves Observed by an Airglow Imager at Andes Lidar Observatory

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Key Points:

Bing Cao¹, Alan Z. Liu²

5	¹ Institute of Geophysics and Planetary Physics, Scripps Institution of Oceanography, University of
6	California San Diego, La Jolla, CA, USA
7	$^2\mathrm{Center}$ for Space and Atmospheric Research and Department of Physical Sciences, Embry-Riddle
8	Aeronautical University, Daytona Beach, FL, USA

A large number of high-frequency gravity waves were observed near mesopause region over the Andes by an airglow imager.
Preferential propagation direction of the waves shows seasonal dependence, poleward in austral summer and equator-ward in austral winter.
Convective activities are a likely wave source, playing an important role in shaping the observed wave directionality.

Corresponding author: Bing Cao, bic020@ucsd.edu

16 Abstract

The long-term climatology of high-frequency quasi-monochromatic gravity waves is pre-17 sented using multi-year airglow images observed at Andes Lidar Observatory (ALO, 30.3°S, 18 70.7°W) in northern Chile. A large number of high-frequency gravity waves were retrieved 19 from OH airglow images. The distribution of primary wave parameters including hor-20 izontal wavelength, vertical wavelength, intrinsic wave speed, and intrinsic wave period 21 are obtained and are in the ranges of 20-30 km, 15-25 km, 50-100 ms⁻¹, and 5-10 min, 22 respectively. The waves tend to propagate against the local background winds and show 23 clear seasonal variations. In austral winter (May–Aug), the observed wave occurrence 24 frequency is higher and preferential wave propagation is equator-ward. In austral sum-25 mer (Nov-Feb), the wave occurrence frequency is lower and the waves mostly propagate 26 pole-ward. Critical-layer filtering plays an important role in determining the preferen-27 tial propagation direction in certain months, especially for waves with a small observed 28 phase speed (less than typical background winds). The wave occurrence and preferen-29 tial propagation direction are shown to be related to the locations of convection activ-30 ities nearby and their relative distance to ALO. However, other possible wave sources 31 such as secondary wave generation and possible ducted propagation cannot be ruled out. 32 The estimated momentum fluxes have typical values of a few to $10 \text{ m}^2 \text{s}^{-2}$ and show sea-33 sonal variations with a clear anti-correlation with local background wind directions. 34

35 1 Introduction

Airglow refers to the emissions of photons in the Earth atmosphere via chemilu-36 minescence processes, that mainly result from the reactions among species such as atomic 37 oxygen, atomic nitrogen, and hydroxyl radicals (Khomich et al., 2008). Several of these 38 emissions originate within the Mesosphere and Lower Thermosphere (MLT) region (al-39 titude range around 80-100 km) as thin luminous layers with typical thickness of 6-1040 km (Full Width at Half Maximum, or FWHM). Historically, the first airglow emissions 41 to be investigated were the green ionized oxygen (OI) line (557.7 nm) with peak altitude 42 at ~ 96 km and the yellow Na line (589.2 nm) with peak altitude at ~ 90 km. But the 43 brightest source of airglow is the hydroxyl (OH) Meinel bands emission (peak altitude 44 at ~ 87 km) which radiates over a broad spectral range (0.7-4.0 µm) primarily in the near-45 infrared band. Many studies have revealed that these airglow emissions are very useful 46 tracers to retrieve the atmospheric properties and study the dynamical processes such 47

as instabilities, ripples, small scale gravity waves, as well as larger scale atmospheric waves
such as tides and planetary waves (e.g., Medeiros et al., 2007; T. Li et al., 2009; Cao et
al., 2016; J. Li et al., 2017).

The atmospheric flow in the MLT region is dominated by abundant atmospheric 51 waves, of which gravity waves are an important type with large varieties in wave char-52 acteristics and potential sources. High-frequency atmospheric gravity waves carry sig-53 nificant amount of momentum from lower atmosphere. The dissipation and breaking of 54 these waves have large impacts to the circulation through momentum deposition to the 55 background flow. Airglow imaging systems are most sensitive to this part of gravity waves 56 spectrum because of the high horizontal and temporal resolution (J. Hecht et al., 2001; 57 Ejiri et al., 2003; J. H. Hecht et al., 2004). Gravity wave information can be inferred from 58 the wave induced emission intensity fluctuations detected by such imaging systems. These 59 gravity waves are revealed with typical horizontal wavelengths of 20 to 100 km, intrin-60 sic wave periods of 5 to 10 min, and horizontal phase speeds between 30 to 100 ms⁻¹ (Taylor, 61 1997; Ejiri et al., 2003; Z. Li et al., 2011). The momentum flux estimated from airglow 62 emission perturbation has an average magnitude of $5-10 \text{ m}^2\text{s}^{-2}$ (J. Tang, Kamalabadi, 63 et al., 2005; Y. Tang et al., 2014). Studies based on airglow observations suggest that 64 the wave propagation in the mid-latitudes often shows an annual variation: pole-ward 65 in summer and equator-ward in winter. Several mechanisms such as critical layer filter-66 ing (Taylor et al., 1993), ducted wave propagation (Walterscheid et al., 1999), variations 67 of the location of wave sources (Nakamura et al., 2003) and Doppler-shift by the back-68 ground winds (Z. Li et al., 2011) were proposed to explain the directionality of wave prop-69 agation. In general, these mechanisms all play some roles in affecting the wave propa-70 gation directions but their relative importance varies with seasons and geographic loca-71 tions. 72

Andes Lidar Observatory (ALO) is located at Cerro Pachón (30.3°S, 70.7°W) on 73 the west side of Andes ridge, which is generally aligned in the north-south direction and 74 extends several thousands kilometers in South America. The elevation of ALO is 2530 75 m compared to the ridge peak altitude of 4500–5000 m. Many satellite observations have 76 revealed the existence of gravity wave hot spots in the stratosphere over southern An-77 des (Hoffmann et al., 2013; Hindley et al., 2015). It is believed that the major wave sources 78 are subtropical deep convection in low and mid-latitude, and orographic sources at lat-79 itudes of 40°S to 70°S during austral winter time (Jiang et al., 2004). Whether these ac-80

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tive gravity waves in stratosphere reach higher MLT region before they break remains 81 an unanswered question. Airglow imaging systems, together with other observation in-82 struments, have been utilized to depict a complete picture of gravity wave propagation 83 from stratosphere to mesosphere (Bossert et al., 2014; Fritts et al., 2019; Taylor et al., 84 2019). By comparing the results with previous deployment of airglow imager at other 85 locations, the similarities as well as differences of wave characteristics, preferential prop-86 agation directions, and momentum flux may reflect the generality and specialty of wave 87 sources and background winds at different locations. 88

In this study, we present an application of the long-term dataset of mesospheric airglow and wind observations that were acquired in the Andes. The dataset is used to study the distribution of the intrinsic gravity wave parameters, their dominant propagation directions and possible controlling mechanisms, as well as variation of momentum flux and its relationship with background wind. The study is organized as following: section 2 briefly describes the instrumentation from which the data were retrieved and section 3 describes the dataset and methodology. Sections 4 and 5 present the main results and discussion. The summary and conclusions are presented in section 6.

97 **2** Instrumentation

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2.1 Airglow Imager

An all-sky airglow imager is equipped with a cooled charge-coupled device (CCD) 99 and a fish-eye lens to collect the airglow emissions from all the sky. One or several nar-100 row width bandpass filters are used to distinguish the emissions of different spectrum 101 range from different altitude ranges (Taylor et al., 1995). The airglow imager operated 102 at ALO was equipped with two filters to capture OH and OI emissions alternately at night 103 during the low moon period throughout the year. The integration times for the OH and 104 OI images are 1 min and 1.5 min, respectively. For OH Meinel band emission, the band-105 width of the filter is 750–930 nm with a notch at 865 nm to exclude the molecular oxy-106 gen emission. The airglow emissions were collected by a 1024×1024 CCD array and 107 then binned to a 512×512 array to increase signal-to-noise ratio. When the field-of-view 108 is limited within $\pm 45^{\circ}$ zenith angle, the airglow images cover an area of about 200×200 109 $\rm km^2$ with a resolution better than 1 km/pixel if projected to OH airglow altitude at ~87 110 km. 111

2.2 Meteor Radar

The ALO meteor radar uses a SKiYMET radar system (Franke et al., 2005) op-113 erating at 40.92 MHz. There are two major components of the radar. The transmitter 114 is a three-element Yagi antenna directed toward the zenith with a transmitted power of 115 approximately 170 W from a 13.3 µm pulse length, 6 kW peak envelop power and 466 116 um inter-pulse period. The meteor trails were illuminated by the radiated energy. The 117 receiver is comprised of five three-element Yagi antenna oriented along two orthogonal 118 baselines and they sampled every 13.3 µm, resulting in 2 km range resolution. The backscat-119 tered signals from meteor trail are received by different antennas at different arrival an-120 gle and timing. Then, the interferometry method was performed to determine the po-121 sition of meteor trail in the sky. Wind velocities were retrieved from the continuous track-122 ing of trail positions and Doppler shifts (Hocking et al., 2001) with the assumption that 123 the horizontal wind field is almost uniform and stationary within the spatio-temporal 124 window and the vertical wind is negligible. The meteor radar provides continuous hourly-125 averaged horizontal winds between 80 and 100 km (Franke et al., 2005). The winds around 126 the OH airglow layer were calculated through Gaussian-weighted averaging centered at 127 87 km with a window of 5 km. 128

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3 Data and Methodology

A narrow-band sodium wind/temperature lidar, an all-sky airglow imager, a pho-130 tometer, and a meteor radar have been deployed to ALO since September 19, 2009. The 131 airglow imager captured only OH images before Aug 2011, OH and OI images alternately 132 after that. The possible influence of the different image timing on wave extraction is dis-133 cussed in details in Supporting Information. The meteor radar had some technical is-134 sues in mid 2014, thus no more wind data were observed afterwards. Therefore, we only 135 processed the airglow data when the meteor radar wind data were available (2009 to 2014). 136 The number of hours when OH airglow images were obtained are summarized in Table 137 1. There are about 300–600 hours of data in each calendar month accumulated in 6 years. 138 The amount of data enables a robust analysis of seasonal variations of gravity waves. 139

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Before airglow images can be used for wave extraction, there are several pre-processing 140 procedures that need to be implemented. Firstly, all the stars present on the images need 141 be removed. Secondly, images need to be unwrapped to correct the spatial distortions 142 due to fish-eye lens and emission intensity variation due to van Rhijn effect. Thirdly, the 143 Milky Way over ALO in southern hemisphere is present and close to zenith most of the 144 time and is much brighter than the airglow emission within the imager observational band-145 width. Therefore, an additional procedure of removing the Milky Way (Z. Li et al., 2014) 146 is necessary and applied before gravity waves can be identified. 147

High frequency, quasi-monochromatic gravity waves are identified from the images 148 using a series of procedures described in detail in J. Tang, Franke, et al. (2005) and J. Tang, 149 Kamalabadi, et al. (2005) and briefed here. Three consecutive images (I_1, I_2, I_3) were 150 used to form two consecutive time-differenced (TD) images $(TD_1 = I_2 - I_1, TD_2 =$ 151 I_3-I_2) for spectral analysis. Horizontal wave parameters including wavelength, observed 152 phase speed, propagation direction and relative airglow perturbation amplitude (I'_{OH}/\bar{I}_{OH}) 153 were derived from each set of two TD images. Intrinsic phase speed and intrinsic frequency 154 are derived with background winds provided by meteor radar. Vertical wavelength is cal-155 culated using a simplified dispersion relationship (equation 24 of Fritts and Alexander 156 (2003)) with buoyancy frequency near the OH airglow layer derived using temperature 157 from NRLMSISE-00 empirical model (Picone et al., 2002). The relative airglow inten-158 sity amplitude is calculated by dividing the perturbation intensity I'_{OH} by the average 159 intensity \overline{I}_{OH} of the star-free and de-trended images after excluding the dark current 160 and background emission, which is assumed to be 30% of total emission intensity (Swenson 161 & Mende, 1994). The gravity wave momentum flux was derived based on the intrinsic 162 wave parameters and relative temperature amplitude, converted from I'_{OH}/I_{OH} using 163 the airglow model described in Liu and Swenson (2003). The total gravity wave momen-164 tum flux is calculated using the following equation: 165

$$F_m = \frac{k}{m} \frac{g^2}{N^2} \left\langle \left(\frac{T'}{\overline{T}}\right)^2 \right\rangle = \frac{k}{m} \frac{g^2}{N^2 C^2} \left\langle \left(\frac{I'_{OH}}{\overline{I}_{OH}}\right)^2 \right\rangle \left(\mathrm{m}^2 \mathrm{s}^{-2}\right), \tag{1}$$

of which k, m are the horizontal and vertical wavenumber, N^2 is the squared buoyancy frequency and C is the cancellation factor, which is a function dependent on wave intrinsic parameters, especially vertical wavelengths (Liu & Swenson, 2003; Hickey & Yu, 2005). For each set of images (two TD images or three raw images), there can be zero to multiple gravity waves identified and counted within this period of triple image in-

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Table 1.

Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
2009									61.5	49.8	141.9	284.0	537.2
2010	176.7	183.7	213.5	321.5	194.9	128.8	212.7	270.7	120.2			23.5	1846.4
2011	117.7	5.1	30.5	133.7	251.2	238.1	258.7	187.9	161.8	120.4	160.6	210.8	1876.7
2012	66.2	13.3	112.2	13.7	6.4	11.3	233.1	6.5	120.2	35.6	54.5	32.9	705.7
2013		59.9	121.3	107.4		92.0	74.8	27.8	40.9	112.4	63.9	0.0	709.4
2014	120.4	90.5	61.6	90.4	53.0		42.12	80.9					539.0
Total	481.0	352.3	539.1	666.7	505.5	470.3	821.5	573.8	504.6	318.2	420.9	560.2	6214.2

tegration time. There exist some gravity wave events lasting longer time and showing
up in multiple sets of images. In our analysis, one persistent wave event will be counted
as multiple waves that are retrieved from different sets of TD images. Therefore, the statistics based on wave counts represent the overall duration of gravity waves, not numbers
of coherent gravity wave events. However, the statistics of gravity wave events are also
analyzed.

There are a few steps in the data processing that need extra attention when dis-177 cussing results in the following sections. Firstly, the TD method acts as a high-pass fil-178 ter and excludes stationary and slower wave features such as mountain waves. There-179 fore, the analysis here only include high frequency gravity waves. The influence of TD 180 method is discussed in details in Support Information. Secondly, in order to find intrin-181 sic wave parameters, the Doppler shift correction is applied after the observed (ground-182 based) wave parameters are calculated. This is different from the conventional method 183 where the raw images were shifted opposite to the background wind before the wave pa-184 rameters were estimated (Z. Li et al., 2011). This is to avoid any possible image dete-185 rioration in shifting images. Thirdly, some pixels on the imager CCD were broken af-186 ter Nov 2012 and a black band about 20 km wide showed up in the side of airglow im-187 ages. The bad pixels were cropped which makes the images used for wave extraction slightly 188 smaller than previously used. This brings little difference in extracted wave parameters 189 since the size of remaining images is still much larger than typical wavelength. 190

¹⁹¹ 4 Results

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4.1 Wave Parameters Statistics

Figure 1 demonstrates the histograms (frequency) for typical gravity wave param-193 eters, including horizontal wavelength, vertical wavelength, observed phase speed, intrin-194 sic phase speed, intrinsic period and wave amplitude. The bin sizes for them are 2.5 km, 195 $2.5 \text{ km}, 5 \text{ ms}^{-1}, 5 \text{ ms}^{-1}, 1 \text{ min and } 0.1\%$, respectively. The normalized frequencies are 196 divided by the bin width to make the histograms akin to probablity density functions. 197 In order to evaluate the robustness of the histogram, Bootstrapping method is used to 198 estimate the 95% confidence intervals for each frequency. In this study, the number of 199 identified waves are more than 60000, the histograms are robust as indicated by small 200 statistical uncertainties. The horizontal wavelengths of most waves are less than 100 km 201



Figure 1. Histograms of gravity wave parameters (from top to bottom, left to right), horizontal wavelength, vertical wavelength, observed phase speed, intrinsic phase speed, period and relative intensity. Small vertical solid lines on top of each bar indicate the 95% confidence interval for each frequency.

with peaks near 20–30 km. The vertical wavelengths are mostly larger than 10 km and 202 with peaks near 15-25 km range. These wavelengths are similar to those found in Maui 203 (Z. Li et al., 2011) and other sites (Taylor et al., 1993; Nakamura et al., 1999; J. H. Hecht 204 et al., 2004; Dou et al., 2010). Due to the cancellation effects of wave perturbations in 205 airglow layer (Liu & Swenson, 2003), waves with vertical wavelength smaller than the 206 thickness of airglow layer will be greatly attenuated in airglow images. As indicated by 207 the calculation of vertical wavelength, most of the waves (84%) identified from airglow 208 images are freely-propagating waves (with positive vertical wavenumber) of which most 209 of waves (81%) has vertical wavelength larger than 10 km. The calculation of vertical 210 wavelength requires background temperature which is retrieved from an empirical model 211 instead of realistic observations. So the distribution of vertical wavelength is treated as 212 reliable only in climatological and statistical perspective. When daily variations are con-213 sidered, discrepancies are expected. Those waves with very short vertical wavelength (≤ 10 214 km) might be due to inaccuracy of the model data. The observed (ground-based) hor-215 izontal phase speeds peak near $45-55 \text{ ms}^{-1}$, while intrinsic horizontal phase speeds peak 216 near $60-70 \text{ ms}^{-1}$, which indicates waves mostly propagate against background winds. 217

For the wave intrinsic period, the short-period (high-frequency) waves dominate with pe-

riod mostly less than 10 min, with peak near 5–6 min. Due to the fact that most grav-

²²⁰ ity waves propagate against the background wind, the waves are Doppler-shifted to higher

²²¹ intrinsic frequency and large vertical wavelength, which makes high-frequency waves more

likely to be observed in airglow images. The wave induced emission intensities are less

than 2-3% and peak near 0.5-0.6%.



Figure 2. Histogram of the wave event duration. Thick straight lines are from least square fitting. The numbers in the parenthesis at the tails of histograms are the number of wave events at corresponding probabilities.

There exists coherent and persistent 'wave events' lasting longer than the minimum 224 duration of a 'wave', which is the time of a set of three consecutive images. Hereafter, 225 a 'wave event' refers to a coherent gravity wave composed of several consecutive 'waves', 226 which refers to the wave identified from a set of three images. Complete wave events were 227 distinguished by identifying consecutive waves with similar parameters, including prop-228 agation direction, wavelength and period. Horizontal propagation azimuth and wavelength/wavenumber 229 were chosen as the primary criteria because they are directly retrieved from 2-D airglow 230 images. After some tentative tests, 15° and 0.001 km^{-1} are chosen as threshold values. 231 After the wave event detection was implemented, most waves were identified as part of 232 a persistent wave event, the remaining waves that do not belong to any wave events were 233 treated as isolated and associated with the minimum duration. 234

As shown in Figure 2, the probability density function of wave event duration mostly 235 follows an exponential distribution, i.e. a straight line in semi-log coordinate. The wave 236 events associated with the minimum duration fall in the first bin. The longest duration 237 identified from the data is about 80 min. But it is very rare with only 2 wave events iden-238 tified in more than 6 years. In order to obtain the mathematical function of the prob-239 ability distribution, a least-square fitting is applied on the histograms based on follow-240 ing formula: $y = \frac{1}{\tau_0} \exp\left(-\frac{x}{\tau_0}\right)$ of which τ_0 and τ_0^2 are the mean and variance for ex-241 ponential distributions. The fitting was done in semi-log coordinates that a straight line 242 was fitted to find out the slope $(-1/\tau_0)$. Finally, τ_0 is determined as 9.22 min with a 95% 243 confidence interval of 8.28-10.16 min. Theoretically, the mean duration is projected to 244 be 9.22 min for all wave events. However, the actual mean duration of all waves events, 245 including those events with minimum duration, are calculated to be 7.6 min. This is due 246 to the probability of the minimum duration has some derivation from the exponential 247 distribution. Multiple factors such as possible wave breaking, wave packet traversing the 248 imager field-of-view, and wave source characters could contribute to this observed dis-249 tribution of wave duration. With limited information especially about the background 250 atmosphere status, it is hard to deduce the possible mechanisms that would result in this 251 distribution. Further modeling studies are needed to investigate it in depth. 252

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4.2 Propagation Direction

The distribution of wave characteristics such as wavelenth, period and phase speed 254 does not vary much with seasons. However, the preferential wave propagation directions 255 shows clear seasonal dependence. The distribution of wave propagation and correspond-256 ing background wind directions are shown by the histogram in polar coordinate in Fig-257 ure 3. The histograms are organized by calendar month, four rows are austral summer, 258 fall, winter and spring. There are about 2000–5000 waves identified in each calendar month. 259 Overall, gravity waves tend to propagate against background wind especially during sum-260 mer and winter time. In summer time (Dec to Feb), the dominant wave propagation di-261 rection is mostly southward/polar-ward while the background wind is northward. In win-262 ter time (Jun to Aug), the dominant wave propagation direction is northward/equator-263 ward while the winds are southward or southeastward. In spring, the preferential direc-264 tions show a tendency of transition from northward to southward. Opposite transition 265



Figure 3. Histograms of (red) wave propagation direction and (blue) background wind direction in each calendar month at a 22.5° azimuth angle bin. The numbers (300, 600) at different radii are the number of waves.

- ²⁶⁶ can be found in fall. The preferential propagation direction are contributed by multi-
- ple factors including potential wave source locations and background wind filtering.



Figure 4. Histogram of azimuth differences between gravity wave propagation and background wind directions for the waves of different observed phase speed. The numbers at different radii are percentage of waves.

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In order to evaluate the relationship between propagation and background wind directions of each individual wave, the azimuth angle differences between wave propagation and background wind directions are calculated for waves with different phase speed. As shown in Figure 4, the azimuth angle differences are mostly toward the hemisphere of 180°. However, the distribution have some dependence on the phase speed. For waves with observed phase speed less than 20 ms⁻¹, it is prominent that the azimuth angle dif-

ferences are highly clustered around 180°. This means those waves mostly propagate against 274 the winds which is an indicator of critical layer filtering of waves propagating along the 275 winds if any. The distribution around 180° becomes less concentrated for larger observed 276 phase speeds. For waves with phase speed between 20 and 40 ms⁻¹, they mostly prop-277 agate toward opposite direction with background wind but with a boarder range. For 278 those faster waves with phase speed larger than 50 ms⁻¹, their propagation shows lit-279 tle dependence on background wind and can propagate at any directions with respect 280 to background wind. The monthly mean horizontal winds in the OH airglow layer are 281 around $30-40 \text{ ms}^{-1}$. Background winds would be able to filter out waves with observed 282 phase speed similar or smaller than wind speed. However, background winds tend to ex-283 ert less influence on those faster waves through critical layers filtering. Besides the ef-284 fects of critical layer, waves propagate along the background winds are Doppler-shifted 285 to smaller vertical wavelength thus larger shear may occur to make waves more easily 286 to break down due to instability. For faster waves, there could be other factors contribut-287 ing to the preferential propagation direction. 288

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4.3 Background Filtering





Background atmosphere where the waves propagate through plays an important role in controlling the prevailing propagation direction through critical-layer filtering. When gravity waves reach a layer where wave observed phase speed equals background wind speed, waves will be absorbed or filtered. The Doppler-shifted or intrinsic frequency $\hat{\omega}$ can be related to observed frequency ω by

$$\hat{\omega} = \omega \left(1 - \frac{\overline{u}\cos\phi + \overline{v}\sin\phi}{c} \right),\tag{2}$$

of which the term $\overline{u} \cos \phi + \overline{v} \sin \phi$ is the background wind $(\overline{u}, \overline{v})$ projected to wave propagation direction. 'Blocking diagram' (Taylor et al., 1993; Medeiros et al., 2003) is introduced to demonstrate the 'forbidden zone' of gravity waves, i.e., the range of phase speed c and propagation azimuth angle ϕ of waves that would be filtered out in certain background wind profiles where $\hat{\omega} \leq 0$ is satisfied.

Currently, there is no complete observations of atmospheric winds from source level 300 to airglow layer near ALO. We turn to the model winds retrieved from Horizontal Wind 301 Model-14 (HWM-14) (Drob et al., 2015), which reasonably reproduces climatological winds. 302 Figure 5 shows the monthly mean zonal and meridional winds at ALO. Only winds be-303 tween 00:00 and 06:00 UT are selected to match the timing of airglow images at night. 304 At ALO, zonal winds in the stratosphere are eastward in austral winter and westward 305 in summer, with largest magnitudes excessing $\pm 60 \text{ ms}^{-1}$. Meridional winds magnitudes 306 are much smaller and are mostly polar-ward but equator-ward in summer above 50 km. 307 In Figure 6, 'blocking diagrams' were plotted for each month using the monthly aver-308 aged wind profiles from HWM-14 at ALO. They represent the effects of critical layer fil-309 tering on gravity waves accumulated in the altitude range from 15 km that is above most 310 convective activities to 87 km that is the peak altitude of OH airglow. The observed phase 311 speed and propagation direction of all waves are demonstrated by scattered dots. The 312 'forbidden zones' of gravity waves predicted by critical layer filtering theory are mostly 313 along west and east directions due to much larger amplitudes of zonal wind component 314 especially in stratosphere. As shown in Figure 6, a lot of waves can be found in the pre-315 dicted 'forbidden zones' in some months. This might be due to the discrepancies between 316 modeled and realistic winds. However, areas around certain smaller phase speeds and 317 directions show up as hollows in the scattered plots in multiple months such as May, Jun, 318 Oct and Nov. The absence of these waves indicates the effects of critical layer filtering, 319 as they are filtered out by the realistic background winds that are not reflected in an em-320 pirical wind model. 321

Here, critical layers filtering predicted by HWM-14 model can not explain the wave propagation direction well. The monthly mean winds retrieved from HWM-14 cannot

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Figure 6. Scatter plots of observed phase speed $(0-100 \text{ ms}^{-1})$. One dot represents an identified wave with certain phase speed and propagation direction. Small amount of waves with phase speed larger than 100 ms^{-1} are not included here. Area inside the solid black lines are the 'forbidden zone' predicted by critical layer filtering theory.

capture the short-period variation of the real winds such as tidal influences, day-to-day
variability and any waves that have period longer than gravity waves that are observed
by airglow imager. Time-varying background winds reduce the effects of critical layer
filtering because a lot of waves have less time to interact with varying winds and/or changes
of ground phase speed that can be critically filtered (Heale & Snively, 2015). This is especially true for the waves observed by airglow imagers that are mostly high-frequency,
with periods less than 15 min.

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4.4 Convective Wave Sources

Multiple hot-spots of gravity waves have been revealed by many previous studies using satellite observations and models (Jiang et al., 2004; Geller et al., 2013; Hoffmann et al., 2013, 2016) over the South America and southeast Pacific. Convection and orographic sources were found to be two most likely ones around this region. Vadas, Taylor, et al. (2009) used ray-tracing to locate the potential wave sources and found out the convection is likely the sources of mesospheric gravity waves observed by an OH airglow imager in Brazil.

Outgoing Longwave Radiation (OLR) is a measure of the amount of energy emit-339 ted to space from earth's surface, including oceans and atmosphere. OLR values are of-340 ten used as a good proxy for convection in tropical and subtropical regions. In general, 341 smaller values indicate stronger convective activities because they are associated with 342 high cloud tops with lower temperature. Interpolated monthly mean OLR data (Liebmann 343 & Smith, 1996) was acquired from Physical Sciences Laboratory of NOAA. The data has 344 a 2.5° by 2.5° spatial resolution and global coverage. In Figure 7, the OLR intensities 345 of each month are averaged between 2009 and 2014, and shown by colors with a reversed 346 color-scale. The histogram of propagation direction of gravity waves is also shown on the 347 map. In each calendar month, the occurrence frequency of gravity waves is quantified 348 as the ratio of the number of identified waves to the number of images, which is a proxy 349 of the relative likelihood of occurrence of gravity waves in each months. As shown in Fig-350 ure 7, the occurrence frequencies are high over winter and early spring(Jun to Oct) and 351 low over summer and fall (Feb to May). Regarding of the wave propagation direction, 352 the occurrence frequency is generally higher when convection is identified at closer dis-353 tance, especially within 250 km. 354

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Figure 7. Monthly mean OLR (color shading) overlapped with histograms (in red) on the map showing the coastline of South America. The polar histograms show the propagation direction of gravity waves, same as red in Figure 3. The radius of the two circles centered at ALO represent 250 and 500 waves for histogram. The numbers in the lower right corner of each panel are the wave occurrence frequencies, see text for definition. The color-scale of OLR values is reverse so warmer(red) colors indicate stronger convective activities.

On the continent of South America, there are a few notable areas with strong con-355 vection on the north and south side, including Amazon Basin in the tropics and La Palate 356 Basin in the subtropics ($\sim 30^{\circ}$ S). They provide a large amount of moisture and energy 357 for deep convection and precipitation (Insel et al., 2010; Romatschke & Houze, 2010). 358 ALO is located on the west side of Andes which has an average elevation of 4-5 km and 359 blocks warm moist air from the east. The convective activities indicated by OLR inten-360 sity show clear seasonal variations and high correlations with the wave propagation di-361 rection. From late spring to early fall (Nov to Mar), strong convective activities show 362 up in the Amazon Basin and expand to a large area. Some of these convections, espe-363 cially in summer, are close to ALO within several hundred km. The observed waves have 364 a clear preference of southwestward propagation but with lower occurrence frequencies. 365 In winter (Jun to Oct), the closest and strongest convective source is over the Pacific Ocean 366 to the southwest of ALO and coast area to the south of ALO, during which the wave prop-367 agation is clearly northeastward or northward. The convection is much closer to ALO 368 during this time, the occurrence frequencies are highest in a year. From spring to early 369 fall (Sep to Apr), there is also a strong and localized convective source over La Palate 370 Basin to the east and southeast of ALO. This feature is not evident in OLR, but was demon-371 strated by precipitation and lighting data (Rasmussen et al., 2014, 2016). The wave prop-372 agation shows a preference of westward or northwestward in some spring and summer 373 months (Sep, Nov, Jan, Feb, Mar), consistent with this wave source. 374

375

4.5 Momentum Fluxes

Figure 8 shows the monthly mean zonal and meridional gravity wave momentum 376 fluxes $(\langle u'w' \rangle$ and $\langle v'w' \rangle)$ with zonal and meridional background winds averaged over 377 22:00–06:00 UT in the OH airglow layer. Overall, the zonal and meridional momentum 378 fluxes have the magnitudes of several m^2s^{-2} with meridional component slightly larger 379 than zonal one. The mean momentum flux magnitudes are very small especially consid-380 ering the low density in middle atmosphere, each individual wave might not exert large 381 influence on the background. However, a large amount of these waves carrying little mo-382 mentum flux still show evident effects on the background. Both momentum flux com-383 ponents tend to toward the opposite direction of background winds. Zonal momentum 384 flux is mostly westward and zonal wind is mostly eastward. There are some intra-seasonal 385 variations in zonal momentum flux and wind. The opposite directionality between merid-386

ional momentum flux and wind is more distinct. Meridional momentum flux shows a clear 387 annual oscillation with northward maximum near austral winter time and southward max-388 imum in summer. Gravity wave momentum fluxes at mesopause altitude are affected by 389 both wave sources in the lower atmosphere and critical layer filtering by the mean flow 390 between the sources and mesopause (Z. Li et al., 2011). The change of momentum flux 391 is related to the variation of the location of primary wave sources, which are mostly lo-392 cate at east and northeast of ALO in summer and south in winter. The momentum flux 393 was estimated based on equation 1 using extra information from empirical models, it is 394 also counted as reliable in a climatological perspective. 395



Figure 8. Monthly mean (top) zonal and (bottom) meridional (left axis, red) momentum flux and (right axis, blue) wind from 2009 to 2014. The zonal and meridional winds are averaged between 22:00 to 06:00 UT.

396 5 Discussions

In the wave extraction method, a set of three consecutive images are used to obtain two TD images for co-spectral analysis. For the airglow imager at ALO, only OH airglow images were captured with a 1-min integration time before 25 Aug 2011 and OH and OI images were captured alternately with 1-min and 1.5-min integration times afterwards. A gravity wave event has to last 3 min and 6 min to be identified in these two
different configurations (see Figures S1(a) and S1(b)), respectively. For the long term
climatology study, in order to minimize the discrepancies due to different integration times,
a trade-off made in the data processing is to skip every other image for the time period
when only OH airglow images were captured (see Figure S1(c)). The detailed discussions regarding the influences of the TD method and different integration times are presented in the Supporting Information.

In this study, we focus on convection as a primary candidate of wave sources. Clear 408 correlations are revealed between convective activities and observed waves characteris-409 tics including wave occurrence frequency and propagation direction. The distance be-410 tween possible wave source area and ALO where the waves were observed is an impor-411 tant factor. The high-frequency gravity waves tend to propagate upward in a more steep 412 path and thus likely to have a nearby source located within 100–200 km range (Vadas, 413 Yue, et al., 2009). As shown in Figure 7, some intense convective activities in summer 414 time are more than 1000 km away from ALO such as the Amazon Basin in the north and 415 northeast and the area at the east coast of South America. Simulation studies have shown 416 that long-range propagation of gravity waves in MLT region is possible through ducted 417 propagation (J. H. Hecht et al., 2001; Snively & Pasko, 2008; Snively et al., 2013; Heale 418 et al., 2014). However, airglow images retrieved from a single layer could not distinguish 419 whether these waves are ducted. Some of the waves propagating southward and south-420 westward in late spring and summer (Nov to Mar) are possibly ducted considering the 421 long distance between waves sources and ALO. However, there also exists other wave sources 422 nearby beyond the convection, such as secondary wave generation. 423

Southern Andes has been reported in many studies as a hot-spot of orographically-424 generated gravity waves. Satellite observations and modeling reveal highest wave occur-425 rence at mid-fall to mid-spring (Apr-Oct) (Hoffmann et al., 2013; Alexander et al., 2015; 426 Hoffmann et al., 2016). The hot-spots concentrate around the west coast of South Amer-427 ica along the ridge of Andes, extending from 30° S to the tip at 60° S. It is found that the 428 wave activities are closely correlated with lower-level zonal flow over topography around 429 winter time. Large amount of northward propagating waves are observed in this study 430 with highest occurrence frequencies around the same period over ALO. These waves orig-431 inate from southern area where the core of the hot-spot is located. Even though these 432 mesospheric high-frequency gravity waves observed by the airglow imager are not directly 433

generated by orographic sources, there also exist the possibility of secondary wave gen-434 eration (Vadas et al., 2003; Bossert et al., 2017) due to nonlinear interaction or wave dis-435 sipation. Orographic gravity waves have near-zero ground phase speed. They are absorbed 436 and dissipate near the zero-wind layer. As revealed by the monthly mean horizontal winds 437 in Figure 5, there exists zero-wind layers in stratosphere beneath the OH airglow layer 438 between Apr and Sep. Even though the climatological model winds do not capture the 439 short term variations, the background atmosphere is still favorable for the breaking of 440 mountain waves and resulting secondary wave generation in those months. 441

The discussion of wave-background interaction below the airglow layer altitude is limited because of the use of climatological model winds, which do not duplicate the realistic winds and fully explain the observed results. Further studies utilizing more realistic reanalysis data would be beneficial to evaluate the critical layer filtering, and provide proper background conditions for a ray-tracing modeling study to locate the source area and identify possible ducted propagation. Any waves that cannot be traced back all the way to the troposphere might be accounted to aforementioned mechanisms.

449

6 Summary and Conclusions

The long-term dataset from 2009 to 2014, retrieved by an all-sky airglow imager 450 at ALO, is used to investigate the characteristics of high-frequency quasi-monochromatic 451 gravity waves. The typical horizontal wavelengths are around 20–40 km and ground-based 452 horizontal phase speeds are between 40 and 70 ms⁻¹. The intrinsic periods of gravity 453 waves cluster around 4–10 min. However, those wave parameters are jointly limited by 454 the 'observation filter' effort of the airglow imager, and the images processing method. 455 And some parameters such as vertical wavelength and momentum flux are estimated us-456 ing information of empirical models, they are reliable only in statistical and climatolog-457 ical perspective. The observed gravity waves tend to propagate against the local back-458 ground wind in most months and also show strong seasonal dependence in the prefer-459 ential propagation direction. The duration of coherent 'wave events' is found to follow 460 an exponential distribution, with a mean duration about 7–9 min. It is not yet fully un-461 derstood the mechanism that leads to such a distribution. The mean wave momentum 462 flux estimated from airglow data has a much smaller magnitude of several $m^2 s^{-2}$ com-463 pared to those distinct waves investigated in case studies. However, these waves asso-464 ciated little momentum flux contribute significantly to alter background collectively be-465

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cause of their much higher probability of presence (Cao & Liu, 2016). The wave momen-466 tum flux tends to be toward opposite direction of background winds in airglow layer, es-467 pecially in meridional direction. These results are consistent with previous studies based 468 on airglow images from other mid-latitude sites such as Fort Collins, CO (20°N) (Y. Tang 469 et al., 2014), Maui, HI (20°N) (Z. Li et al., 2011), Shigaraki, Japan (35°N) (Nakamura 470 et al., 1999) and Urbana, IL $(40^{\circ}N)$ (J. H. Hecht et al., 2001). In addition to the sim-471 ilarities, the presented wave characteristics especially the preferential propagation direc-472 tion and occurrence frequency show high correlation with localized environment. The 473 new results add information of high-frequency gravity waves in the mid-latitude of South-474 ern Hemisphere that is beneficial to the understanding of gravity waves in global scale. 475

It has been suggested that source locations where the waves are generated and back-476 ground wind where the waves propagate through, cooperatively determine the observed 477 wave characteristics in MLT region. ALO is located at a place near or within the zone 478 of influence of several remarkable convection sources. During the austral summer, the 479 convection over Amazon Basin is dramatically strong and expands over a vast area. Those 480 waves with southwestward propagation direction could originate from there and might 481 be associated with ducted long range propagation. Even the stratospheric zonal wind 482 are mostly westward in this season, the wave sources overwhelm the background wind 483 filtering effect in determining the directionality of wave propagation direction. In win-484 ter time, the closer convection is over the Pacific Ocean or coast area to the south of ALO, 485 this could mostly explain the northeastward and northward preferential propagation di-486 rection. Critical-layer filtering predicted by model winds could not explain the propa-487 gation direction preference well in most months. However, some hollow zones exist in 488 'block diagram' that indicate the efforts of filtering of the waves with slower velocities. 489 The opposite direction of gravity waves and local background wind also indicates the fil-490 tering effects of critical layer on slower waves. The results of this study do not show that 491 the anisotropy of propagation direction was entirely due to wave filtering by stratospheric 492 winds (Taylor et al., 1993; Medeiros et al., 2003) as the background winds exert effects 493 mainly on slower waves. The locations of wave sources and where they are observed play 494 a more important role in shaping the prevailing wave propagation. In this study, the re-495 lationship between the observed waves and potential sources is described mostly qual-496 itatively. The relationship between the strength of the convective activities and wave oc-497 currence frequency, wave amplitude can be described with some dependence on distance. 498

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- ⁴⁹⁹ In other words, it could be possible to quantify the influential area of certain convective
- activities. This would provide some insight regarding a simplified assumption in the grav-
- ⁵⁰¹ ity wave parameterization that the horizontal propagation of waves are neglected.

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- ⁵¹¹ PSL, Boulder, Colorado, USA from their website at https://psl.noaa.gov/. The NRLMSISE-
- ⁵¹² 00 and HWM-14 models data are generated by functions embedded in Matlab Aerospace
- Toolbox (https://www.mathworks.com/products/aerospace-toolbox.html).

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